



# Data Release Committee

January 25, 2017

# Presentation Overview

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- Background
- Purpose and Mission
- Governance
- Data Release Committee Members
- Data Release Application Process
- Meeting Frequency of Data Release Committees in Other States

# Background

Data release function is one of the main objectives of PA 13-247

The exchange shall: (A) Utilize data in the all-payer claims database to provide health care consumers in the state with information concerning the cost and quality of health care services that allows such consumers to make economically sound and medically appropriate health care decisions; and (B) **make data in the all-payer claims database available to any state agency, insurer, employer, health care provider, consumer of health care services or researcher for the purpose of allowing such person or entity to review such data as it relates to health care utilization, costs or quality of health care services.** Such disclosure shall be made in accordance with subdivision (2) of subsection (b) of section 38a-1090 of the general statutes, as amended by this act. The exchange may set a fee to be charged to each person or entity requesting access to data stored in the all-payer claims database.

# Purpose and Mission of Data Release Committee

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## Purpose and Mission of Data Release Committee -

1. Review, approve and deny Data Release Applications (in accordance with established guidelines) submitted by Applicants for the release of Data; and
2. Provide support to the Executive Director during the receipt and review of Data Release Applications

# Governance

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- Members appointed by Chief Executive Officer, who considers nominations of Executive Director. CEO may remove and replace Members at any time
- All meetings of the DRC shall be open to the public. Deliberation of confidential information shall be conducted in executive session in accordance with applicable law
- Each Member has one vote
- Members have no term limits
- The CEO designates a Member to serve as the Chairperson and may designate one or more vice chairs to serve when Chairperson is absent.
- A majority of Members need to be present to constitute a quorum (currently, six is a quorum) and to conduct business. A majority of those present is required for the DRC to take action. If the scheduled meeting does not have 6 members expressing willingness to attend, the meeting may be called off.

# Governance

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- Members cannot delegate any functions or responsibilities to anyone else.
- Each Member shall be free from any relationships or conflicts of interest with respect to an Applicant that may impair, or appear to impair, the Member's ability to make independent judgments. In the event of any such relationship or conflict of interest, the Member shall disclose such conflict and if necessary, recuse him/herself from any review, discussion or deliberation involving or relating to the Applicant's Data Release Application.
- In support of the Data Release Committee's meeting schedule, the Executive Director shall arrange for the preparation of meeting agendas, support materials relevant to the Members' review of Data Release Applications.

# Governance

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- **Data Release Application.** The Exchange shall develop and maintain a Data Release Application. The Executive Director shall retain the right, in his or her sole discretion, to modify the Data Release Application for particular Applicants or Projects; provided such modification is consistent with this Policy and applicable law.
- **Submission.** An Applicant must submit a complete Data Release Application to the Exchange and be willing to be interviewed by the Data Release Committee.
- **Data Release Application Processing Fees.** The Executive Director shall collect a processing fee for each Data Release Application. There will be an immediate processing fee (of small amount) and a fee associated with releasing deidentified detail claims and eligibility data.

# Governance

**Commercial Data Fee Schedule – see table below**  
**Application Processing Fee - \$50 (nonrefundable)**

Types of Files	Commercial		Non-Profit / Educational		State Agencies		Assessed	
	Initial Extract	Additional Extract	Initial Extract	Additional Extract	Initial Extract	Additional Extract	Initial Extract	Additional Extract
Inpatient Facility	\$3,000	\$1,500	\$1,000	\$500	\$750	\$375	\$2,500	\$1,250
ER Facility	\$3,000	\$1,500	\$1,000	\$500	\$750	\$375	\$2,500	\$1,250
Outpatient Facility	\$3,000	\$1,500	\$1,000	\$500	\$750	\$375	\$2,500	\$1,250
Professional Claims	\$6,000	\$3,000	\$2,000	\$1,000	\$1,500	\$750	\$5,000	\$2,500
All Medical Claims	\$12,000	\$6,000	\$4,000	\$2,000	\$3,000	\$1,500	\$10,000	\$5,000
Pharmacy Claims	\$3,000	\$1,500	\$1,000	\$500	\$750	\$375	\$2,500	\$1,250
Member Eligibility	\$5,000	\$2,500	\$1,650	\$825	\$1,250	\$625	\$4,170	\$2,085



# Data Release Committee Members

<p><b>Miriam Delphin-Rittmon, PhD</b> Ex officio Board Member &amp; representative from a State agency</p>	<p>Miriam Delphin-Rittmon, PhD, is the Commissioner of Department of Mental Health and Addiction Services. She has a doctorate in clinical psychology, spent two years as a senior advisor to the federal Substance Abuse and Mental Health Services Administration and was an assistant professor of psychiatry at Yale. She also served as director of health equity and multicultural research and consultation in the Yale psychiatry department's program for recovery and community health.</p>
<p><b>Tamim Ahmed, PhD/MBA</b> Executive Director, APCD</p>	<p>Tamim Ahmed has a PhD in Economics with a specialty in the area of health services research. He has considerable experience in population health and various other research areas involving claims data.</p>
<p><b>Justin Peng, MPH</b> Public Health Specialist</p>	<p>Justin Peng is an epidemiologist in the Department of Public Health, responsible for and overseeing all epidemiological activities for programs such as asthma, tobacco, injury prevention, oral health, WIC and nutrition, physical activity, and obesity programs.</p>
<p><b>Sheryl A. Turney, MS</b> Health Insurance Industry</p>	<p>Sheryl Turney is Anthem's Senior Director of All Payer Claims Database (APCD) Analytics. In this capacity, she is responsible developing specific strategies, in conjunction with the APCD process, that helps set the overall APCD direction for the Enterprise working with Anthem Compliance, HCA, Public Policy, and the Anthem state health plans.</p>
<p><b>Kristen McClain, JD/MBA</b> Attorney experienced in health care, privacy and research</p>	<p>Kristen McClain is the Senior Director of Compliance and Business Operations at Qualidigm, where she oversees the implementation and maintenance of the corporate compliance program, manages all contracting efforts with clients, partners, and consultants, and directs healthcare-related proposals efforts for federal and state opportunities.</p>

# Data Release Committee Members

<p><b>Henry E. Jacobs, MD/JD</b> Healthcare professional, physician, nurse, social worker or psychologist</p>	<p>Dr. Henry Jacobs is a distinguished practicing physician and practicing attorney. His area of specialty is in Endoscopic Minimally Invasive Surgery, Ultrasound Gyn Clinical Applications, Quality of Care, Healthcare Law, and Civil Litigation. He was designated by peers as a ‘Top Doc’ in Connecticut, <i>Connecticut Magazine</i> 2001, recipient of several AMA Physician’s Recognition Awards, and recipient of the Hartford County Medical Association Distinguished Service Award 2013. He was the President of Connecticut State Medical Society in 2013 and 2014.</p>
<p><b>Anthony Dias, MBBS, DPM, MPH</b> Individual w/experience in hospital administration, analytics or research</p>	<p>Dr. Anthony Dias provides insight and support for CHA advocacy and initiatives in quality and patient safety, regulatory and reimbursement issues, population health, community health and disparities, and use of data to drive clinical performance. He directs CHA’s Data Services team, overseeing ChimeData, the most comprehensive hospital database in the state, containing more than 31 million patient encounters dating back to 1980.</p>
<p><b>Tiffany Donelson, MPH</b> Consumer representative</p>	<p>Tiffany Donelson is the vice president of program for the Connecticut Health Foundation, an organization dedicated to obtaining health equity in the state. As vice president of program, Tiffany sets the foundation’s programmatic strategy, which includes grant making, the health leadership fellows program and evaluation. She works to continuously ensure that CT Health’s grant making practices are equitable, transparent, and advancing the organization’s strategic objectives.</p>
<p><b>Kun Chen, PhD</b> Health Researcher</p>	<p>Kun Chen, PhD is an Assistant Professor in the Department of Statistics and is a Research Fellow in the Institute of Public Health Research at the University of Connecticut. Dr. Chen’s methodological research interests include dimension reduction, variable selection, multivariate analysis, statistical computing and optimization, statistical ecology, environmental statistics, bioinformatics, and public health applications.</p>

# Data Release Committee Members

<b>Patricia J. Checko, MPH, Dr. P.H.</b> Consumer Representative	Pat Checko is a retired public health official, currently in health policy and patient advocacy. She is currently serving as the Consumer Representative of SIM HIT Council as well as the Consumer Representative of CT State Health IT Advisory Council. Pat is also serving as the Co-Chairman of Consumer Advisory Board for Connecticut's SIM.
<b>Lisa Freeman</b> Consumer Representative	Lisa Freeman is the Executive Director of the Connecticut Center for Patient Safety. Lisa is on the faculty of the Academy of Emerging Leaders in Patient Safety, the Telluride Experience and is active in a number of other organizations ranging from Partnership for Patients to holding a public seat on the Connecticut Board of Examiners for Nursing. She sits on several PFAC's, belongs to a number of state and national patient advocacy organizations, is and has been a member addressing advanced illness care and health IT issues at National Quality Forum and elsewhere, and is currently a member on PCORI's Improving Healthcare Systems Advisory Panel.

# Data Release Application Review Process

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## Executive Director

- Within 15 days of receiving an application:
  - Determine if application is complete and provides all required info
    - If determined incomplete, can reject application
    - Can request additional info or clarification, including by meeting with applicant
- Within 10 business days of determining an application is complete, the Executive Director submits the application to the DRC.
  - The DRC considers all applications received 15 or more days prior to the next scheduled meeting. Applications received less than 15 days prior are considered at the meeting following the next scheduled meeting.
- Meetings not scheduled on a regular basis in advance may be called by the Executive Director upon reasonable notice provided such notice is not less than five (5) business days

# Data Release Application Review Process

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## Review considerations

- Determine whether the application is consistent with the objectives of the APCD
- Whether applicant would be able to re-identify the data provided
- Adequacy of applicant's privacy and security infrastructure and safeguards
- Other factors believed to be relevant:
  - If the applicant is a researcher or the request is for research purposes, whether the research methodology is consistent with established norms and the application lays out a sound research design
  - The DRC can direct the Executive Director to request additional information from an applicant, seek clarification, or request a meeting with an applicant. Requests done more than five days prior to the next scheduled meeting will be considered.

# Data Release Application Review Process

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## Decisions

- **Approval** -the DRC determines the application satisfies each of the requirements and criteria
- **Conditional Approval** - the DRC requires additional information from the applicant and that the additional information will adequately address and satisfy any concerns they have and allow them to determine the application satisfies each of the requirements and criteria
- **Denial** -the DRC determines the application fails to satisfy one or more of the requirements or criteria

The CEO reserves the right to veto any decision of the DRC if (s)he determines that the application does not satisfy one or more requirements or criteria

# Data Release Application Review Process

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## Decisions - continued

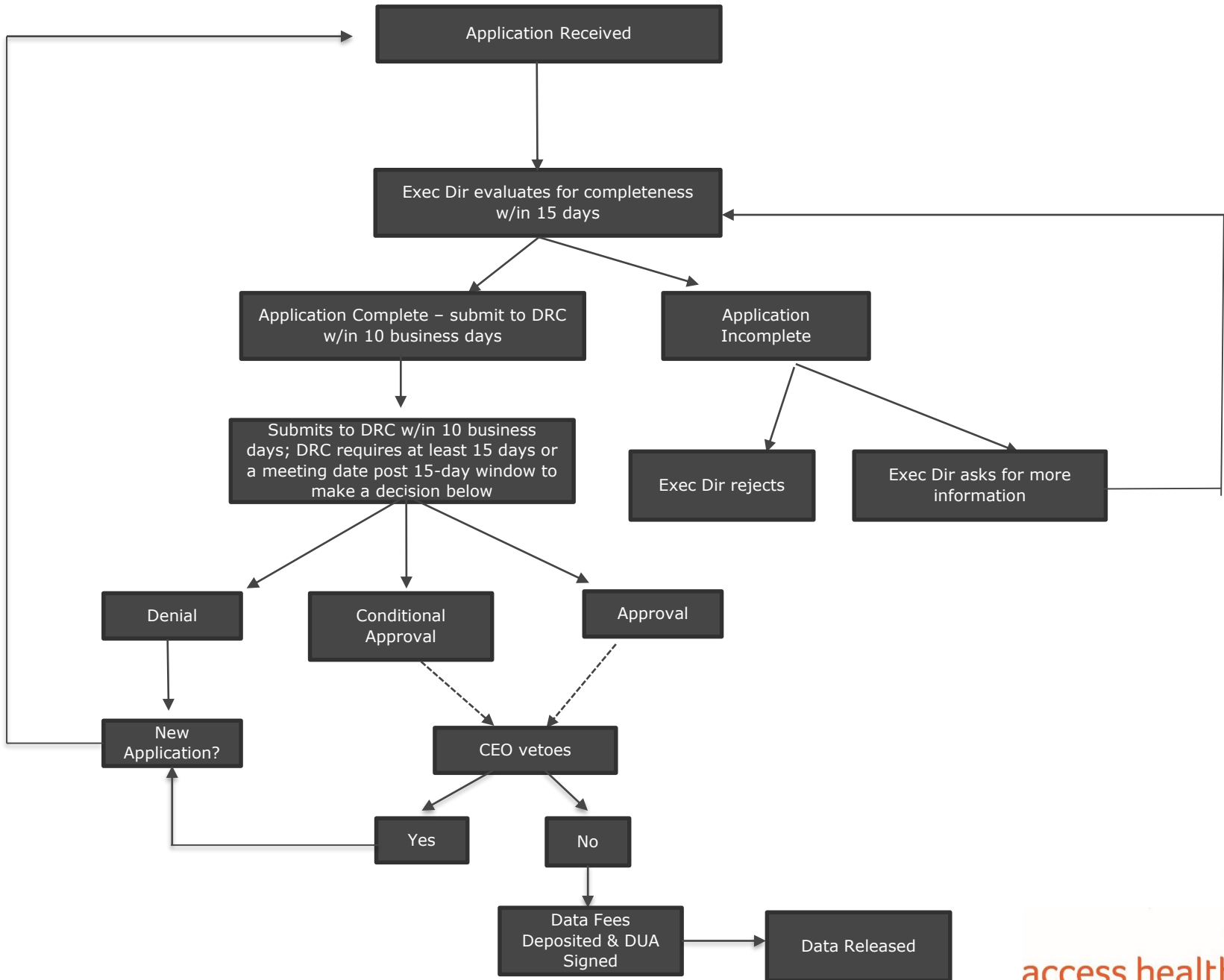
- There is no appeal after the decision of the DRC or CEO.
- An applicant may re-submit an application. If the Executive Director believes the application has not materially changed, he or she may deny further consideration.

# Data Release Application Process - Approximate Timeline

## Sample Timeline of Process

1. March 1, 2017 - Application for data use received w/application fee
2. March 15, 2017 - Deadline for APCD Executive Director to make determination if application is complete or has significant security risks in distributing it to the requestor
3. March 25, 2017 - If application is determined to be complete, deadline for APCD Executive Director to submit application to the DRC
4. April 9, 2017 - Earliest business day when a meeting could occur and have the DRC consider the application; DRC approves the request
5. April 12, 2017 - Data fee accepted by this date
6. April 27, 2017 - Data extracts delivered; analytic files and tables may require additional time





# Frequency of Data Release Committee Meetings in Other States

- [Connecticut](#) - At least quarterly. But may move to more frequent intervals if more data requests come in the pipeline
- [Colorado](#) - meets monthly, cancels meeting if there are no new applications
- [Maine](#) - meets bi-monthly if there are applications to review
- [Maryland](#) - meets monthly
- [Massachusetts](#) - meets monthly
- [New Hampshire](#) - reviews applications and provides feedback to commissioner, no meetings
- [Rhode Island](#) - meets monthly
- [Utah](#) - meets bi-monthly