## **ACCESS HEALTH CT**

## **Connecticut All Payers Claims Database**

DATA SUBMISSION GUIDE

December 5, 2013

Version 1.2

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#### **Definitions and Acronyms**

<u>Administrator:</u> an individual appointed by the Chief Executive Officer of the Exchange to direct the activities of the APCD.

<u>Member:</u> Please refer to the Connecticut Health Insurance Exchange Policies and Procedures: All-Payer Claims Database document for the formal definition of member.

<u>Data Dictionary:</u> documentation that outlines each data element collected, the length, format and usage of each element along with any relationships between the datasets stated herein and/or additional datasets outside of this DSG.

<u>Data Manager:</u> the Administrator's designated contractor responsible for data intake, edits, quality assurance, warehousing and report production.

<u>Health Care Data:</u> the set of files that a Reporting Entity is required to submit according to Public Act 13-247 consisting of Member Eligibility, Medical Claims, Pharmacy Claims, and Providers.

<u>HIPAA Transaction Set:</u> the data set developed for the reporting of health information between various entities, typically between providers and payers. For the purposes of Access Health CT, the sets referenced are the Institutional, Professional, and Dental Claims data, Member Eligibility Information, Benefit Enrollment Information, and the Payment Remittance.

<u>Intake Edits:</u> the logic built around the layout, format and content of the expected data sets. These edits account for and report on submission compliance, data element interdependencies, cross-file linking and quality assurance of valid value usage.

Reporting Entity: has the same meaning as provided in Section 144 (a)(2) of Public Act 13-247.

<u>Risk Adjustment</u>: a series of algorithms performed on member data to ascertain relative illness burden.

#### Acronyms:

ADA = American Dental Association

AHCT = Access Health CT

APCD = All-Payer Claims Database

ASCII = American Standard Code for Information Interchange

DSG = Data Submission Guide

HIPAA = Health Insurance Portability and Accountability Act

PP = Policies and Procedures to be issued by AHCT

RA = Risk Adjustment

#### I. Introduction

Statement of purpose: The Connecticut APCD was established for the purpose of collecting, assessing and reporting health care information relating to safety, quality, cost-effectiveness, access and efficiency for all levels of health care.

This document describes the data elements and formats for the required data files:

Member Eligibility

**Medical Claims** 

**Pharmacy Claims** 

**Dental Claims** 

**Provider Information** 

Questions about this guide should be submitted to Access Health CT at Ctapcd.Analytics@ct.gov.

#### II. Data Submission Requirements

#### **General Information**

- 1. Reporting Entities shall submit complete and accurate Eligibility Data Files, Medical Claims Data Files, Pharmacy Claims Data Files, Dental Claims Data Files, and Provider Files to the Exchange for all of their Members in accordance with the Policies and Procedures and this Submission Guide.
- 2. Each Reporting Entity shall also submit all Medical Claims Data Files, Dental Claims Data Files, Pharmacy Claims Data Files, and associated Provider Files for any claims processed by any sub-contractor on the Reporting Entity's behalf.
- 3. Field definitions and other relevant data associated with these submissions are specified in the tables for each file.
- 4. The Reporting Entity is responsible for ensuring that both Provider and Member Identifiers are consistent across each file where appropriate.
- 5. Each submitted data file shall have control totals and transmission control data as defined in the Header and Trailer Record for each defined file.
- 6. Reporting Entities will submit files on a monthly basis to the APCD Data Manager, which will operate and maintain a secure file transfer portal for this project.

- a. All claims data is to be submitted within one month after the close of the previous reporting month. EXAMPLE: Claims adjudicated by the payer in January are to be reported by the end of February in the January File.
- b. All eligibility data is to be submitted monthly for any and all active eligible members in the prior 12 months of the reporting period. This rolling period methodology requires the submission of both claimants and non-claimants.
- c. All provider data is to be submitted monthly for any and all providers who had a claim within the reporting period. The reporting of inactive providers is allowed and can be accounted for in the data set, but there is no rolling-period methodology required.
- 7. Each Reporting Entity must submit documentation for key strategic variables and processes, as requested by the Administrator, supporting their standard data extract files, including a data dictionary mapping internal system data elements to the data elements defined in this DSG. The documentation should include a detailed description of how the data extracts are created and how the requirements of this DSG and the rule are accomplished, including specifications on what data is being excluded and the parameters that define that excluded data.
- 8. The Reporting Entity shall include utilization and cost information for all services provided to members under any financial arrangement, including sub-capitated, bundled and global payment arrangements.

#### III. Required Data Files

#### A. General Requirements

#### 1. Medical Claims Data

- Medical Claims files must include all services provided to the Member, including but not limited to medical, behavioral health, home care and durable medical equipment.
- Reporting Entities must provide information to identify the type of service and setting in which the service was provided given the standard claim type used for the setting
- c) Reporting Entities must submit data in the monthly file for any claim lines that some action has been taken on that claim (i.e., payment, adjustment or other modification). Claims denied for completeness, errors or other administrative reasons (sometimes known as "soft" denials) should not be submitted until the claim has been paid.
- d) Reporting Entities must provide a reference number that links the original claim to all subsequent actions associated with that claim.

e) Reporting Entities are required to identify encounters corresponding to a capitation payment.

#### 2. Pharmacy Claims Data

- a) Reporting Entities must provide data for all pharmacy claims for prescriptions that were actually dispensed to members and paid.
- b) Medical plans (risk holders) that subcontract with other vendors for services such as mental health and substance abuse and prescription drug coverage and report those claims in separate submissions are responsible for ensuring that subscriber and member identifiers allow reliable attribution of claims across file types.

#### 3. Member Eligibility Data

- a) Reporting Entities must provide a data set that contains information on every covered plan member whether or not the member utilized services during the reporting period. The file must include member identifiers, subscriber name and identifier, member relationship to subscriber, residence, age, race, ethnicity and language, and other required fields to allow retrieval of related information from pharmacy and medical claims data sets.
- b) Reporting Entities should provide enrollment data in rolling 12-month periods each month. Member eligibility should be submitted using enrollment spans in an effort to capture any changes in eligibility attributes, attributed provider, benefit information, or enrollment/disenrollment. Member eligibility should contain one record per member per product for the given timespan that product was in effect. As a result, overlaps in enrollment start and enrollment end dates are permissible.
- c) Member is either the Subscriber or the Subscriber's dependents and all instances where the Subscriber has dependents a link between them must be maintained
- d) If dual coverage exists, send coverage of eligible members where the insurance policy is defined as primary, secondary or tertiary.

#### 4. Provider Data

- a) Reporting Entities must provide a data set that contains information on every provider with a paid claim in the Medical Claims file during the targeted reporting period. Every provider on a record in the Medical Claims file should have a corresponding record in the Provider file.
- b) Data about pharmacies is not required in the Provider file.
- c) In the event the same provider delivered and was reimbursed for services rendered from two different physical locations, than the provider data file shall contain two separate records for that same provider reflecting each of those physical locations. One record shall be provided for each unique physical location for a provider.

#### 5. Dental Claims Data

Stand-alone dental carriers should provide contact information to the Connecticut APCD when these rules become effective. The Connecticut APCD will notify stand-alone dental carriers of the process for submitting test files and regular updates. The process will include opportunities to discuss submission requirements prior to due dates.

#### B. File Submission Methods

The APCD Data Manager will provide credentials to Reporting Entities for access to a secure site for loading and transmitting data files.

#### C. Data Quality Requirements

- 1. The data element descriptions include field definitions and information about completion and accuracy standards.
- 2. Data validation and quality intake reviews are based on experience in other APCD states and adjusted for state-specific conditions and reporting goals. Over time, the APCD will modify these intake reviews to improve the quality of the data with tighter standards and intake criteria.
- 3. The CT APCD seeks to populate the APCD with quality data. Each payer will need to work interactively with the CT APCD Data Manager to develop data extracts that achieve validation and quality specifications.
- 4. Test data submissions and feedback from the Data Manager are intended to assist Reporting Entities in developing conforming data files. Reporting Entities should ensure that files submitted during the Historical, Year to date and Monthly processes incorporate the feedback provided during the testing process.

#### D. File Format

- 1. All files submitted to the APCD will be formatted as standard text files. Text files will comply with the following standards:
  - a) One line item per row; No single line item of data may contain carriage return or line feed characters.
  - b) All rows delimited by the carriage return + line feed character combination.
  - c) Each field is defined as variable text length, variable number length, set text length or set number length and delimited using the pipe character (ASCII=124). It is imperative that no pipes ('|') appear in the data itself. If your data contains pipes, either remove them or discuss using an alternate delimiter character.
  - d) Text fields are never demarcated or enclosed in single or double quotes. Any quotes detected are regarded as a part of the actual data.
  - e) Unless otherwise stipulated, numbers (ID numbers, account numbers, etc) do not contain spaces, hyphens or other punctuation marks.

- f) Text fields are never padded with leading or trailing spaces, unnecessary zeroes or tabs.
- g) Numeric fields are never padded with leading or trailing zeros or populated with 9-Fill to indicate null data.
- h) If a field is not available, or is not applicable, leave it blank. 'Blank' means do not supply any value at all between pipes (including quotes or other characters).

# Member Eligibility Data Contents Guide 12/5/2013

| Col           | Element | Data Element<br>Name        | Date<br>Modified | Туре                   | Format /<br>Length | Description  | Element Submission Guideline  | Condition   | %    |
|---------------|---------|-----------------------------|------------------|------------------------|--------------------|--|---|-------------|------|
| HD<br>-<br>ME | HD001   | Record<br>Type              | 10/7/2013        | Text                   | char[2]            | Header Record<br>Identifier                                      | Report HD here. Indicates the beginning of the Header Elements of the file.   | Mandatory   | 100% |
| HD<br>-<br>ME | HD002   | Submitter                   | 10/7/2013        | Integer                | varchar[6]         | Header Submitter<br>/ Carrier ID<br>defined by AHCT              | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. TR002 must match the Submitter ID reported here. This ID is linked to other elements in the file for quality control.                 | Mandatory   | 100% |
| HD<br>-<br>ME | HD003   | National<br>Plan ID         | 10/7/2013        | Integer                | int[10]            | Header CMS<br>National Plan<br>Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   |
| HD<br>-<br>ME | HD004   | Type of<br>File             | 10/7/2013        | Text                   | char[2]            | Defines the file<br>type and data<br>expected.                   | Report <b>ME</b> here. Indicates that the data within this file is expected to be MEDICAL CLAIM-based. This must match the File Type reported in TR004.   | Mandatory   | 100% |
| HD<br>-<br>ME | HD005   | Period<br>Beginning<br>Date | 10/7/2013        | Full Date -<br>Integer | int[8]             | Header Period<br>Start Date                                      | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must be repeated in HD006, TR005 and TR006. This same date must be selected in the upload application for successful transfer. | Mandatory   | 100% |

| Col           | Element | Data Element<br>Name           | Date<br>Modified | Туре                      | Format /<br>Length | Description  | Element Submission Guideline   | Condition | %    |
|---------------|---------|--------------------------------|------------------|---------------------------|--------------------|--|--|-----------|------|
| HD<br>-<br>ME | HD006   | Period<br>Ending<br>Date       | 10/7/2013        | Full Date -<br>Integer    | int[8]             | Header Period<br>Ending Date                           | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and be repeated in TR005 and TR006.   | Mandatory | 100% |
| HD<br>-<br>ME | HD007   | Record<br>Count                | 10/7/2013        | Integer                   | varchar[10]        | Header Record<br>Count                                 | Report the total number of records submitted within this file. Do not report leading zeros, space fill, decimals, or any special characters.   | Mandatory | 100% |
| HD<br>-<br>ME | HD008   | Comments                       | 10/7/2013        | Text                      | varchar[80]        | Header Carrier<br>Comments                             | May be used to document the submission by assigning a filename, system source, compile identifier, etc.  | Optional  | 0%   |
| HD<br>-<br>ME | HD009   | APCD<br>Version<br>Number      | 10/7/2013        | Decimal -<br>Numeric      | I charl31          | Submission Guide<br>Version                            | Report the version number as presented on the APCD Medical Claim File Submission Guide in 0.0 Format. Sets the intake control for editing elements. Version must be accurate else file will drop. <b>EXAMPLE:</b> 3.0 = Newest Version | Mandatory | 100% |
|               |         |                                |                  |                           | ı                  | Code   | Description  |           |      |
|               |         |                                |                  |                           |                    | 1.2  | Current Version; required for reporting periods as of October 2013   |           |      |
| 1             | ME001   | Submitter                      | 4/1/2013         | Integer                   | varchar[6]         | CT APCD defined and maintained unique identifier       | Name will be distributed by Data Manager.  | All       | 100% |
| 2             | ME002   | National Plan ID               | 4/1/2013         | Integer                   | int[10]            | CMS National Plan<br>Identification Number<br>(PlanID) | Name will be distributed by Data Manager.  | All       | 0%   |
| 3             | ME003   | Insurance Type<br>Code/Product | 4/1/2013         | Lookup<br>Table -<br>Text | char[2]            | Type / Product Identification<br>Code                  | Report the code that defines the type of insurance under which this member's eligibility is maintained. <b>EXAMPLE:</b> HM = HMO   | All       | 96%  |
|               |         |                                |                  |                           |                    | Code   | Description  |           |      |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре | Format /<br>Length | Description | Element Submission Guideline   | Condition | % |
|-----|---------|----------------------|------------------|------|--------------------|-------------|--|-----------|---|
|     |         |                      |                  |      |                    | 9           | Self-pay   |           |   |
|     |         |                      |                  |      |                    | 11          | Other Non-Federal Programs * (use of this value requires disclosure to Data Manager prior to submission) |           |   |
|     |         |                      |                  |      |                    | 12          | Preferred Provider Organization (PPO) *  |           |   |
|     |         |                      |                  |      |                    | 13          | Point of Service (POS) *   |           |   |
|     |         |                      |                  |      |                    | 14          | Exclusive Provider Organization (EPO) *  |           |   |
|     |         |                      |                  |      |                    | 15          | Indemnity Insurance *  |           |   |
|     |         |                      |                  |      |                    | 16          | Health Maintenance Organization (HMO) Medicare Risk *  |           |   |
|     |         |                      |                  |      |                    | 17          | Dental Maintenance Organization (DMO) *  |           |   |
|     |         |                      |                  |      |                    | 96          | Husky Health A   |           |   |
|     |         |                      |                  |      |                    | 97          | Husky Health B   |           |   |
|     |         |                      |                  |      |                    | 98          | Husky Health C   |           |   |
|     |         |                      |                  |      |                    | 99          | Husky Health D   |           |   |
|     |         |                      |                  |      |                    | AM          | Automobile Medical *   |           |   |
|     |         |                      |                  |      |                    | CH          | Champus (now TRICARE) *  |           |   |
|     |         |                      |                  |      |                    | CI          | Commercial Insurance   |           |   |
|     |         |                      |                  |      |                    | DS          | Disability *   |           |   |
|     |         |                      |                  |      |                    | НМ          | Health Maintenance Organization *  |           |   |
|     |         |                      |                  |      |                    | LM          | Liability Medical *  |           |   |
|     |         |                      |                  |      |                    | MA          | Medicare Part A *  |           |   |
|     |         |                      |                  |      |                    | MB          | Medicare Part B *  |           |   |
|     |         |                      |                  |      |                    | MC          | Medicaid *   |           |   |
|     |         |                      |                  |      |                    | OF          | Other Federal Program * (use of this value requires disclosure to Data Manager prior to submission)      |           |   |
|     |         |                      |                  |      | F                  | TV          | Title V *  |           |   |
|     |         |                      |                  |      | F                  | VA          | Veterans Affairs Plan *  |           |   |
|     |         |                      |                  |      | Ţ.                 | WC          | Workers' Compensation *  |           |   |
|     |         |                      |                  |      |                    | ZZ          | Mutually Defined * (use of this value requires disclosure to Data Manager prior to submission)           |           |   |

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| Col | Element   | Data Element<br>Name              | Date<br>Modified | Туре                        | Format /<br>Length | Description                                   | Element Submission Guideline   | Condition | %    |
|-----|-----------|-----------------------------------|------------------|-----------------------------|--------------------|---|--|-----------|------|
| 4   | ME004     | Year                              | 4/1/2013         | Date<br>Period -<br>Integer | int[4]             | Eligibility year reported in this submission. | year for which eligibility is reported in this submission in YYYY format. If reporting previous year's data, the year reported here will not match current year. Do not report a future year here. | All       | 100% |
| 5   | ME005     | Month                             | 4/1/2013         | Date<br>Period -<br>Numeric | char[2]            | Reporting Month of Eligibility                | Month for which eligibility is reported in this submission expressed in numerical MM Format from 01 to 12. Leading zero is required for reporting January through September files.                 | All       | 100% |
| 6   | I MEOO6   | Insured Group or<br>Policy Number | 4/1/2013         | Text                        | varchar[30]        | Group / Policy Number                         | Report the number that defines the insured group or policy. Do not report the number that uniquely identifies the subscriber or member   | All       | 99%  |
| 7   | I ME()()/ | Coverage Level<br>Code            | 4/1/2013         | Lookup<br>Table -<br>Text   | char[3]            | Benefit Coverage Level Code                   | Report the code that defines the dependent coverage  | All       | 99%  |
|     |           |                                   |                  | •                           | •                  | Code  | Description  |           |      |
|     |           |                                   |                  |                             |                    | CHD   | Children Only  |           |      |
|     |           |                                   |                  |                             |                    | DEP   | Dependents Only  |           |      |
|     |           |                                   |                  |                             |                    | ECH   | Employee and Children  |           |      |
|     |           |                                   |                  |                             |                    | ELF   | Employee and Life Partner  |           |      |
|     |           |                                   |                  |                             |                    | EMP   | Employee Only  |           |      |
|     |           |                                   |                  |                             |                    | ESP<br>FAM                                    | Employee and Spouse<br>Family  |           |      |
|     |           |                                   |                  |                             |                    | IND   | Individual   |           |      |
|     |           |                                   |                  |                             |                    | SPC   | Spouse and Children  |           |      |
|     |           |                                   |                  |                             |                    | SPO   | Spouse Only  |           |      |
|     |           |                                   |                  |                             |                    | UNK   | Unknown  |           |      |
| 8   | ME008     | Subscriber SSN                    | 4/1/2013         | Numeric                     | char[9]            | Subscriber's Social Security<br>Number        | Report the Subscriber's SSN here; used to create Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here                         | All       | 85%  |

| Col | Element | Data Element<br>Name                | Date<br>Modified | Туре                      | Format /<br>Length | Description                               | Element Submission Guideline   | Condition | %   |
|-----|---------|-------------------------------------|------------------|---------------------------|--------------------|---|--|-----------|-----|
| 9   | ME009   | Plan Specific<br>Contract Number    | 4/1/2013         | Text                      | varchar[30]        | Contract Number                           | Report the Plan assigned contract number. Do not include values in this field that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents. | All       | 95% |
| 10  | ME010   | Member Suffix or<br>Sequence Number | 4/1/2013         | Text                      | varchar[20]        | · ·                                       | Report the unique number / identifier of the member within the contract  | All       | 99% |
| 11  | ME011   | Member SSN                          | 4/1/2013         | Numeric                   | char[9]            | Member's Social Security<br>Number        | Report the member's social security number here; used to create Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here  | All       | 68% |
| 12  | MF012   | Individual<br>Relationship Code     | 10/30/2013       | Lookup<br>Table -<br>Text | varchar[2]         | Member to Subscriber<br>Relationship Code | Report the value that defines the Member's relationship to the Subscriber. <b>EXAMPLE:</b> 1 = Spouse  | All       | 98% |
|     |         |                                     |                  |                           |                    | Code                                      | Description  |           |     |

| 1  | Spouse                         |
|----|--------------------------------|
| 4  | Grandfather or Grandmother     |
| 5  | Grandson or Granddaughter      |
| 7  | Nephew or Niece                |
| 10 | Foster Child                   |
| 12 | Other Adult                    |
| 15 | Ward                           |
| 17 | Stepson or Stepdaughter        |
| 19 | Child                          |
| 20 | Self / Employee                |
| 21 | Unknown                        |
| 22 | Handicapped Dependent          |
| 23 | Sponsored Dependent            |
| 24 | Dependent of a Minor Dependent |
| 29 | Significant Other              |
| 32 | Mother                         |

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| Col | Element | Data Element<br>Name    | Date<br>Modified | Туре                                   | Format /<br>Length | Description                       | Element Submission Guideline   | Condition | %    |
|-----|---------|-------------------------|------------------|--|--------------------|-----------------------------------|--|-----------|------|
|     |         | •                       |                  |  |                    | 33                                | Father   |           | ·    |
|     |         |                         |                  |  |                    | 34                                | Other Adult  |           |      |
|     |         |                         |                  |  |                    | 36                                | Emancipated Minor  |           |      |
|     |         |                         |                  |  |                    | 39                                | Organ Donor  |           |      |
|     |         |                         |                  |  |                    | 40                                | Cadaver Donor  |           |      |
|     |         |                         |                  |  |                    | 41                                | Injured Plaintiff  |           |      |
|     |         |                         |                  |  |                    | 43                                | Child Where Insured Has No Financial Responsibility  |           |      |
|     |         |                         |                  |  |                    | 53                                | Life Partner   |           |      |
|     |         |                         |                  |  |                    | 76                                | Dependent  |           |      |
| 13  | ME013   | Member Gender           | 4/1/2013         | Lookup<br>Table -<br>Text              | char[1]            | Member's Gender                   | Report member gender as reported on enrollment form in alpha format. Used to create Unique Member ID. <b>EXAMPLE:</b> F = Female             | All       | 100% |
|     |         |                         |                  |  |                    | Code                              | Description  |           |      |
|     |         |                         |                  |  |                    | F                                 | Female   |           |      |
|     |         |                         |                  |  |                    | M                                 | Male   |           |      |
|     |         |                         |                  |  |                    | U                                 | Unknown  |           |      |
| 14  | ME014   | Member Date of<br>Birth | 4/1/2013         | Full Date -<br>Integer                 | int[8]             | Member's date of birth            | Report the date the member was born in YYYYMMDD Format.  | All       | 99%  |
| 15  | ME015   | Member City Name        | 4/1/2013         | Text                                   | varchar[30]        | City name of the Member           | Report the city name of member.  | All       | 99%  |
| 16  | ME016   | Member State            | 4/1/2013         | External<br>Code<br>Source 2 -<br>Text | char[2]            | State / Province of the<br>Member | Report the state of the patient as defined by the US Postal Service. Report Province when Country Code does not = USA                        | All       | 99%  |
| 17  | ME017   | Member ZIP Code         | 4/1/2013         | External<br>Code<br>Source 2 -<br>Text | varchar[9]         | Zip Code of the Member            | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen. | All       | 99%  |
| 18  | ME018   | Medical Coverage        | 4/1/2013         | Lookup<br>Table -<br>Integer           | int[1]             | Indicator - Medical Option        | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes there is Medical Coverage.  | All       | 100% |
|     |         |                         |                  |  |                    | Value                             | Description  |           |      |

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| Col | Element | Data Element<br>Name          | Date<br>Modified | Туре                         | Format /<br>Length | Description                             | Element Submission Guideline   | Condition | %    |
|-----|---------|-------------------------------|------------------|------------------------------|--------------------|---|--|-----------|------|
|     | •       | •                             |                  | •                            | -                  | 1                                       | Yes  |           |      |
|     |         |                               |                  |                              |                    | 2                                       | No   |           |      |
|     |         |                               |                  |                              |                    | 3                                       | Unknown  |           |      |
|     |         |                               |                  |                              |                    | 4                                       | Other  |           |      |
|     |         |                               |                  |                              |                    | 5                                       | Not Applicable   |           |      |
| 19  | ME019   | Prescription Drug<br>Coverage | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Pharmacy Option             | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes there is Prescription Coverage.   | All       | 100% |
|     |         |                               |                  |                              |                    | Value                                   | Description  |           |      |
|     |         |                               |                  |                              |                    | 1                                       | Yes  |           |      |
|     |         |                               |                  |                              |                    | 2                                       | No   |           |      |
|     |         |                               |                  |                              |                    | 3                                       | Unknown  |           |      |
|     |         |                               |                  |                              |                    | 4                                       | Other  |           |      |
|     |         |                               |                  |                              |                    | 5                                       | Not Applicable   |           |      |
| 20  | ME020   | Dental Coverage               | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Dental Option               | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes there is Dental Coverage.   | All       | 100% |
|     |         |                               |                  |                              |                    | Value                                   | Description  |           |      |
|     |         |                               |                  |                              |                    | 1                                       | Yes  |           |      |
|     |         |                               |                  |                              |                    | 2                                       | No   |           |      |
|     |         |                               |                  |                              |                    | 3                                       | Unknown  |           |      |
|     |         |                               |                  |                              |                    | 4                                       | Other  |           |      |
|     | _       |                               |                  | _                            | _                  | 5                                       | Not Applicable   |           |      |
| 21  | ME021   | Race 1                        | 4/1/2013         | Lookup<br>Table -<br>Text    | char[2]            | Member's self-disclosed<br>Primary Race | Report the Member-identified primary race here. The code value "UNKNOW" (Unknown/not specified), should be used ONLY when Member answers unknown, or refuses to answer. Do not report any value here if data has not been collected. Report only collected data. <b>EXAMPLE:</b> R9 = Other Race | All       | 3%   |
|     |         |                               |                  |                              |                    | Code                                    | Description  |           |      |
|     |         |                               |                  |                              |                    | R1                                      | American Indian/Alaska Native  |           |      |
|     |         |                               |                  |                              |                    | R2                                      | Asian  |           |      |
|     |         |                               |                  |                              |                    |   |  |           |      |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре                         | Format /<br>Length | Description                               | Element Submission Guideline  | Condition  | %   |
|-----|---------|----------------------|------------------|------------------------------|--------------------|---|---|--|-----|
|     | -       |                      |                  | •                            | •                  | R3  | Black/African American  | _  |     |
|     |         |                      |                  |                              |                    | R4  | Native Hawaiian or other Pacific Islander   |  |     |
|     |         |                      |                  |                              |                    | R5  | White   |  |     |
|     |         |                      |                  |                              |                    | R9  | Other Race  |  |     |
|     |         |                      |                  |                              |                    | UN  | Unknown/not specified   |  |     |
| 22  | ME022   | Race 2               | 4/1/2013         | Lookup<br>Table -<br>Text    | char[2]            | Member's self-disclosed<br>Secondary Race | Report the Member-identified primary race here. The code value "UNKNOW" (Unknown/not specified), should be used ONLY when Member answers unknown, or refuses to answer. Do not report any value here if data has not been collected. Report only collected data. <b>EXAMPLE:</b> R9 = Other Race              | AII  | 2%  |
|     |         |                      |                  |                              |                    | Code                                      | Description   |  |     |
|     |         |                      |                  |                              |                    | R1  | American Indian/Alaska Native   |  |     |
|     |         |                      |                  |                              |                    | R2  | Asian   |  |     |
|     |         |                      |                  |                              |                    | R3  | Black/African American  |  |     |
|     |         |                      |                  |                              |                    | R4  | Native Hawaiian or other Pacific Islander   |  |     |
|     |         |                      |                  |                              |                    | R5  | White   |  |     |
|     |         |                      |                  |                              |                    | R9  | Other Race  |  |     |
|     |         |                      |                  |                              |                    | UN  | Unknown/not specified   |  |     |
| 23  | ME023   | Other Race           | 4/1/2013         | Text                         | varchar[15]        | Member's Other Race                       | Report the member's self-disclosed race when ME021 or ME022 is entered as R9 Other Race; if not applicable, do not report any value here  | Required when<br>ME021 or<br>ME022 = R9<br>(Other) | 99% |
| 24  | ME024   | Hispanic Indicator   | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Hispanic Status               | Report the value that defines the element. The code value "3" for unknown, should be used ONLY when member answers unknown, or refuses to answer. Do not report any value here if the data has not been collected. Report only collected data. <b>EXAMPLE:</b> 1 = Yes, Member has indicated Hispanic status. | All  | 3%  |
|     |         |                      |                  |                              |                    | Value                                     | Description   |  |     |
|     |         |                      |                  |                              |                    | 1   | Yes   |  |     |

| Col | Element | Data Element<br>Name           | Date<br>Modified | Туре                                | Format /<br>Length | Description                               | Element Submission Guideline   | Condition                                  | %    |
|-----|---------|--------------------------------|------------------|-------------------------------------|--------------------|---|--|--|------|
|     |         |                                |                  | •                                   | •                  | 2   | No   |  | •    |
|     |         |                                |                  |                                     |                    | 3   | Unknown  |  |      |
|     |         |                                |                  |                                     |                    | 4   | Other  |  |      |
|     |         |                                |                  |                                     |                    | 5   | Not Applicable   |  |      |
| 25  | ME025   | Ethnicity 1                    | 4/1/2013         | External<br>Code<br>Source -<br>CDC | char[6]            | Member's Primary Ethnicity                | Report the Member-identified primary ethnicity from either the External Code Source or here, whichever provides the best detail as obtained from the Member / Subscriber. The value "UNKNOW" should be used ONLY when the Member answers unknown, or refuses to answer. Do not report any value here if data has not been collected. Report only collected data. | All  | 3%   |
| 26  | ME026   | Ethnicity 2                    | 4/1/2013         | External<br>Code<br>Source -<br>CDC | char[6]            | Member's Secondary<br>Ethnicity           | Report the Member-identified primary ethnicity from either the External Code Source or here, whichever provides the best detail as obtained from the Member / Subscriber. The value "UNKNOW" should be used ONLY when the Member answers unknown, or refuses to answer. Do not report any value here if data has not been collected. Report only collected data. | AII  | 2%   |
| 27  | ME027   | Other Ethnicity                | 4/1/2013         | Text                                | varchar[20]        | Member's Other Ethnicity                  | Report the member's self-disclosed ethnicity when ME025 or ME026 is entered as OTHER; if not applicable, do not report any value here  | Required when<br>ME025 or<br>ME026 = OTHER | 99%  |
| 28  | MF028   | Primary Insurance<br>Indicator | 4/1/2013         | Lookup<br>Table -<br>Integer        | int[1]             | Indicator - Primary Insurance<br>Coverage | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Insurance is Primary (Products, Plans or Benefits that only cover Copays, Coinsurance and Deductibles [Gap Coverage] will answer 2 = No here).   | All  | 100% |
|     | _       |                                |                  |                                     | _                  | Value                                     | Description  |  |      |
|     |         |                                |                  |                                     |                    | 1   | Yes  |  |      |
|     |         |                                |                  |                                     |                    | 2   | No   |  |      |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре                         | Format /<br>Length | Description           | Element Submission Guideline  | Condition                       | %    |
|-----|---------|----------------------|------------------|------------------------------|--------------------|-----------------------|---|---------------------------------|------|
|     |         |                      |                  | •                            | •                  | 3                     | Unknown   |                                 |      |
|     |         |                      |                  |                              |                    | 4                     | Other   |                                 |      |
|     |         |                      |                  |                              |                    | 5                     | Not Applicable  |                                 |      |
| 29  | ME029   | Coverage Type        | 4/1/2013         | Lookup<br>Table -<br>Text    | char[3]            | Type of Coverage Code | Report the code that defines the type of insurance policy by which the enrollee is covered. <b>EXAMPLE:</b> UND = Plan underwritten by the insurer  | Required when<br>ME134 = 1 or 2 | 98%  |
|     |         |                      |                  |                              | -                  | Code                  | Description   |                                 |      |
|     |         |                      |                  |                              |                    | ASW                   | Self-funded plans that are administered by a third-<br>party administrator, where the employer has<br>purchased stop-loss, or group excess, insurance<br>coverage   |                                 |      |
|     |         |                      |                  |                              |                    | ASO                   | Self-funded plans that are administered by a third-<br>party administrator, where the employer has not<br>purchased stop-loss, or group excess, insurance<br>coverage   |                                 |      |
|     |         |                      |                  |                              |                    | STN                   | Short-term, non-renewable health insurance  |                                 |      |
|     |         |                      |                  |                              |                    | UND                   | Plans underwritten by the insurer   |                                 |      |
|     |         |                      |                  |                              |                    | ОТН                   | Any other plan. Insurers using this code shall obtain prior approval.   |                                 |      |
| 30  | ME030   | Group Size           | 7/2/2013         | Lookup<br>Table -<br>Integer | varchar[4]         | Group Size Code       | Code indicating Group Size consistent with Connecticut Insurance Law and Regulation. Required only for plans sold in the commercial large, small and nongroup markets. The following plans/products are not required to report this value: Student Plans, Medicare Supplemental, Medicaid or publicly subsidized plans, stand-alone behavioral health, dental and vision plans. | All                             | 100% |
|     |         |                      |                  |                              |                    | Value                 | Description   |                                 |      |
|     |         |                      |                  |                              |                    | FCH                   | Policies sold and issued directly to individuals on a franchise basis   |                                 |      |
|     |         |                      |                  |                              |                    | GCV                   | Policies sold and issued directly to individuals as group conversion Policies   |                                 |      |

| Col   | Element | Data Element<br>Name | Date<br>Modified | Туре     | Format /<br>Length | Description                       | Element Submission Guideline                             | Condition | %  |
|-------|---------|----------------------|------------------|----------|--------------------|-----------------------------------|--|-----------|----|
| •     |         |                      |                  | •        | •                  | GS1                               | Policies sold and issued directly to employers having    |           | •  |
|       |         |                      |                  |          |                    |                                   | exactly one employee                                     |           |    |
|       |         |                      |                  |          |                    | GS2                               | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    |                                   | between two and nine employees                           |           |    |
|       |         |                      |                  |          |                    | GS3                               | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    |                                   | between 10 and 25 employees                              |           |    |
|       |         |                      |                  |          |                    | GS4                               | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    | U3-1                              | between 26 and 50 employees                              |           |    |
|       |         |                      |                  |          |                    | GLG1                              | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    | 0:01                              | between 51 and 99 employees                              |           |    |
|       |         |                      |                  |          |                    | GLG2                              | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    | GEGZ                              | between 100 and 249 employees                            |           |    |
|       |         |                      |                  |          |                    | GLG3                              | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    | GEGS                              | between 250 and 499 employees                            |           |    |
|       |         |                      |                  |          |                    | GLG4                              | Policies sold and issued directly to employers having    |           |    |
|       |         |                      |                  |          |                    | GLG4                              | 500 or more employees                                    |           |    |
|       |         |                      |                  |          |                    |                                   | Policies sold and issued directly to small employers     |           |    |
|       |         |                      |                  |          |                    | GSA                               | through a qualified association trust                    |           |    |
|       |         |                      |                  |          |                    |                                   | through a qualified association trust                    |           |    |
|       |         |                      |                  |          |                    |                                   | Policies sold to other types of entities. Insurers using |           |    |
|       |         |                      |                  |          |                    | ОТН                               |  |           |    |
|       |         |                      |                  |          |                    |                                   | this market code shall obtain prior approval.            |           |    |
| 31-32 | ME031 - | Filler               | 7/2/2013         | Filler   | char[0]            | Filler                            | Access Health CT reserves this field for future use.     | All       | 0% |
| 31-32 | ME032   | riller               | //2/2013         | riller   | Charloj            | Filler                            | Do not populate with any data                            | All       | 0% |
|       |         |                      |                  |          |                    |                                   | Report the code that defines the spoken language         |           |    |
|       |         |                      |                  | External |                    |                                   | preference of the member. The code value 999             |           |    |
|       |         | Member language      |                  | Code     |                    | Member's self-disclosed           | (Unknown/ Not Specified), should only be used when       |           |    |
| 33    | MEO33   | preference           | 4/1/2013         | Source - | int[3]             | verbal language preference patier | patient/client answers unknown or refuses to             | All       | 3% |
|       |         |                      |                  | Census   |                    |                                   | answer. Do not report any value here if the Carrier      |           |    |
|       |         |                      |                  |          |                    |                                   | does not have the data. Report only collected data.      |           |    |
|       |         |                      |                  |          |                    |                                   |  |           |    |

| Col | Element  | Data Element<br>Name                      | Date<br>Modified | Туре                                  | Format /<br>Length | Description   | Element Submission Guideline  | Condition                     | %    |
|-----|----------|---|------------------|---------------------------------------|--------------------|---|---|-------------------------------|------|
| 34  | M = 0.34 | Member language preference -Other         | 4/1/2013         | Text                                  | varchar[20]        | Member's Other Language<br>Preference   | Report the other language the member / subscriber has identified. Do not report any value If no other language identified   | Required when<br>ME033= Other | 99%  |
| 35  | ME035    | Medical Home Flag                         | 4/1/2013         | Lookup<br>Table -<br>Integer          | int[1]             | Medical Home indicator  | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member has a medical home on record for this coverage period.   | All                           | 100% |
|     |          |   |                  |                                       |                    | Value   | Description   |                               |      |
|     |          |   |                  |                                       |                    | 1   | Yes   |                               |      |
|     |          |   |                  |                                       |                    | 2   | No  |                               |      |
|     |          |   |                  |                                       |                    | 3   | Unknown   |                               |      |
|     |          |   |                  |                                       |                    | 4   | Other   |                               |      |
|     |          | <del> </del>                              |                  |                                       | 1                  | 5   | Not Applicable  |                               |      |
| 36  | MF036    | Medical Home<br>Number                    | 4/1/2013         | Text                                  | varchar[30]        | Health Care Home ID   | Report the submitter assigned medical home number. It is anticipated that this will be the same data submitter number used in reporting servicing provider. Do not report any data here if no applicable. The number of the member's healthcare home must also be in the Provider File in PV002, Provider ID. | Required when<br>ME035 = 1    | 90%  |
| 37  | MF037    | Medical Home Tax<br>ID Number             | 4/1/2013         | Numeric                               | char[9]            | Health Care Home EIN  | Report the Federal Tax Identification Number of the medical home here. If there is not medical home to report, do not report any value. Do not use hyphen or alpha prefix.  | Required when<br>ME035 = 1    | 90%  |
| 38  |          | Medical Home<br>National Provider ID<br>– | 4/1/2013         | External<br>Code<br>Source -<br>NPPES | int[10]            | National Provider<br>Identification (NPI) of the<br>Health Care Home Provider | Report the National Provider Identification (NPI) number for the entity or individual serving as the medical home. If there is no medical home to report, do not report any value.  | Required when<br>ME035 = 1    | 10%  |

| Element | Data Element<br>Name                          | Date<br>Modified  | Туре   | Format /<br>Length   | Description   | Element Submission Guideline   | Condition                                   | %  |
|---------|---|---|--|--|---|--|---|--|
| ME039   | Health Care Home<br>Name                      | 4/1/2013  | Text   | varchar[60]  | Name of Health Care Home  | Report the full name of the medical home. If the medical home is an individual, report in the format of Last name, first name and middle initial with no punctuation. If there is not medical home to report, do not report any value.   | Required when<br>ME035 = 1                  | 90%  |
| ME040   | Product ID Number                             | 7/2/2013  | Filler   | varchar[30]  | Product Identification  | Report the submitter-assigned identifier for the product. This element is used to understand Product and Eligibility attributes of the member / subscriber as applied to this record   | All   | 100%   |
| ME041   | Enrollment Start<br>Date                      | 7/2/2013  | Integer  | int[8]   | Start Date  | Report the date the member was enrolled in YYYYMMDD Format.  | All   | 100%   |
| ME042   | Enrollment End<br>Date                        | 7/2/2013  | Integer  | int[8]   | End Date  | Report the date the member was disenrolled in YYYYMMDD Format. If the member was not disenrolled at the end of the current month, then do not fill with any value.   | Required when<br>ME063 does not<br>= A or P | 10%  |
| ME043   | Member Street<br>Address                      | 4/1/2013  | Text   | varchar[50]  | Street address of the Member  | Report the member's primary street address. Used to create Unique Member ID.   | All   | 98%  |
| ME044   | Member Street<br>Address 2                    | 4/1/2013  | Text   | varchar[50]  | Secondary Street Address of the Member  | Report the address of member which may include apartment number or suite, or other secondary information besides the street. Used to create Unique Member ID.  | All   | 2%   |
| ME045   | Purchased through<br>Access Health CT<br>Flag | 4/1/2013  | Lookup<br>Table -<br>Integer   | int[1]   | Indicator – Access Health CT  | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, policy for this eligibility was purchased through Access Health CT.  | Required when<br>ME126 = 1                  | 100%   |
|         | ME040 ME041 ME042 ME043 ME044                 | ME040 Product ID Number  ME041 Enrollment Start Date  ME042 Enrollment End Date  ME043 Member Street Address  ME044 Member Street Address 2  Purchased through Access Health CT | ElementNameModifiedME039Health Care Home Name4/1/2013ME040Product ID Number7/2/2013ME041Enrollment Start Date7/2/2013ME042Enrollment End Date7/2/2013ME043Member Street Address4/1/2013ME044Member Street Address 24/1/2013ME045Purchased through Access Health CT4/1/2013 | ElementNameModifiedTypeME039Health Care Home Name4/1/2013TextME040Product ID Number7/2/2013FillerME041Enrollment Start Date7/2/2013IntegerME042Enrollment End Date7/2/2013IntegerME043Member Street Address4/1/2013TextME044Member Street Address 24/1/2013TextME045Purchased through Access Health CT4/1/2013Lookup Table - | ElementNameModifiedTypeLengthME039Health Care Home<br>Name4/1/2013Textvarchar[60]ME040Product ID Number7/2/2013Fillervarchar[30]ME041Enrollment Start<br>Date7/2/2013Integerint[8]ME042Enrollment End<br>Date7/2/2013Integerint[8]ME043Member Street<br>Address4/1/2013Textvarchar[50]ME044Member Street<br>Address 24/1/2013Textvarchar[50]ME045Purchased through<br>Access Health CT4/1/2013Lookup<br>Table -int[1] | Element     Name     Modified     Type     Length     Description       ME039     Health Care Home Name     4/1/2013     Text     varchar[60]     Name of Health Care Home       ME040     Product ID Number     7/2/2013     Filler     varchar[30]     Product Identification       ME041     Enrollment Start Date     7/2/2013     Integer     int[8]     Start Date       ME042     Enrollment End Date     7/2/2013     Integer     int[8]     End Date       ME043     Member Street Address     4/1/2013     Text     varchar[50]     Street address of the Member       ME044     Member Street Address 2     4/1/2013     Text     varchar[50]     Secondary Street Address of the Member       ME045     Purchased through Access Health CT     4/1/2013     Lookup Table -     int[1]     Indicator – Access Health CT | Health Care Home Name                       | Health Care Home Name  ME040  Product ID Number  Enollment Start Date  Enrollment End Date  Enrollment End Date  Enrollment End Date  Enrollment End Date  ME042  Member Street Address 2  Member Street Address 2  Member Street Address 2  Member Street Address 2  Me045  Me045  Me046  Me046  Me046  Me047  Me047  Mender Street Address 2  Me047  Me048  Mender Street Address 4  All 2013  Mender Street Address 4  Mender Street Address 4  All 2014  Mender Street Address 4  Mender Street Address 4  All 2015  Mender Street Address 4  All 2016  Mender Street Address 4  All 2017  Mender Street Address 4  All 2018  Mender Street Address 6  M |

| Value | Description    |
|-------|----------------|
| 1     | Yes            |
| 2     | No             |
| 3     | Unknown        |
| 4     | Other          |
| 5     | Not Applicable |
|       | <del>-</del>   |

| Col   | Element          | Data Element<br>Name              | Date<br>Modified | Туре                         | Format /<br>Length | Description  | Element Submission Guideline  | Condition | %    |
|-------|------------------|-----------------------------------|------------------|------------------------------|--------------------|--|---|-----------|------|
| 46    | ME046            | Member PCP ID                     | 4/1/2013         | Text                         | varchar[30]        | Member's PCP ID  | Report the identifier of the members PCP. The value in this field must have a corresponding Provider ID (PV002) in the Provider File. Report a value of 'UNKNOWN' when PCP is unknown or 'NA' if the eligibility does not require a PCP.  | All       | 98%  |
| 47-48 | ME047 -<br>ME048 | Filler                            | 7/2/2013         | Filler                       | char[0]            | Filler   | Access Health CT reserves this field for future use.  Do not populate with any data   | All       | 0%   |
| 49    | ME049            | Member Deductible                 | 7/2/2013         | Integer                      | varchar[10]        | Annual maximum out-of-<br>pocket Member Deductible<br>across all benefit types | Report the maximum amount of Subscriber's / Member's annual deductible across all benefit types (Medical, Rx, Vision, Behavioral Health, etc.) before certain services are covered. Report only In-Network Deductible here if plan has an In-Network vs. Out-of-Network deductible methodology. Report 0 when there is no deductible applied to all benefits for this eligibility. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070 | All       | 100% |
| 50    | ME050            | Filler                            | 7/2/2013         | Filler                       | char[0]            | Filler   | Access Health CT reserves this field for future use.  Do not populate with any data   | All       | 0%   |
| 51    | MF051            | Behavioral Health<br>Benefit Flag | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Behavioral Health<br>Option  | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Behavioral/Mental Health is a covered benefit.  | All       | 100% |
|       |                  |                                   |                  |                              |                    | Value  | Description   |           |      |
|       |                  |                                   |                  |                              |                    | 1  | Yes   |           |      |
|       |                  |                                   |                  |                              |                    | 2  | No  |           |      |
|       |                  |                                   |                  |                              |                    | 3  | Unknown   |           |      |
|       |                  |                                   |                  |                              |                    | 4  | Other   |           |      |
|       |                  |                                   |                  |                              |                    | 5  | Not Applicable  |           |      |
| 52    | ME052            | Filler                            | 7/2/2013         | Filler                       | char[0]            | Filler   | Access Health CT reserves this field for future use.  Do not populate with any data   | All       | 0    |

| Col | Element | Data Element<br>Name                   | Date<br>Modified | Туре                         | Format /<br>Length | Description                          | Element Submission Guideline   | Condition | %    |
|-----|---------|--|------------------|------------------------------|--------------------|--------------------------------------|--|-----------|------|
| 53  | ME053   | Disease<br>Management<br>Enrollee Flag | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Chronic Illness Management indicator | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member's chronic illness is being managed by plan or vendor of plan.                 | All       | 100% |
|     |         |  |                  |                              |                    | Value                                | Description  |           |      |
|     |         |  |                  |                              |                    | 1                                    | Yes  |           |      |
|     |         |  |                  |                              |                    | 2                                    | No   |           |      |
|     |         |  |                  |                              |                    | 3                                    | Unknown  |           |      |
|     |         |  |                  |                              |                    | 4                                    | Other  |           |      |
|     |         |  |                  |                              |                    | 5                                    | Not Applicable   |           |      |
| 54  | ME054   | Filler                                 | 7/2/2013         | Filler                       | char[0]            | Filler                               | Access Health CT reserves this field for future use.  Do not populate with any data  | All       | 0    |
| 55  | ME055   | Business Type Code                     | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Business Type                        | Report the value that defines the submitter's line of business for this line of eligibility. <b>EXAMPLE:</b> 1 = Risk Holder of this line of eligibility | All       | 100% |
|     | •       |  |                  | •                            |                    | Value                                | Description  |           |      |
|     |         |  |                  |                              |                    | 1                                    | Risk Holder  |           |      |
|     |         |  |                  |                              |                    | 2                                    | TPA - Third Party Administrator  |           |      |
|     |         |  |                  |                              |                    | 3                                    | DBA - Delegated Business Administrator   |           |      |
|     |         |  |                  |                              |                    | 4                                    | PBM - Pharmacy Benefit Manger  |           |      |
|     |         |  |                  |                              |                    | 5                                    | DBM - Dental Benefit Manager   |           |      |
|     |         |  |                  |                              |                    | 6                                    | CSO - Computer Service Organization  |           |      |
|     |         |  |                  |                              |                    | 7                                    | Other  |           |      |
|     |         | _                                      |                  | •                            |                    | 0                                    | Unknown / Not Applicable   |           |      |
| 56  | ME056   | Filler                                 | 7/2/2013         | Filler                       | char[0]            | Filler                               | Access Health CT reserves this field for future use.  Do not populate with any data  | All       | 0    |
| 57  | ME057   | Date of Death                          | 4/1/2013         | Full Date -<br>Integer       | int[8]             | Member's Date of Death               | Report the date the member expired in YYYYMMDD Format. If still alive or date of death is unknown, do not report any value here.                         | All       | 0%   |
| 58  | I MF058 | Subscriber Street<br>Address           | 4/1/2013         | Text                         | varchar[50]        | Street address of the<br>Subscriber  | Report the subscriber's primary street address here. Used to create Unique Member ID.  | All       | 98%  |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре                         | Format /<br>Length | Description                | Element Submission Guideline  | Condition | %    |
|-----|---------|----------------------|------------------|------------------------------|--------------------|----------------------------|---|-----------|------|
| 59  | ME059   | Disability Indicator | 7/2/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Disability     | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member is on disability                             | All       | 100% |
|     |         |                      |                  |                              |                    | Value                      | Description   |           |      |
|     |         |                      |                  |                              |                    | 1                          | Yes   |           |      |
|     |         |                      |                  |                              |                    | 2                          | No  |           |      |
|     |         |                      |                  |                              |                    | 3                          | Unknown   |           |      |
|     |         |                      |                  |                              |                    | 4                          | Other   |           |      |
|     |         |                      |                  |                              |                    | 5                          | Not Applicable  |           |      |
| 60  | ME060   | Employment Status    | 7/2/2013         | Lookup<br>Table -<br>Text    | char[1]            | Employment Status Code     | Report the code that defines the employment status of the subscriber / member   | All       | 100% |
|     |         |                      |                  |                              |                    | Value                      | Description   |           |      |
|     |         |                      |                  |                              |                    | А                          | Active  |           |      |
|     |         |                      |                  |                              |                    | I                          | Involuntary Leave   |           |      |
|     |         |                      |                  |                              |                    | 0                          | Orphan  |           |      |
|     |         |                      |                  |                              |                    | Р                          | Pending   |           |      |
|     |         |                      |                  |                              |                    | R                          | Retiree   |           |      |
|     |         |                      |                  |                              |                    | Z                          | Unemployed  |           |      |
|     |         |                      |                  |                              |                    | U                          | Unknown   |           |      |
| 61  | ME061   | Student Status       | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Student Status | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member is a student under age 26 on a parent's plan | All       | 100% |
|     |         |                      |                  |                              |                    | Value                      | Description   |           |      |
|     |         |                      |                  |                              |                    | 1                          | Yes   |           |      |
|     |         |                      |                  |                              |                    | 2                          | No  |           |      |
|     |         |                      |                  |                              |                    | 3                          | Unknown   |           |      |
|     |         |                      |                  |                              |                    | 4                          | Other   |           |      |
|     |         |                      |                  |                              |                    | 5                          | Not Applicable  |           |      |
| 62  | ME062   | Marital Status       | 4/1/2013         | Lookup<br>Table -<br>Text    | char[1]            | Marital Status Code        | Report the member's marital status here   | All       | 100% |
|     |         |                      |                  |                              |                    | Code                       | Description   |           |      |
|     |         |                      |                  |                              |                    | С                          | Common Law Married  |           |      |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре                      | Format /<br>Length | Description                      | Element Submission Guideline  | Condition                       | %        |
|-----|---------|----------------------|------------------|---------------------------|--------------------|----------------------------------|---|---------------------------------|----------|
| -   | •       |                      |                  | •                         |                    | D                                | Divorced  |                                 | •        |
|     |         |                      |                  |                           |                    | M                                | Married   |                                 |          |
|     |         |                      |                  |                           |                    | Р                                | Domestic Partnership  |                                 |          |
|     |         |                      |                  |                           |                    | S                                | Never Married   |                                 |          |
|     |         |                      |                  |                           |                    | W                                | Widowed   | 1                               |          |
|     |         |                      |                  |                           |                    | X                                | Legally Separated   | 1                               |          |
|     |         |                      |                  |                           |                    | U                                | Unknown   |                                 |          |
| 63  | ME063   | Benefit Status       | 7/2/2013         | Lookup<br>Table -<br>Text | char[1]            | Benefit Status Code              | Report the code that defines the status of the benefits for the subscriber / member                   | All                             | 100%     |
|     |         |                      |                  |                           |                    | Code                             | Description   |                                 |          |
|     |         |                      |                  |                           |                    | А                                | Active  |                                 |          |
|     |         |                      |                  |                           |                    | С                                | COBRA   | 1                               |          |
|     |         |                      |                  |                           |                    | Р                                | Pending   | 1                               |          |
|     |         |                      |                  |                           |                    | S                                | Surviving Insured   | 1                               |          |
|     |         |                      |                  |                           |                    | Т                                | TEFRA   |                                 |          |
|     |         |                      |                  |                           |                    | U                                | Unknown   |                                 |          |
| 64  | ME064   | Employee Type        | 7/2/2013         | Lookup<br>Table -<br>Text | char[1]            | Employee Type Code               | Report the code that defines the subscriber's employment  | Required when<br>ME060 = A or P | 100%     |
|     |         |                      |                  |                           | -                  | Code                             | Description   |                                 | <u>-</u> |
|     |         |                      |                  |                           |                    | Н                                | Hourly  |                                 |          |
|     |         |                      |                  |                           |                    | Q                                | Seasonal  |                                 |          |
|     |         |                      |                  |                           |                    | S                                | Salaried  |                                 |          |
|     |         |                      |                  |                           |                    | Т                                | Temporary   |                                 |          |
|     |         |                      |                  |                           |                    | U                                | Unknown   |                                 |          |
| 65  | ME065   | Date of Retirement   | 7/2/2013         | Integer                   | int[8]             | Employee's Date of<br>Retirement | Report the date of the subscriber's retirement in YYYYMMDD Format.                                    | Required when<br>ME060 = R      | 95%      |
| 66  | ME066   | COBRA Status         | 7/2/2013         | Integer                   | int[1]             | Indicator - COBRA Usage          | Report the value that defines the elements.  EXAMPLE: 1 = Yes, Member is covered using COBRA Benefits | All                             | 100%     |
|     | -       |                      |                  | -                         |                    | Value                            | Description   |                                 |          |
|     |         |                      |                  |                           |                    | 1                                | Yes   |                                 |          |
|     |         |                      |                  |                           |                    |                                  |   |                                 |          |

| Col   | Element | Data Element<br>Name    | Date<br>Modified | Туре                         | Format /<br>Length | Description                  | Element Submission Guideline   | Condition                  | %    |
|-------|---------|-------------------------|------------------|------------------------------|--------------------|------------------------------|--|----------------------------|------|
| •     | -       | •                       | •                | •                            | •                  | 2                            | No   |                            |      |
|       |         |                         |                  |                              |                    | 3                            | Unknown  | 1                          |      |
|       |         |                         |                  |                              |                    | 4                            | Other  | 1                          |      |
|       |         |                         |                  |                              |                    | 5                            | Not Applicable   |                            |      |
| 67.70 | ME067 - | e:II                    | 7/2/2012         |                              | 1 [0]              | E-11                         | Access Health CT reserves this field for future use.   | A.II                       | 00/  |
| 67-70 | ME070   | Filler                  | 7/2/2013         | Filler                       | char[0]            | Filler                       | Do not populate with any data  | All                        | 0%   |
| 71    | ME071   | Pool Indicator          | 7/2/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Pool Grouping    | Report the value that defines an employer attribute.   | When ME134 = 3             | 100% |
|       |         |                         |                  |                              | -                  | Value                        | Description  |                            |      |
|       |         |                         |                  |                              |                    | 1                            | State Employee - Active  |                            |      |
|       |         |                         |                  |                              |                    | 2                            | State Employee - Retired   |                            |      |
|       |         |                         |                  |                              |                    | 3                            | Federal Employee - Active  |                            |      |
|       |         |                         |                  |                              |                    | 4                            | Federal Employee - Retired   |                            |      |
|       |         |                         |                  |                              |                    | 5                            | Municipal Employee - Active  |                            |      |
|       |         |                         |                  |                              |                    | 6                            | Municipal Employee - Retired   | 1                          |      |
| 72    | ME072   | Family Size             | 7/2/2013         | Integer                      | varchar[2]         | Family Size as Contracted    | Report the number of individuals covered under the policy / contract identifier (ME009) of the Subscriber. | Required when<br>ME126 = 1 | 100% |
| 73    | ME073   | Fully Insured<br>member | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Fully Insured identifier     | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member is fully insured.               | All                        | 100% |
|       |         |                         |                  |                              | -                  | Value                        | Description  |                            |      |
|       |         |                         |                  |                              |                    | 1                            | Yes  |                            |      |
|       |         |                         |                  |                              |                    | 2                            | No   |                            |      |
|       |         |                         |                  |                              |                    | 3                            | Unknown  |                            |      |
|       |         |                         |                  |                              |                    | 4                            | Other  |                            |      |
|       |         |                         |                  |                              |                    | 5                            | Not Applicable   | 1                          |      |
| 74    | ME074   | Interpreter             | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Interpreter Need | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Member requires an interpreter.        | All                        | 100% |
|       |         |                         |                  |                              |                    | Value                        | Description  |                            |      |
|       |         |                         |                  |                              |                    | 1                            | Yes  | 1                          |      |
|       |         |                         |                  |                              |                    | 2                            | No   | 1                          |      |
|       |         |                         |                  |                              |                    | L                            | · ·  | 4                          |      |

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| Col   | Element          | Data Element<br>Name                                | Date<br>Modified | Туре                                  | Format /<br>Length | Description                     | Element Submission Guideline  | Condition                                | %    |
|-------|------------------|---|------------------|---------------------------------------|--------------------|---------------------------------|---|--|------|
| •     |                  |   |                  | •                                     | •                  | 3                               | Unknown   |  | •    |
|       |                  |   |                  |                                       |                    | 4                               | Other   |  |      |
| _     |                  |   |                  |                                       |                    | 5                               | Not Applicable  |  |      |
| 75-76 | ME075-<br>ME076  | Filler  | 7/2/2013         | Filler                                | char[0]            | Filler                          | Access Health CT reserves this field for future use. Do not populate with any data  | All                                      | 0%   |
| 77    | ME077            | Member's North<br>American Industry<br>Code (NAICS) | 7/2/2013         | External<br>Code<br>Source -<br>NAICS | varchar[6]         | Member's Standard NAICS<br>Code | Report the standard code that describes the industry of the subscriber and/or member.   | All                                      | 25%  |
| 78    | ME078            | Employer Zip Code                                   | 7/2/2013         | Numeric                               | char[5]            | Zip Code of the Employer        | Report the 5 digit zip code of the Employer of the Subscriber / Member.   | Required when<br>ME060 = A or P          | 98%  |
| 78-80 | ME079 -<br>ME080 | Filler  | 7/2/2013         | Filler                                | char[0]            | Filler                          | Access Health CT reserves this field for future use. Do not populate with any data  | All                                      | 0%   |
| 81    | ME081            | Medicare Code                                       | 7/2/2013         | Integer                               | int[1]             | Indicator - Medicare Plan       | Report the value that defines if and what type of Medicare coverage that applies to this line of eligibility. EXAMPLE: 1 = Member has Part A Only | Required when<br>ME003 = 16, MA<br>or MB | 100% |
|       |                  |   |                  | •                                     | •                  | Value                           | Description   |  |      |
|       |                  |   |                  |                                       |                    | 1                               | Part A Only   |  |      |
|       |                  |   |                  |                                       |                    | 2                               | Part B Only   | 1  |      |
|       |                  |   |                  |                                       |                    | 3                               | Part A and B  |  |      |
|       |                  |   |                  |                                       |                    | 4                               | Part C Only   |  |      |
|       |                  |   |                  |                                       |                    | 5                               | Advantage   |  |      |
|       |                  |   |                  |                                       |                    | 6                               | Part D Only   |  |      |
|       |                  |   |                  |                                       |                    | 9                               | Not Applicable  |  |      |
|       |                  |   |                  |                                       |                    | 0                               | No Medicare Coverage  |  |      |
| 82    | ME082            | Employer Name                                       | 4/1/2013         | Text                                  | varchar[60]        | Member's Employer Name          | Report the name of the subscriber's / member's employer at time of enrollment.  | Required when<br>ME060 = A or P          | 98%  |
| 83    | ME083            | Employer EIN  | 4/1/2013         | Numeric                               | char[9]            | Member's Employer EIN           | Report the Federal Tax ID of the Employer here. Do not use hyphen or alpha prefix.  | Required when<br>ME060 = A or P          | 98%  |

| Col | Element | Data Element<br>Name         | Date<br>Modified | Туре | Format /<br>Length | Description                  | Element Submission Guideline   | Condition | %    |
|-----|---------|------------------------------|------------------|------|--------------------|------------------------------|--|-----------|------|
| 84  | ME101   | Subscriber Last<br>Name      | 4/1/2013         | Text | varchar[60]        | Last name of Subscriber      | Report the last name of the subscriber. Used to create Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE            | All       | 100% |
| 85  | ME102   | Subscriber First<br>Name     | 4/1/2013         | Text | varchar[25]        | First name of Subscriber     | Report the first name of the subscriber here. Used to create Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All       | 100% |
| 86  | ME103   | Subscriber Middle<br>Initial | 4/1/2013         | Text | char[1]            | Middle initial of Subscriber | Report the Subscriber's middle initial here. Used to create Unique Member ID.  | All       | 2%   |
| 87  | ME104   | Member Last Name             | 4/1/2013         | Text | varchar[60]        | Last name of Member          | Report the last name of the patient / member here. Used to create Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE | All       | 100% |
| 88  | ME105   | Member First Name            | 4/1/2013         | Text | varchar[25]        | First name of Member         | Report the first name of the member here. Used to create Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All       | 100% |

| Col   | Element     | Data Element<br>Name                 | Date<br>Modified | Туре                                   | Format /<br>Length | Description   | Element Submission Guideline   | Condition                  | %    |
|-------|-------------|--------------------------------------|------------------|--|--------------------|---|--|----------------------------|------|
| 89    | MF106       | Member Middle<br>Initial             | 4/1/2013         | Text                                   | char[1]            | Middle initial of Member  | Report the middle initial of the member when available. Used to create Unique Member ID.   | All                        | 2%   |
| 90    | ME107       | Carrier Specific<br>Unique Member ID | 4/1/2013         | Text                                   | varchar[50]        | Member's Unique ID  | Report the identifier the carrier / submitter uses internally to uniquely identify the member. Used to create Unique Member ID and link across carrier's / submitter's files for reporting and aggregation   | All                        | 100% |
| 91    | ME108       | Subscriber City<br>Name              | 4/1/2013         | Text                                   | varchar[30]        | City name of the Subscriber   | Report the city name of the Subscriber   | All                        | 98%  |
| 92    | MF109       | Subscriber State or<br>Province      | 4/1/2013         | External<br>Code<br>Source 2 -<br>Text | char[2]            | State of the Subscriber   | Report the state of the subscriber here. Used to create Unique Member ID.  | All                        | 99%  |
| 93    | ME110       | Subscriber ZIP Code                  | 4/1/2013         | External<br>Code<br>Source 2 -<br>Text | varchar[9]         | Zip Code of the Subscriber  | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen. Used to create Unique Member ID.  | All                        | 99%  |
| 94-97 | ME111 - 114 | Filler                               | 7/2/2013         | Filler                                 | char[0]            | Filler  | Access Health CT reserves this field for future use. Do not populate with any data   | All                        | 0%   |
| 98    | ME115       | Dental Deductible                    | 7/2/2013         | Integer                                | varchar[10]        | Maximum out-of-pocket<br>amount of member's<br>deductible applied to Dental<br>Benefits | Report the maximum amount of the Subscriber's / Member's deductible that is applied to dental services before dental services are covered. Report 0 when there is no deductible for this benefit. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>ME020 = 1 | 98%  |

| Col | Element | Data Element<br>Name                        | Date<br>Modified | Туре                         | Format /<br>Length | Description   | Element Submission Guideline   | Condition                  | %    |
|-----|---------|---|------------------|------------------------------|--------------------|---|--|----------------------------|------|
| 99  | ME116   | Vision Deductible                           | 7/2/2013         | Integer                      | varchar[10]        | Maximum out-of-pocket<br>amount of member's<br>deductible applied to Vision<br>Benefits | Report the maximum amount of the Subscriber's / Member's deductible that is applied to vision services before vision services are covered. Report 0 when there is no deductible for this benefit. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>ME118 = 1 | 98%  |
| 100 | ME117   | Carrier Specific<br>Unique Subscriber<br>ID | 4/1/2013         | Text                         | varchar[50]        | Subscriber's Unique ID  | Report the identifier the carrier / submitter uses internally to uniquely identify the subscriber. Used to create Unique Member ID and link across carrier's / submitter's files for reporting and aggregation   | All                        | 100% |
| 101 | ME118   | Vision Benefit                              | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Vision Option   | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Vision is a covered benefit.   | All                        | 100% |
|     |         |   |                  |                              |                    | Value   | Description  |                            |      |
|     |         |   |                  |                              |                    | 1   | Yes  |                            |      |
|     |         |   |                  |                              |                    | 2   | No<br>Unknown  |                            |      |
|     |         |   |                  |                              |                    | 3   |  |                            |      |
|     |         |   |                  |                              |                    | 4   | Other  |                            |      |
| 102 | ME119   | Filler                                      | 7/2/2013         | Filler                       | char[0]            | 5<br>Filler   | Not Applicable  Access Health CT reserves this field for future use.  Do not populate with any data  | All                        | 0%   |
| 103 | ME120   | Actuarial Value                             | 4/1/2013         | Decimal -<br>Numeric         | varchar[6]         |   | Report the Actuarial Value for the Member's coverage for the time period indicated by Enrollment Start and End dates in 0.0000 Format.   | Required when<br>ME126 = 1 | 100% |
| 104 | ME121   | Metal Level                                 | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Standardized plan level in metal reference  | Report the Metal Level benefits that the member is associated to in this line of eligibility <b>EXAMPLE:</b> 1 = Bronze Level  | Required when<br>ME126 = 1 | 100% |
|     |         |   |                  |                              |                    | Value   | Description  |                            |      |

| Col     | Element          | Data Element<br>Name                      | Date<br>Modified | Туре    | Format /<br>Length | Description   | Element Submission Guideline   | Condition                  | %    |
|---------|------------------|---|------------------|---------|--------------------|---|--|----------------------------|------|
|         |                  | •   |                  | _       | •                  | 1   | Bronze   | -                          |      |
|         |                  |   |                  |         |                    | 2 Silver  |  |                            |      |
|         |                  |   |                  |         |                    | 3   | Gold   |                            |      |
|         |                  |   |                  |         |                    | 4   | Platinum   |                            |      |
|         |                  |   |                  |         |                    | 5   | Catastrophic   |                            |      |
|         |                  |   |                  |         |                    | 0   | Unknown / Not Applicable   |                            |      |
| 105-108 | ME122 -<br>ME125 | Filler                                    | 7/2/2013         | Filler  | char[0]            | Filler  | Access Health CT reserves this field for future use.  Do not populate with any data  | All                        | 0%   |
| 109     |                  | Risk Adjustment<br>Covered Plan<br>(RACP) | 7/2/2013         | Integer | int[1]             | Subscriber / Member enrolled<br>in a Risk Adjustment Plan | Non-grandfathered individual and small group plans underwritten and filed in the State of Connecticut. Large group plans, self-insured plans, and plans underwritten and filed in states other than Connecticut are not subject to risk adjustment algorithms. Report the status as of the 15th of the month. EXAMPLE: 1 = Yes, member was enrolled in a RACP as of the 15th of the month. | All                        | 100% |
|         |                  |   |                  | •       | •                  | Value   | Description  |                            |      |
|         |                  |   |                  |         |                    | 1   | Yes  |                            |      |
|         |                  |   |                  |         |                    | 2   | No   |                            |      |
| 110     | ME127            | Billable Member                           | 7/2/2013         | Integer | int[1]             | Indicator - Billable Member                               | Report the value that defines the element.  EXAMPLE: 1 = Yes, member is defined as a Billable  Member.   | Required when<br>ME126 = 1 | 100% |
|         |                  |   |                  |         |                    | Value   | Description  |                            |      |
|         |                  |   |                  |         |                    | 1   | Yes  |                            |      |
|         |                  |   |                  | 1       | 1                  | 2   | No   |                            |      |
| 111-114 | ME128 -<br>ME131 | Filler                                    | 7/2/2013         | Filler  | char[0]            | Filler  | Access Health CT reserves this field for future use.  Do not populate with any data  | All                        | 0%   |
| 115     | ME132            | Total Monthly<br>Premium                  | 7/2/2013         | Integer | varchar{10]        | Combined contribution of<br>Employer + Subscriber         | Report the total monthly premium at the Subscriber level. Report 0 if no premium is charged. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070  | Required when<br>ME126 = 1 | 100% |

| Col   | Element | Data Element<br>Name       | Date<br>Modified | Туре                         | Format /<br>Length | Description  | Element Submission Guideline  | Condition   | %    |
|-------|---------|----------------------------|------------------|------------------------------|--------------------|--|---|-------------|------|
| 116   | ME133   | Filler                     | 7/2/2013         | Filler                       | char[0]            | Filler   | Access Health CT reserves this field for future use.  Do not populate with any data   | All         | 0%   |
| 117   | ME134   | APCD ID Code               | 7/2/2013         | Lookup<br>Table -<br>Integer | int[1]             | Member Enrollment Type                                 | Report the value that describes the subscriber's / member's enrollment into one of the predefined categories; aligns enrollment to appropriate editing and thresholds. <b>EXAMPLE:</b> 1 = FIG = Fully Insured Commercial Group | All         | 100% |
|       |         |                            |                  |                              |                    | Value  | Description   |             |      |
|       |         |                            |                  |                              |                    | 1  | FIG - Fully-Insured Commercial Group Enrollee   |             |      |
|       |         |                            |                  |                              |                    | 2  | SIG - Self-Insured Group Enrollee   |             |      |
|       |         |                            |                  |                              |                    | 3  | State or Federal Employer Enrollee  |             |      |
|       |         |                            |                  |                              |                    | 4  | Individual - Non-Group Enrollee   |             |      |
|       |         |                            |                  |                              |                    | 5  | Supplemental Policy Enrollee  |             |      |
|       |         |                            |                  |                              |                    | 6  | ICO - Integrated Care Organization  |             |      |
|       |         | 0 Unknown / Not Applicable |                  |                              |                    |  |   |             |      |
| 118   | ME899   | Record Type                | 4/1/2013         | Text                         | char[2]            | Tile Type Identifier                                   | Report <b>ME</b> here. This validates the type of file and the data contained within the file. This must match HD004.   | All         | 100% |
| TR-ME | TR001   | Record<br>Type             | 10/7/2013        | Text                         | char[2]            | Trailer Record<br>Identifier                           | Report TR here. Indicates the end of the data file.   | Mandatory   | 100% |
| TR-ME | TR002   | Submitter                  | 10/7/2013        | Integer                      | varchar[6]         | Trailer Submitter /<br>Carrier ID defined<br>by AHCT   | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. This must match the Submitter ID reported in HD002.   | Mandatory   | 100% |
| TR-ME | TR003   | National<br>Plan ID        | 10/7/2013        | Integer                      | int[10]            | CMS National<br>Plan Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   |

| Col   | Element | Data Element<br>Name        | Date<br>Modified | Туре                   | Format /<br>Length | Description                                     | Element Submission Guideline  | Condition | %    |
|-------|---------|-----------------------------|------------------|------------------------|--------------------|---|---|-----------|------|
| TR-ME | TR004   | Type of<br>File             | 10/7/2013        | Text                   | char[2]            | Validates the file<br>type defined in<br>HD004. | Report <b>ME</b> here. This must match the File Type reported in HD004.   | Mandatory | 100% |
| TR-ME |         | Period<br>Beginning<br>Date | 10/7/2013        | Full Date -<br>Integer | int[8]             | Trailer Period<br>Start Date                    | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and HD006.            | Mandatory | 100% |
| TR-ME |         | Period<br>Ending<br>Date    | 10/7/2013        | Full Date -<br>Integer | int[8]             | Trailer Period<br>Ending Date                   | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in TR005 and HD005 and HD006. | Mandatory | 100% |
| TR-ME | TR007   | Date<br>Processed           | 10/7/2013        | Full Date -<br>Integer | int[8]             | Trailer Processed<br>Date                       | Report the full date that the submission was compiled by the submitter in YYYYMMDD Format.  | Mandatory | 100% |

## Medical Claims Data Contents Guide 12/5/2013

| Col           | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре                | Format /<br>Length | Description  | Element Submission Guideline  | Condition   | %    | PACDR 837I Map | PACDR 837P Map |
|---------------|-------|-----------------------------|------------------|---------------------|--------------------|--|---|-------------|------|----------------|----------------|
| HD<br>-<br>MC | HD001 | Record<br>Type              | 10/7/2013        | Text                | char[2]            | Header Record<br>Identifier                                      | Report HD here. Indicates the beginning of the Header Elements of the file.   | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD002 | Submitter                   | 10/7/2013        | Integer             | varchar[6]         | Header Submitter<br>/ Carrier ID<br>defined by AHCT              | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. TR002 must match the Submitter ID reported here. This ID is linked to other elements in the file for quality control.                 | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD003 | National<br>Plan ID         | 10/7/2013        | Integer             | int[10]            | Header CMS<br>National Plan<br>Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   | n/a            | n/a            |
| HD<br>-<br>MC | HD004 | Type of<br>File             | 10/7/2013        | Text                | char[2]            | Defines the file<br>type and data<br>expected.                   | Report <b>MC</b> here. Indicates that the data within this file is expected to be MEDICAL CLAIM-based. This must match the File Type reported in TR004.   | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD005 | Period<br>Beginning<br>Date | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Start Date                                      | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must be repeated in HD006, TR005 and TR006. This same date must be selected in the upload application for successful transfer. | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD006 | Period<br>Ending<br>Date    | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Ending Date                                     | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and be repeated in TR005 and TR006.  | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD007 | Record<br>Count             | 10/7/2013        | Integer             | varchar[10]        | Header Record<br>Count   | Report the total number of records submitted within this file. Do not report leading zeros, space fill, decimals, or any special characters.  | Mandatory   | 100% | n/a            | n/a            |
| HD<br>-<br>MC | HD008 | Comments                    | 10/7/2013        | Text                | varchar[80]        | Header Carrier<br>Comments                                       | May be used to document the submission by assigning a filename, system source, compile identifier, etc.   | Optional    | 0%   | n/a            | n/a            |

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| HD<br>-<br>MC | HD009 | APCD<br>Version<br>Number      | 10/7/2013 | Decimal -<br>Numeric | char[3]    | Submission Guide<br>Version                      | Report the version number as presented on the APCD Medical Claim File Submission Guide in 0.0 Format. Sets the intake control for editing elements. Version must be accurate else file will drop.  EXAMPLE: 3.0 = Newest Version | Mandatory | 100% | n/a                      | n/a                      |
|---------------|-------|--------------------------------|-----------|----------------------|------------|--|--|-----------|------|--------------------------|--------------------------|
|               |       |                                |           |                      |            | Code   | Description  |           |      |                          |                          |
|               |       |                                |           |                      |            |  | Current Version; required for reporting periods as of October 2013   |           |      |                          |                          |
| 1             | MC001 | Submitter                      | 4/1/2013  | Integer              | varchar[6] | CT APCD defined and maintained unique identifier | Report the Unique Submitter ID as defined by CT APCD here. This must match the Submitter ID reported in HD002  | All       | 100% | Loop 1000A Segment NM109 | Loop 1000A Segment NM109 |
| 2             | MC002 | National Plan ID               | 4/1/2013  | Integer              | int[10]    | Identification Number (PlanID)                   | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans                                      | All       | 0%   | n/a                      | n/a                      |
| 3             | MC003 | Insurance Type<br>Code/Product | 7/2/2013  | Lookup Table - Text  | cnarizi    | Type / Product Identification Code               | Report the code that defines the type of insurance under which this patient's claim line was processed. <b>EXAMPLE:</b> HM = HMO   | All       | 100% | n/a                      | n/a                      |
|               |       |                                |           |                      |            | Code   | Description  |           |      |                          |                          |
|               |       |                                |           |                      |            | 9  | Self-pay   |           |      |                          |                          |

Other Non-Federal Programs \* (use of this value requires

disclosure to Data Manager prior to submission) Preferred Provider Organization (PPO) \* 12 13 Point of Service (POS) \* Exclusive Provider Organization (EPO) \* 14 15 Indemnity Insurance \* Health Maintenance Organization (HMO) Medicare Risk 16 Dental Maintenance Organization (DMO) \* 17 96 Husky Health A 97 Husky Health B 98 Husky Health C 99 Husky Health D AM Automobile Medical \* СН Champus (now TRICARE) \* CI Commercial Insurance DS Disability \* НМ Health Maintenance Organization \* LM Liability Medical \* MA Medicare Part A \* MB Medicare Part B \* MC Medicaid \* Other Federal Program \* (use of this value requires OF disclosure to Data Manager prior to submission)

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27 Medical Claims Data

|    |        |                                     |            |                     |             | VA  | Veterans Affairs Plan *  |     |      |   |   |
|----|--------|-------------------------------------|------------|---------------------|-------------|---|--|-----|------|---|---|
|    |        |                                     |            |                     |             | WC  | Workers' Compensation *  |     |      |   |   |
|    |        |                                     |            |                     |             | ZZ  | Mutually Defined * (use of this value requires disclosure to Data Manager prior to submission)   |     |      |   |   |
| 4  | MC004  | Payer Claim Control<br>Number       | 4/1/2013   | Text                | varchar[35] | Payer Claim Control<br>Identification           | Report the Unique identifier within the payer's system that applies to the entire claim  | All | 100% | Loop 2300 Segment CLM01   | Loop 2300 Segment CLM01   |
| 5  | MC005  | Line Counter                        | 4/1/2013   | Integer             | varchar[4]  | Incremental Line<br>Counter                     | Report the line number for this service within the claim.<br>Start with 1 and increment by 1 for each additional line.<br>Do not start with 0, include alphas or special characters.   | All | 100% | Loop 2400 Segment LX01  | Loop 2400 Segment LX01  |
| 6  | MC005A | Version Number                      | 4/1/2013   | Integer             | varchar[4]  | Claim Service Line<br>Version Number            | Report the version number of this claim service line. The version number begins with 0 and is incremented by 1 for each subsequent version of that service line. No alpha or special characters.   | All | 100% | n/a   | n/a   |
| 7  | MC006  | Insured Group or<br>Policy Number   | 4/1/2013   | Text                | varchar[30] | Group / Policy Number                           | Report the number that defines the insured group or policy. Do not report the number that uniquely identifies the subscriber or member   | All | 98%  | n/a   | n/a   |
| 8  | MC007  | Subscriber SSN                      | 4/1/2013   | Numeric             | char[9]     | Subscriber's Social<br>Security Number          | Report the Subscriber's SSN here; used to validate Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here   | All | 75%  | Loop 2010BA Segment REF02 where<br>REF01 - SY   | Loop 2010BA Segment REF02 where<br>REF01 - SY   |
| 9  | MC008  | Plan Specific Contract<br>Number    | 4/1/2013   | Text                | varchar[30] | Contract Number                                 | Report the Plan assigned contract number. Do not include values in this field that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents. | All | 98%  | Loop 2300 Segment CN104   | Loop 2300 Segment CN104   |
| 10 | MC009  | Member Suffix or<br>Sequence Number | 4/1/2013   | Text                | varchar[20] | Member/Patient's<br>Contract Sequence<br>Number | Report the unique number / identifier of the member / patient within the contract  | All | 98%  | n/a   | n/a   |
| 11 | MC010  | Member SSN                          | 4/1/2013   | Numeric             | char[9]     | Member/Patient's<br>Social Security<br>Number   | Report the patient's social security number here; used to validate Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here   | All | 75%  | Loop 2010BA Segment REF02 where<br>REF01 = SY when Segment SBR02 =<br>18 - ELSE - Loop 2010CA Segment<br>REF02 where REF01 = SY | Loop 2010BA Segment REF02 where<br>REF01 = SY when Segment SBR02 =<br>18 - ELSE - Loop 2010CA Segment<br>REF02 where REF01 = SY |
| 12 | MC011  | Individual<br>Relationship Code     | 10/30/2013 | Lookup Table - Text | varchar[2]  | Patient to Subscriber<br>Relationship Code      | Report the value that defines the Patient's relationship to the Subscriber. <b>EXAMPLE:</b> 1 = Spouse   | All | 98%  | When present Loop 2000B SBR02 =<br>18 - ELSE - Loop 2000C Segment<br>PAT01  | When present Loop 2000B SBR02 =<br>18 - ELSE - Loop 2000C Segment<br>PAT01  |
|    |        |                                     |            |                     |             | Code  | Description  | •   |      |   |   |

Title V \*

TV

| Code | Description                |
|------|----------------------------|
| 1    | Spouse                     |
| 4    | Grandfather or Grandmother |
| 5    | Grandson or Granddaughter  |
| 7    | Nephew or Niece            |
| 10   | Foster Child               |
| 12   | Other Adult                |
| 15   | Ward                       |
| 17   | Stepson or Stepdaughter    |
| 19   | Child                      |
| 20   | Self / Employee            |

|    |        |                                    |          |                                |             | 21                                  | Halmanna  |      |       |   |  |
|----|--------|------------------------------------|----------|--------------------------------|-------------|-------------------------------------|---|------|-------|---|--|
|    |        |                                    |          |                                |             | 21                                  | Unknown   |      |       |   |  |
|    |        |                                    |          |                                |             |                                     | Handicapped Dependent   |      |       |   |  |
|    |        |                                    |          |                                |             | 23                                  | Sponsored Dependent   |      |       |   |  |
|    |        |                                    |          |                                |             |                                     | Dependent of a Minor Dependent  |      |       |   |  |
|    |        |                                    |          |                                |             | 29                                  | Significant Other   |      |       |   |  |
|    |        |                                    |          |                                |             | 32                                  | Mother  |      |       |   |  |
|    |        |                                    |          |                                |             | 33                                  | Father Other Adult  |      |       |   |  |
|    |        |                                    |          |                                |             | 34                                  | Other Adult   |      |       |   |  |
|    |        |                                    |          |                                |             | 36                                  | Emancipated Minor   |      |       |   |  |
|    |        |                                    |          |                                |             | 39                                  | Organ Donor   |      |       |   |  |
|    |        |                                    |          |                                |             | 40                                  | Cadaver Donor   |      |       |   |  |
|    |        |                                    |          |                                |             | 41                                  | Injured Plaintiff   |      |       |   |  |
|    |        |                                    |          |                                |             | 43                                  | Child Where Insured Has No Financial Responsibility   |      |       |   |  |
|    |        |                                    |          |                                |             | 53                                  | Life Partner  |      |       |   |  |
|    |        |                                    |          |                                |             | 76                                  | Dependent   |      |       |   |  |
|    |        |                                    |          |                                |             |                                     | Report patient gender as found on the claim in alpha  |      |       | Loop 2010BA Segment DMG03 when  | Loop 2010BA Segment DMG03 when   |
| 13 | MC012  | Member Gender                      | 4/1/2013 | Lookup Table - Text            | char[1]     | Patient's Gender                    | format. Used to validate clinical services when   | All  |       | Loop 2000B Segment SBR02 = 18 -   | Loop 2000B Segment SBR02 = 18 - OR   |
| 13 | WICOIZ | Wichiber Gender                    | 4/1/2013 | LOOKUP TUDIC TEXT              | Char[1]     | Tatient's Gender                    | applicable and Unique Member ID. <b>EXAMPLE:</b> F =  | 7.II | 10070 | ELSE - Loop 2010CA Segment DMG03  | _  |
|    |        |                                    |          |                                |             |                                     | Female  |      |       | ELSE EGOP ZOTOCA SEGMENT DIVIGOS  | 200p 2010CA Segment Dividos  |
|    |        |                                    |          |                                |             | Code                                | Description   |      |       |   |  |
|    |        |                                    |          |                                |             | F                                   | Female  |      |       |   |  |
|    |        |                                    |          |                                |             | M                                   | Male  |      |       |   |  |
|    |        | T                                  | T        | Т                              | T           | U                                   | Unknown   |      | T     | T   |  |
| 14 | MC013  | Member Date of<br>Birth            | 4/1/2013 | Full Date - Integer            | int[8]      | Member/Patient's<br>date of birth   | Report the date the member / patient was born in YYYYMMDD Format. Used to validate Unique Member ID.  | All  | 99%   | Loop 2000B Segment SBR02 = 18 -   | Loop 2010BA Segment DMG02 when<br>Loop 200B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment DMG02 |
| 15 | MC014  | Member City Name                   | 4/1/2013 | Text                           | varchar[30] | City name of the<br>Member/Patient  | Report the city name of the member / patient. Used to validate Unique Member ID   | All  | 99%   | Loop 2010BA Segment N401 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N401 | Loop 2010BA Segment N401 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N401  |
| 16 | MC015  | Member State                       | 4/1/2013 | External Code Source -<br>USPS | char[2]     | State / Province of the<br>Patient  | Report the state of the patient as defined by the US Postal Service. Report Province when Country Code does not = USA   | All  | 100%  | Loop 2010BA Segment N402 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N402 | Loop 2010BA Segment N402 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N402  |
| 17 | MC016  | Member ZIP Code                    | 4/1/2013 | External Code Source -<br>USPS | varchar[9]  | Zip Code of the<br>Member / Patient | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.  | All  | 100%  | Loop 2010BA Segment N403 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N403 | Loop 2010BA Segment N403 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment N403  |
| 18 | MC017  | Date Service<br>Approved (AP Date) | 4/1/2013 | Full Date - Integer            | int[8]      | Date Service Approved<br>by Payer   | Report the date that the payer approved this claim line for payment in YYYYMMDD Format. This element was designed to capture date other than the Paid date. If Approved Date and Paid Date are the same, then the date here should match Paid Date. | All  | 100%  | n/a   | n/a  |

| 19 | MC018 | Admission Date                    | 4/1/2013 | Full Date - Integer             | int[8]      | Inpatient Admit Date   | Report the date of admit to a facility in YYYYMMDD Format. Only applies to facility claims were Type of Bill = an inpatient setting.   | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 98% | EITHER - Loop 2300 Segment DTP03<br>where DTP01 = 435 and DTP02 = D8 -<br>OR- The first eight digits of Loop 2300<br>Segment DTP03 where DTP01 = 435<br>and DTP02 = DT | n/a  |
|----|-------|-----------------------------------|----------|---------------------------------|-------------|--|--|---|-----|--|--|
| 20 | MC019 | Admission Hour                    | 4/1/2013 | Numeric                         | char[4]     | Admission Time   | Report the Admit Time in HHMM Format. Only applies to facility claims were Type of Bill = an inpatient setting. Time is expressed in military time. If only the hour is known, code the minutes as 00. 4 AM would be reported as 0400; 4 PM would be reported as 1600.     | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 5%  | ONLY - The last four digits of Loop<br>2300 Segment DTP03 where DTP01 =<br>435 and DTP02 = DT  | n/a  |
| 21 | MC020 | Admission Type                    | 4/1/2013 | External Code Source -<br>NUBC  | int[1]      | Admission Type Code  | Report Admit Type as it applies to facility claims were Type of Bill = an inpatient setting. This code indicates the type of admission into an inpatient setting. Also known as Admission Priority.  | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 98% | Loop 2300 Segment CL101  | n/a  |
| 22 | MC021 | Admission Source                  | 4/1/2013 | External Code Source -<br>NUBC  | char[1]     | Admission Source<br>Code   | Report the code that applies to facility claims were Type of Bill = an inpatient setting. This code indicates how the patient was referred into an inpatient setting at the facility.  | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 98% | Loop 2300 Segment CL102  | n/a  |
| 23 | MC022 | Discharge Hour                    | 4/1/2013 | Numeric                         | char[4]     | Discharge Time   | Report the Discharge Time in HHMM Format. Only applies to facility claims were Type of Bill = an inpatient setting. Time is expressed in military time. If only the hour is known, code the minutes as 00. 4 AM would be reported as 0400; 4 PM would be reported as 1600. | Required when<br>MC094 = 002 and<br>MC069 is<br>populated | 5%  | Loop 2300 Segment DTP03 where DTP01 = 096  | n/a  |
| 24 | MC023 | Discharge Status                  | 4/1/2013 | External Code Source -<br>NUBC  | char[2]     | Inpatient Discharge<br>Status Code                                   | Report the appropriate Discharge Status Code of the patient as defined by External Code Source   | Required when<br>MC094 = 002 and<br>MC069 is<br>populated | 98% | Loop 2300 Segment CL103  | n/a  |
| 25 | MC024 | Service Provider<br>Number        | 4/1/2013 | Text                            | varchar[30] | Service Provider<br>Identification Number                            | Report the carrier / submitter assigned service provider number. This number should be the identifier used for internal identification purposes, and does not routinely change. The value in this field must match a record in the provider file in PV002.                 | All   | 99% | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>REF02 where REF01 = G2   | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>REF02 where REF01 = G2       |
| 26 | MC025 | Service Provider Tax<br>ID Number | 4/1/2013 | Numeric                         | char[9]     | Service Provider's Tax<br>ID number                                  | Report the Federal Tax ID of the Service Provider here. Do not use hyphen or alpha prefix.   | All   | 97% | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>REF02 where REF01 = EI or SY   | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>REF02 where REF01 = EI or SY |
| 27 | MC026 | National Provider ID -<br>Service | 4/1/2013 | External Code Source -<br>NPPES | int[10]     | National Provider<br>Identification (NPI) of<br>the Service Provider | Report the Primary National Provider ID (NPI) of the Servicing Provider in MC024. This ID should be found on the Provider File in the NPI Field (PV039)  | All   | 99% | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM109  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM109                        |

| 28 | MC027 | Service Provider<br>Entity Type Qualifier               | 4/1/2013  | Lookup Table - integer         | int[1]      | Service Provider Entity<br>Identifier Code               | Report the value that defines the provider entity type. Only individuals should be identified with a 1. Facilities, professional groups and clinic sites should all be identified with a 2. <b>EXAMPLE:</b> 1 = Person                            | All                          | 98%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM102 - sets this value = 2 always | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM102 - sets this value = 2 always |
|----|-------|---|-----------|--------------------------------|-------------|--|---|------------------------------|------|--|--|
| •  |       | •   | •         | •                              | •           | Value  | Description   |                              |      | •  |  |
|    |       |   |           |                                |             | 1  | Person  |                              |      |  |  |
|    |       |   |           |                                |             | 2  | Non-person entity   |                              |      |  |  |
| 29 | MC028 | Service Provider First<br>Name                          | 4/1/2013  | Text                           | varchar[25] | First name of Service<br>Provider                        | Report the individual's first name here. If provider is a facility or organization , do not report any value here   | Required when MC027 = 1      | 92%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM104 when present                 | Assuming Service Provider = Billing Provider: Loop 2010AA Segment NM104 when present                       |
| 30 | MC029 | Service Provider<br>Middle Name                         | 4/1/2013  | Text                           | varchar[25] | Middle initial of<br>Service Provider                    | Report the individual's middle name here. If provider is a facility or organization , do not report any value here  | Required when<br>MC027 = 1   | 2%   | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM105 when present                 | Assuming Service Provider = Billing Provider: Loop 2010AA Segment NM105 when present                       |
| 31 |       | Servicing Provider<br>Last Name or<br>Organization Name | 4/1/2013  | Text                           | varchar[60] | Last name or<br>Organization Name of<br>Service Provider | Report the name of the organization or last name of the individual provider. MC027 determines if this is an Organization or Individual Name reported here.  | All                          | 94%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM103                              | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>NM103                              |
| 32 | MC031 | Filler  | 7/2/2013  | Filler                         | char[0]     | Filler   | Access Health CT reserves this field for future use. Do not populate with any data  | All                          | 0%   | n/a  | n/a  |
| 33 | MC032 | Service Provider<br>Taxonomy                            | 4/1/2013  | External Code Source -<br>WPC  | varchar[10] | Taxonomy Code  | Report the standard code that defines this provider for this line of service. Taxonomy values allow for the reporting of nurses, assistants and laboratory technicians, where applicable, as well as Physicians, Medical Groups, Facilities, etc. | All                          | 98%  | Assuming Service Provider = Billing<br>Provider: Loop 2000A Segment<br>PRV03                               | Assuming Service Provider = Billing<br>Provider: Loop 2000A Segment<br>PRV03                               |
| 34 | MC033 | Service Provider City<br>Name                           | 4/1/2013  | Text                           | varchar[30] | City Name of the<br>Provider                             | Report the city name of provider - preferably practice location   | All                          | 98%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N401                               | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N401                               |
| 35 | MC034 | Service Provider<br>State                               | 4/1/2013  | External Code Source -<br>USPS | char[2]     | State of the Service<br>Provider                         | Report the state of the service providers as defined by the US Postal Service   | All                          | 98%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N402                               | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N402                               |
| 36 | MC035 | Service Provider ZIP<br>Code                            | 4/1/2013  | External Code Source -<br>USPS | varchar[9]  | Zip Code of the Service<br>Provider                      | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.  | All                          | 98%  | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N403                               | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N403                               |
| 37 | MC036 | Type of Bill - on<br>Facility Claims                    | 4/1/2013  | External Code Source - NUBC    | char[3]     | Type of Bill   | Report the three-digit value that defines the Type of Bill on an institutional claim.   | Required when MC094 = 002    | 98%  | Loop 2300 CLM05-01 where CLM05-<br>02 = A  | n/a  |
| 38 |       | Site of Service - on<br>NSF/CMS 1500<br>Claims          | 4/1/2013  | External Code Source -<br>CMS  | char[2]     | Place of Service Code                                    | Report the two-digit value that defines the Place of Service on professional claim  | Required when<br>MC094 = 001 | 100% | n/a  | Loop 2300 CLM05-01 where CLM05-<br>02 = B  |
| 39 | MC038 | Claim Status  | 10/7/2013 | Lookup Table - integer         | varchar[2]  | Claim Line Status  | Report the value that defines the payment status of this claim line   | All                          | 98%  | n/a  | n/a  |
|    |       |   |           |                                |             | Value  | Description   |                              |      |  |  |
|    |       |   |           |                                |             | 1  | Processed as primary  |                              |      |  |  |
|    |       |   |           |                                |             | 2  | Processed as secondary  |                              |      |  |  |
|    |       |   |           |                                |             | 3  | Processed as tertiary   |                              |      |  |  |
|    |       |   |           |                                |             | 4  | Denied  |                              |      |  |  |
|    |       |   |           |                                |             | 19   | Processed as primary, forwarded to additional payer(s)  |                              |      |  |  |
| i  |       |   |           |                                |             |  | D 1 ( 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1   |                              |      |  |  |

Processed as secondary, forwarded to additional payer(s)

Processed as tertiary, forwarded to additional payer(s)

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21

| 1  |       |                      |          |                            |            | 20                                     | Boyareal of provious payment  | ٦   |     |   |   |
|----|-------|----------------------|----------|----------------------------|------------|--|---|---|-----|---|---|
|    |       |                      |          |                            |            | 22                                     | Reversal of previous payment  |   |     |   |   |
|    |       |                      |          |                            |            |  | Not our claim, forwarded to additional payer(s)   | 4   |     |   |   |
|    | 1     | 1                    |          |                            |            | 25                                     | Predetermination Pricing Only - no payment  |   |     | T   |   |
| 40 | MC039 | Admitting Diagnosis  | 4/1/2013 | External Code Source - ICD | varchar[7] | Admitting Diagnosis<br>Code            | Report the diagnostic code assigned by provider that supported admission into the inpatient setting | Required when<br>MC094 = 002 and<br>MC036 = 11, 18,<br>21, 28, 41, 65, 66,<br>84, 86, or 89 | 98% | Loop 2300 Segment HI01-02 where<br>HI01-01 = ABJ or BJ (ICD Version<br>Dependent) | n/a   |
| 41 | MC040 | E-Code               | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Diagnostic<br>External Injury Code | Report the external injury code for patient when appropriate to the claim                           | All   | 3%  | Loop 2300 Segment HI01-02 where<br>HI01-01 = ABN or BN (ICD Version<br>Dependent) | n/a   |
| 42 | MC041 | Principal Diagnosis  | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Primary Diagnosis<br>Code          | Report the Primary ICD Diagnosis Code here  | All   | 99% | Loop 2300 Segment HI01-02 where<br>HI01-01 = ABK or BK (ICD Version<br>Dependent) | Loop 2300 Segment HI01-02 where<br>HI01-01 = ABK or BK (ICD Version<br>Dependent) |
| 43 | MC042 | Other Diagnosis - 1  | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Secondary<br>Diagnosis Code        | Report the Secondary ICD Diagnosis Code here  | All   | 70% | Loop 2300 Segment HI01-02 where<br>HI01-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI02-02 where<br>HI02-01 = ABF or BF (ICD Version<br>Dependent) |
| 44 | MC043 | Other Diagnosis - 2  | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 2. If not applicable do not report any value here                        | All   | 24% | Loop 2300 Segment HI02-02 where<br>HI02-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI03-02 where<br>HI03-01 = ABF or BF (ICD Version<br>Dependent) |
| 45 | MC044 | Other Diagnosis - 3  | 4/1/2013 | External Code Source -     | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 3. If not applicable do not report any value here                        | All   | 13% | Loop 2300 Segment HI03-02 where<br>HI03-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI04-02 where<br>HI04-01 = ABF or BF (ICD Version<br>Dependent) |
| 46 | MC045 | Other Diagnosis - 4  | 4/1/2013 | External Code Source -     | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 4. If not applicable do not report any value here                        | All   | 7%  | Loop 2300 Segment HI04-02 where<br>HI04-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI05-02 where<br>HI05-01 = ABF or BF (ICD Version<br>Dependent) |
| 47 | MC046 | Other Diagnosis - 5  | 4/1/2013 | External Code Source -     | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 5. If not applicable do not report any value here                        | All   | 4%  | Loop 2300 Segment HI05-02 where<br>HI05-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI06-02 where<br>HI06-01 = ABF or BF (ICD Version<br>Dependent) |
| 48 | MC047 | Other Diagnosis - 6  | 4/1/2013 | External Code Source -     | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 6. If not applicable do not report any value here                        | All   | 3%  | Loop 2300 Segment HI06-02 where<br>HI06-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI07-02 where<br>HI07-01 = ABF or BF (ICD Version<br>Dependent) |
| 49 | MC048 | Other Diagnosis - 7  | 4/1/2013 | External Code Source -     | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 7. If not applicable do not report any value here                        | All   | 3%  | Loop 2300 Segment HI07-02 where<br>HI07-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI08-02 where<br>HI08-01 = ABF or BF (ICD Version<br>Dependent) |
| 50 | MC049 | Other Diagnosis - 8  | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 8. If not applicable do not report any value here                        | All   | 2%  | Loop 2300 Segment HI08-02 where<br>HI08-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI09-02 where<br>HI09-01 = ABF or BF (ICD Version<br>Dependent) |
| 51 | MC050 | Other Diagnosis - 9  | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 9. If not applicable do not report any value here                        | All   | 1%  | Loop 2300 Segment HI09-02 where<br>HI09-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI10-02 where<br>HI10-01 = ABF or BF (ICD Version<br>Dependent) |
| 52 | MC051 | Other Diagnosis - 10 | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 10. If not applicable do not report any value here.                      | All   | 1%  | Loop 2300 Segment HI10-02 where<br>HI10-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI11-02 where<br>HI11-01 = ABF or BF (ICD Version<br>Dependent) |
| 53 | MC052 | Other Diagnosis - 11 | 4/1/2013 | External Code Source - ICD | varchar[7] | ICD Other Diagnosis<br>Code            | Other ICD Diagnosis Code - 11. If not applicable do not report any value here.                      | All   | 1%  | Loop 2300 Segment HI11-02 where<br>HI11-01 = ABF or BF (ICD Version<br>Dependent) | Loop 2300 Segment HI12-02 where<br>HI12-01 = ABF or BF (ICD Version<br>Dependent) |

| 54 | MC053 | Other Diagnosis - 12          | 4/1/2013 | External Code Source -   | varchar[7]   | ICD Other Diagnosis<br>Code   | Other ICD Diagnosis Code - 12. If not applicable do not report any value here.  | All   | 1%  | Loop 2300 Segment HI12-02 where<br>HI12-01 = ABF or BF (ICD Version<br>Dependent)   | n/a   |
|----|-------|-------------------------------|----------|--|--------------|-------------------------------|---|---|-----|---|---|
| 55 | MC054 | Revenue Code                  | 4/1/2013 | External Code Source -<br>NUBC                                       | char[4]      | Revenue Code                  | Report the valid National Uniform Billing Committee<br>Revenue Code here. Code using leading zeroes, left-<br>justified, and four digits.   | Required when<br>MC094 = 002  | 98% | As Sent by Provider - Loop 2400<br>Segment SV201 -OR- As<br>Priced/Reprised - Loop 2400 Segment<br>HCP08 -OR- As Adjudicated - Loop<br>2430 Segment SVD04       | n/a   |
| 56 | MC055 | Procedure Code                | 4/1/2013 | External Code Source -<br>AMA - <b>OR</b> - Carrier<br>Defined Table | varchar[10]  | HCPCS / CPT Code              | Report a valid Procedure code for the claim line as defined by MC130  | All   | 98% | As Sent by Provider - Loop 2400<br>Segment SV202-02 -OR- As<br>Priced/Reprised - Loop 2400 Segment<br>HCP10 -OR- As Adjudicated - Loop<br>2430 Segment SVD03-02 | As Sent by Provider - Loop 2400<br>Segment SV202-02 -OR- As<br>Priced/Reprised - Loop 2400 Segment<br>HCP10 -OR- As Adjudicated - Loop<br>2430 Segment SVD03-02 |
| 57 | MC056 | Procedure Modifier -<br>1     | 4/1/2013 | External Code Source -<br>AMA  | char[2]      | HCPCS / CPT Code<br>Modifier  | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (MC055).   | All   | 20% | As Sent by Provider - Loop 2400<br>Segment SV202-03 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVD03-03                  | As Sent by Provider - Loop 2400<br>Segment SV202-03 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVD03-03                  |
| 58 | MC057 | Procedure Modifier -<br>2     | 4/1/2013 | External Code Source -<br>AMA  | char[2]      | HCPCS / CPT Code<br>Modifier  | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (MC055).   | All   | 3%  |   | As Sent by Provider - Loop 2400<br>Segment SV202-04 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVC03-04                  |
| 59 | MC058 | ICD Primary<br>Procedure Code | 4/1/2013 | External Code Source - ICD   | varchar[7]   | ICD Primary Procedure<br>Code | Report the primary ICD CM/PCS procedure code when appropriate. Repeat this code on all lines of the inpatient claim. Do not code decimal point.   | Required when MC094 = 002 and MC039 is populated. Optional for noninpatient claims. | 50% | Loop 2300 Segment HI01-02 where<br>HI01-01 = BBR, BR or CAH   | n/a   |
| 60 | MC059 | Date of Service -<br>From     | 4/1/2013 | Full Date - Integer  | int[8]       | Date of Service               | Report the date of service for the claim line in YYYYMMDD Format.   | All   | 98% | First eight digits of Loop 2400<br>Segment DTP03 where DTP02 = RD8 -<br>OR- Loop 2400 Segment DTP03<br>where DTP02 = D8   | First eight digits of Loop 2400 Segment DTP03 where DTP02 = RD8 and DTP01 = 472 -OR- Loop 2400 Segment DTP03 where DTP02 = D8 and DTP01 = 472                   |
| 61 | MC060 | Date of Service - To          | 4/1/2013 | Full Date - Integer  | int[8]       | Date of Service               | Report the end service date for the claim line in YYYYMMDD Format. For inpatient claims, the room and board line may or may not be equal to the discharge date. Procedures delivered during a visit should indicate which date they occurred. | All   | 98% | Last eight digits of Loop 2400 Segment DTP03 where DTP02 = RD8 - OR- Repeat Loop 2400 Segment DTP03 where DTP02 = D8  | Last eight digits of Loop 2400 Segment DTP03 where DTP02 = RD8 and DTP01 = 472 -OR- Repeat Loop 2400 Segment DTP03 where DTP02 = D8 and DTP01 = 472             |
| 62 | MC061 | Quantity                      | 4/1/2013 | Quantity - Integer   | ±varchar[15] | Claim line units of service   | Report the count of services / units performed.   | All   | 98% | As Sent by Provider - Loop 2400<br>Segment SV205 - As Priced/Repriced<br>Loop 2400 Segment HCP12 - As<br>Adjudicated - Loop 2430 Segment<br>SVD05               | As Sent by Provider - Loop 2400 Segment SV205 - As Priced/Repriced Loop 2400 Segment HCP12 - As Adjudicated - Loop 2430 Segment SVD05                           |

| 63 | MC062 | Charge Amount             | 4/1/2013 | Integer | ±varchar[10] | Amount of provider charges for the claim line              | Report the charge amount for this claim line. 0 dollar charges allowed only when the procedure code indicates a Category II procedure code vs. a service code. When reporting Total Charges for facilities for the entire claim use 001 (the generally accepted Total Charge Revenue Code) in MC054 (Revenue Code). Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | All                                    | 99%  | Loop 2400 Segment SV203  | Loop 2400 Segment SV102  |
|----|-------|---------------------------|----------|---------|--------------|--|---|--|------|--|--|
| 64 | MC063 | Paid Amount               | 4/1/2013 | Integer | ±varchar[10] | Amount paid by the carrier for the claim line              | Report the amount paid for the claim line. Report 0 if line is paid as part of another procedure / claim line. Do not report any value if the line is denied. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All                                    | 99%  | Loop 2430 Segment SVD02  | Loop 2430 Segment SVD02  |
| 65 | MC064 | Prepaid Amount            | 7/2/2013 | Integer | ±varchar[10] | I .  | Report the prepaid amount for the claim line. Report the Fee for Service equivalent amount for Capitated Services. Report 0 if line there is no Prepaid Amount. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070   | All                                    | 99%  | n/a  | n/a  |
| 66 | MC065 | Copay Amount              | 4/1/2013 | Integer | ±varchar[10] | Amount of Copay  | Report the amount that defines a preset, fixed amount for this claim line service that the patient is responsible to pay. Report 0 if no Copay applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All                                    | 100% | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 3 | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 3 |
| 67 | MC066 | Coinsurance Amount        | 4/1/2013 | Integer | ±varchar[10] | Amount of coinsurance member/patient is responsible to pay | Report the amount that defines a calculated percentage amount for this claim line service that the patient is responsible to pay. Report 0 if no Coinsurance applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070   | All                                    | 100% | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 2 | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 2 |
| 68 | MC067 | Deductible Amount         | 4/1/2013 | Integer | ±varchar[10] |  | Report the amount that defines a preset, fixed amount for this claim line service that the patient is responsible to pay. Report 0 if no Deductible applies to service. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All                                    | 100% | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 1 | Loop 2430 Segment CAS03, 06, 09,<br>12, 15 or 18 where CAS01 = PR and<br>CAS02 = 1 |
| 69 | MC068 | Patient Control<br>Number | 4/1/2013 | Text    | varchar[20]  | Patient Control<br>Number                                  | Report the provider assigned Encounter / Visit number to identify patient treatment. Also known as the Patient Account Number   | Required when<br>MC094 = 001 or<br>002 | 98%  | Loop 2300 Segment CLM01  | Loop 2300 Segment CLM01  |

| 70 | MC069 | Discharge Date                    | 4/1/2013  | Full Date - Integer             | int[8]      | Discharge Date   | Report the date the member was discharged from the facility in YYYYMMDD Format. If patient is still in-house and claim represents interim billing for interim payment, report the interim through date.  | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 98% | Last eight digits of Loop 2300<br>Segment DTP03 where DTP01 = 434            | n/a  |
|----|-------|-----------------------------------|-----------|---------------------------------|-------------|--|--|---|-----|--|--|
| 71 | MC070 | Service Provider<br>Country Code  | 12/1/2010 | External Code Source -<br>ANSI  | char[3]     | Country name of the<br>Service Provider                              | Report the three-character country code as defined by ISO 3166-1, Alpha 3. <b>Example:</b> United States is reported as USA  | All   | 98% | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N404 | Assuming Service Provider = Billing<br>Provider: Loop 2010AA Segment<br>N404 |
| 72 | MC071 | DRG                               | 4/1/2013  | External Code Source -<br>CMS   | varchar[7]  | Diagnostic Related<br>Group Code                                     | Report the DRG number applied to this claim on every line to which its applicable. Insurers and health care claims processors shall code using the CMS methodology when available. When the CMS methodology for DRGs is not available, but the All Payer DRG system is used, the insurer shall format the DRG and the complexity level within the same element with the prefix of "A" and with a hyphen separating the AP DRG from the complexity level (e.g. AXXX-XX) | Required when<br>MC094 = 002 and<br>MC069 is<br>populated | 98% | Loop 2300 Segment HI01-02 where<br>HI01-01 = DR                              | n/a  |
| 73 | MC072 | DRG Version                       | 4/1/2013  | External Code Source -<br>CMS   | char[2]     | Diagnostic Related<br>Group Version<br>Number                        | Report the version of the grouper used   | Required when MC071 is populated                          | 20% | n/a  | n/a  |
| 74 | MC073 | APC                               | 4/1/2013  | External Code Source -<br>CMS   | char[4]     | Ambulatory Payment<br>Classification Number                          | Report the APC number applied to this claim line, with the leading zero(s) when applicable. Code using the CMS methodology.  | Required when<br>MC094 = 002 and<br>MC039 is null         | 20% | n/a  | n/a  |
| 75 | MC074 | APC Version                       | 4/1/2013  | External Code Source -<br>CMS   | char[2]     | Ambulatory Payment<br>Classification Version                         | Report the version of the grouper used   | Required when<br>MC073 is<br>populated                    | 20% | n/a  | n/a  |
| 76 | MC075 | Drug Code                         | 4/1/2013  | External Code Source -<br>FDA   | char[11]    | National Drug Code<br>(NDC)  | Report the NDC code used only when a medication is paid for as part of a medical claim or when a DME device has an NDC code. J codes should be submitted under procedure code (MC055), and have a procedure code type of 'HCPCS'. Drug Code as defined by the FDA in 11 digit format (5-4-2) without hyphenation   | All   | 1%  | Loop 2410 Segment LIN03 where<br>LIN02 = N4                                  | Loop 2410 Segment LIN03 where<br>LIN02 = N4                                  |
| 77 | MC076 | Billing Provider<br>Number        | 4/1/2013  | Text                            | varchar[30] | Billing Provider<br>Number   | Report the carrier / submitter assigned billing provider number. This number should be the identifier used for internal identification purposes, and does not routinely change. The value in this field must match a record in the provider file in PV002.   | All   | 99% | Loop 2010AA Segment REF02 where<br>REF01 = G2                                | Loop 2010AA Segment REF02 where<br>REF01 = G2                                |
| 78 | MC077 | National Provider ID -<br>Billing | 4/1/2013  | External Code Source -<br>NPPES | int[10]     | National Provider<br>Identification (NPI) of<br>the Billing Provider | Report the Primary National Provider ID (NPI) here. This ID should be found on the Provider File in the NPI field (PV039)  | All   | 99% | Loop 2010AA Segment NM109 where<br>NM108 = XX                                | Loop 2010AA Segment NM109 where<br>NM108 = XX                                |

| 79 | MC078 | Billing Provider Last<br>Name or<br>Organization Name | 4/1/2013 | Text   | varchar[60] | Last name or<br>Organization Name of<br>Billing Provider | Report the name of the organization or last name of the individual provider  | All   | 99%  | Loop 2010AA Segment NM103   | Loop 2010AA Segment NM103  |
|----|-------|---|----------|--|-------------|--|--|---|------|---|--|
| 80 | MC079 | Filler  | 7/2/2013 | Filler   | char[0]     | Filler   | Access Health CT reserves this field for future use. Do not populate with any data   | All   | 0%   | n/a   | n/a  |
| 81 | MC080 | Payment Reason  | 4/1/2013 | External Code Source -<br>HIPPA - <b>OR</b> - Carrier<br>Defined Table | varchar[10] | Payment Reason Code                                      | Report the value that describes how the claim line was paid, either using a standard code set or a proprietary list pre-sent by submitter.                         | Required when MC038 = 01, 02, 03, 19, 20, or 21           | 100% | No direct map - use Loop 2400<br>Segment CAS iterations to determine<br>payments from denials | No direct map - use Loop 2400<br>Segment CAS iterations to determine<br>payments from denials                              |
| 82 | MC081 | Capitated Encounter<br>Flag                           | 4/1/2013 | Lookup Table - Integer   | int[1]      | Indicator - Capitation<br>Payment                        | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes payment for this service is covered under a capitated arrangement.                              | All   | 100% | Set value = 1 where Loop 2300   | n/a for strict application of value - OR-<br>Set value = 1 where Loop 2300<br>Segment CN101 = 05 -ELSE - set value<br>to 2 |
|    |       |   |          | •  |             | Value  | Description  |   |      |   |  |
|    |       |   |          |  |             | 1  | Yes  |   |      |   |  |
|    |       |   |          |  |             | 2  | No   |   |      |   |  |
|    |       |   |          |  |             | 3  | Unknown  |   |      |   |  |
|    |       |   |          |  |             | 5  | Other Not Applicable   |   |      |   |  |
| 83 | MC082 | Member Street<br>Address                              | 4/1/2013 | Text   | varchar[50] | Street address of the<br>Member/Patient                  | Report the patient / member's address. Used to validate Unique Member ID.  | All   | 90%  | Loop 2010BA Segment N301 when<br>Loop 2000B SBR02 = 18 - ELSE - Loop<br>2010CA Segment N301   | Loop 2010BA Segment N301 when<br>Loop 2000B SBR02 = 18 - ELSE - Loop<br>2010CA Segment N301                                |
| 84 | MC083 | Other ICD Procedure<br>Code - 1                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Secondary<br>Procedure Code                          | Report the subsequent ICD CM procedure code when applicable. Repeat this code on all lines of the inpatient claim. Do not code decimal point.                      | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI01-02 where HI01-01 = BBQ or BQ   | n/a  |
| 85 | MC084 | Other ICD Procedure<br>Code - 2                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Other Procedure<br>Code                              | Report the third ICD procedure code when applicable. The Integer point is not coded. The ICD procedure must be repeated for all lines of the claim if necessary.   | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI02-02 where HI02-01 = BBQ or BQ   | n/a  |
| 86 | MC085 | Other ICD Procedure<br>Code - 3                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Other Procedure<br>Code                              | Report the fourth ICD procedure code when applicable. The Integer point is not coded. The ICD procedure must be repeated for all lines of the claim if necessary.  | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI03-02 where HI03-01 = BBQ or BQ   | n/a  |
| 87 | MC086 | Other ICD Procedure<br>Code - 4                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Other Procedure<br>Code                              | Report the fifth ICD procedure code when applicable. The Integer point is not coded. The ICD procedure must be repeated for all lines of the claim if necessary.   | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI04-02 where HI04-01 = BBQ or BQ   | n/a  |
| 88 | MC087 | Other ICD Procedure<br>Code - 5                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Other Procedure<br>Code                              | Report the sixth ICD procedure code when applicable. The Integer point is not coded. The ICD procedure must be repeated for all lines of the claim if necessary.   | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI05-02 where HI05-01 = BBQ or BQ   | n/a  |
| 89 | MC088 | Other ICD Procedure<br>Code - 6                       | 4/1/2013 | External Code Source -<br>ICD  | varchar[7]  | ICD Other Procedure<br>Code                              | Report the seventh ICD procedure code when applicable. The Integer point is not coded. The ICD procedure must be repeated for all lines of the claim if necessary. | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 1%   | Loop 2300 HI06-02 where HI06-01 = BBQ or BQ   | n/a  |

| 90    | MC089            | Paid Date                      | 4/1/2013  | Integer             | int[8]       | Paid date of the claim<br>line                    | Report the date that appears on the check and/or remit and/or explanation of benefits and corresponds to any and all types of payment in YYYYMMDD Format. This can be the same date as Processed Date. EXAMPLE: Claims paid in full, partial or zero paid must have a date reported here  | Required when<br>MC038 = 01, 02,<br>03, 19, 20, or 21 | 100% | Loop 2430 Segment DTP03   | Loop 2430 Segment DTP03  |
|-------|------------------|--------------------------------|-----------|---------------------|--------------|---|---|---|------|---|--|
| 91-94 | MC090 -<br>MC093 | Filler                         | 7/2/2013  | Filler              | char[0]      | Filler  | Access Health CT reserves this field for future use. Do not populate with any data  | All   | 0%   | n/a   | n/a  |
| 95    | MC094            | Type of Claim                  | 10/7/2013 | Lookup Table - Text | char[3]      | Type of Claim<br>Indicator                        | Report the value that defines the type of claim submitted for payment. <b>EXAMPLE:</b> 001 = Professional Claim Line  | All   | 100% | n/a   | n/a  |
|       |                  |                                |           |                     |              | Value   | Description   |   |      |   |  |
|       |                  |                                |           |                     |              | 001   | Professional  |   |      |   |  |
|       |                  |                                |           |                     |              | 002   | Facility  |   |      |   |  |
|       |                  | 1                              | •         | 1                   | 1            | 003   | Reimbursement Form  |   | •    | T.  |  |
| 96    | MC095            | COB / TPL Amount               | 7/2/2013  | Integer             | ±varchar[10] | Amount due from a secondary carrier               | Report the amount that another payer is liable for after submitting payer has processed this claim line. Report 0 if there is no COB / TPL amount. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | Required when<br>MC038 = 19, 20<br>or 21              | 98%  | n/a   | n/a  |
| 97    | MC096            | Other Insurance Paid<br>Amount | 7/2/2013  | Integer             | ±varchar[10] | Amount already paid by primary carrier            | Report the amount that a prior payer has paid for this claim line. Indicates the submitting Payer is 'secondary' to the prior payer. Only report 0 if the Prior Payer paid 0 towards this claim line, else do not report any value here. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070  | Required when<br>MC038 = 2, 3, 20<br>or 21            | 98%  | with multiple Loop 2320s allowed,   | -Loop 2320 AMT02 where AMT01 = D -<br>with multiple Loop 2320s allowed,<br>this will need to be calculated for the<br>number of prior payers |
| 98    | MC097            | Medicare Paid<br>Amount        | 7/2/2013  | Integer             | ±varchar[10] | Any amount Medicare<br>Paid towards claim<br>line | Report the amount that Medicare paid towards this claim line. Only report 0 if Medicare paid 0 towards this claim line, else do not report any value here. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | Required when<br>MC115 = 1                            | 100% | Loop 2320 AMT02 where AMT01 = D<br>where either MIA or MOA segments<br>are included | Loop 2320 AMT02 where AMT01 = D where MOA segments are included  |
| 99    | MC098            | Allowed amount                 | 4/1/2013  | Integer             | ±varchar[10] | Allowed Amount                                    | Report the maximum amount contractually allowed, and that a carrier will pay to a provider for a particular procedure or service. This will vary by provider contract and most often it is less than or equal to the fee charged by the provider. Report 0 when the claim line is denied. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>MC038 does not<br>= 4, 22, or 23     | 99%  | As Priced/Repriced - Loop 2400<br>Segment HCP02                                     | As Priced/Repriced - Loop 2400<br>Segment HCP02  |

| 100 | MC099 | Non-Covered<br>Amount          | 7/2/2013 Integer | ±varchar[10] | Amount of claim line charge not covered                                      | Report the amount that was charged on a claim line that is not reimbursable due to eligibility limitations or unmet provider requirements. Report 0 when the claim line is paid or fall into other categories. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | all | 100% | Loop 2430 Segment CAS03, 06, 09, 12, 15, and/or 18 where it has been identified in CAS02, 05, 08, 11, 14 and/or 17 that the amounts are considered Noncovered. | Loop 2430 Segment CAS03, 06, 09, 12, 15, and/or 18 where it has been identified in CAS02, 05, 08, 11, 14 and/or 17 that the amounts are considered Noncovered. |
|-----|-------|--------------------------------|------------------|--------------|--|--|-----|------|--|--|
| 101 | MC100 | Carve Out Vendor CT<br>APCD ID | 4/1/2013 Integer | varchar[6]   | CT APCD defined and<br>maintained Org ID for<br>linking across<br>submitters | Report the CT APCD ID of the Carve Out Vendor here. This element contains the CT APCD assigned organization ID for the Vendor. Contact the CT APCD for the appropriate value. If no Vendor is affiliated with this claim line do not report any value here: i.e., do not repeat the CT APCD ID from MC001  | All | 98%  | n/a  | n/a  |
| 102 | MC101 | Subscriber Last Name           | 10/15/2010 Text  | varchar[60]  | Last name of<br>Subscriber   | Report the last name of the subscriber. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces.  EXAMPLE: O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE  | All | 100% | Loop 2010BA Segment NM103  | Loop 2010BA Segment NM103  |
| 103 | MC102 | Subscriber First<br>Name       | 10/15/2010 Text  | varchar[25]  | First name of<br>Subscriber  | Report the first name of the subscriber here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All | 100% | Loop 2010BA Segment NM104  | Loop 2010BA Segment NM104  |
| 104 | MC103 | Subscriber Middle<br>Initial   | 10/15/2010 Text  | char[1]      | Middle initial of<br>Subscriber  | Report the Subscriber's middle initial here. Used to validate Unique Member ID.  | All | 2%   | Loop 2010BA Segment NM105  | Loop 2010BA Segment NM105  |
| 105 | MC104 | Member Last Name               | 4/1/2013 Text    | varchar[60]  | Last name of<br>Member/Patient   | Report the last name of the patient / member here. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE                           | All | 100% | Loop 2010BA Segment NM103 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment NM103  | Loop 2000B Segment SBR02 = 18 -  |
| 106 | MC105 | Member First Name              | 4/1/2013 Text    | varchar[25]  | First name of<br>Member/Patient  | Report the first name of the patient / member here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE</b> : Anne-Marie becomes ANNEMARIE   | All | 100% | Loop 2010BA Segment NM104 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment NM104  | Loop 2000B Segment SBR02 = 18 -  |
| 107 | MC106 | Member Middle<br>Initial       | 4/1/2013 Text    | char[1]      | Middle initial of<br>Member/Patient  | Report the middle initial of the patient / member when available. Used to validate Unique Member ID.   | All | 2%   |  | Loop 2010BA Segment NM105 when<br>Loop 2000B Segment SBR02 = 18 -<br>ELSE - Loop 2010CA Segment NM105  |

| 108 | MC107 | ICD Indicator               | 4/1/2013 | Lookup Table - Integer        | int[1]      | International<br>Classification of<br>Diseases version | Report the value that defines whether the diagnoses on claim are ICD9 or ICD10. <b>EXAMPLE</b> : 9 = ICD9   | Required when MC094 = 001 or 002 and MC039 thru MC053, MC142 thru MC153 is populated |     | Set value here based upon value in<br>Loop 2300 Segment HI01-01 starting<br>with the letter A  | Set value here based upon value in<br>Loop 2300 Segment HI01-01 starting<br>with the letter A  |
|-----|-------|-----------------------------|----------|-------------------------------|-------------|--|---|--|-----|--|--|
|     | •     | •                           |          | •                             | •           | Value  | Description   |  | ='  | •  |  |
|     |       |                             |          |                               |             | 9  | ICD-9   |  |     |  |  |
|     |       |                             |          |                               |             | 0  | ICD-10  |  |     |  |  |
| 109 | MC108 | Procedure Modifier -<br>3   | 4/1/2013 | External Code Source -<br>AMA | char[2]     | HCPCS / CPT Code<br>Modifier                           | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (MC055).   | All  | 0%  |  | As Sent by Provider - Loop 2400<br>Segment SV202-05 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVD03-05 |
| 110 | MC109 | Procedure Modifier -<br>4   | 4/1/2013 | External Code Source -<br>AMA | char[2]     | HCPCS / CPT Code<br>Modifier                           | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (MC055).   | All  | 0%  | As Sent by Provider - Loop 2400<br>Segment SV202-06 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVD03-06 | As Sent by Provider - Loop 2400<br>Segment SV202-06 - Not present for<br>Pricing/Repricing -OR- As Adjudicated<br>- Loop 2430 Segment SVD03-06 |
| 111 | MC110 | Claim Processed Date        | 4/1/2013 | Full Date - Integer           | int[8]      | Claim Processed Date                                   | Report the date the claim was processed by the carrier / submitter in YYYYMMDD Format. This date can be equal to Paid or Denial Date, but cannot be after Paid or Denial Date.  | All  | 98% | n/a  | n/a  |
| 112 | MC111 | Diagnostic Pointer          | 4/1/2013 | Integer                       | varchar[4]  | Diagnostic Pointer<br>Number                           | Report the placement number of the diagnosis(es) a procedure is related to for a professional claim. Can report up to four diagnostic positions within the first nine diagnoses that can be reported. Do not separate multiple mappings with spaces, zeros or special characters. Do not zero fill. <b>EXAMPLE:</b> Procedure related to diagnoses 1, 4 and 5 = 145 | Required when<br>MC094 = 001   | 98% | n/a  | Loop 2400 Segment SVC107-01 and SVC107-02 when present and SVC107-03 when present and SVC107-04 when present                                   |
| 113 | MC112 | Referring Provider ID       | 4/1/2013 | Text                          | varchar[30] | Referring Provider ID                                  | Report the identifier of the provider that submitted the referral for the service or ordered the test that is on the claim (if applicable). The value in this field must have a corresponding Provider ID (PV002) on the provider file.   | Required when<br>MC118 = 1   | 98% | Loop 2420D Segment REF02 where<br>REF01 = G2   | Loop 2420F Segment REF02 where<br>REF01 = G2   |
| 114 | MC113 | Payment<br>Arrangement Type | 4/1/2013 | Lookup Table - Integer        | int[1]      | Payment Arrangement<br>Type Value                      | Report the value that defines the contracted payment methodology for this claim line. <b>EXAMPLE:</b> 02 = Fee for Service  | All  | 98% | Loop 2400 Segment HCP01 - table values to be mapped to APCD  | Loop 2400 Segment HCP01 - table values to be mapped to APCD  |
|     |       |                             |          |                               |             | Value  | Description   |  |     |  |  |
|     |       |                             |          |                               |             | 1  | Capitation  |  |     |  |  |

1 Capitation
2 Fee for Service
3 Percent of Charges
4 DRG
5 Pay for Performance
6 Global Payment
7 Other
8 Bundled Payment

| 115 | MC114 | Excluded Expenses       | 4/1/2013 | Integer                | ±varchar[10] |   | Report the amount that the patient has incurred towards covered but over-utilized services. Scenario: Physical Therapy units that are authorized for 15 visits at \$50 a visit but utilized 20. The amount reported here would be 25000 to state over-utilization by \$250.00. Report 0 if there are no Excluded Expenses. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE</b> : 150.00 is reported as 15000; 150.70 is reported as 15070 | All | 98%    | Loop 2430 Segment CAS03, 06, 09, 12, 15, and/or 18 where it has been identified in CAS02, 05, 08, 11, 14 and/or 17 that the amounts are considered Excluded. | Loop 2430 Segment CAS03, 06, 09, 12, 15, and/or 18 where it has been identified in CAS02, 05, 08, 11, 14 and/or 17 that the amounts are considered Excluded. |
|-----|-------|-------------------------|----------|------------------------|--------------|---|---|-----|--------|--|--|
| 116 | MC115 | Medicare Indicator      | 7/2/2013 | Lookup Table - Integer | int[1]       | Indicator - Medicare<br>Payment Applied | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Medicare paid for part or all of services   | All | 100%   | when SVD02 < 0 or not present then set value to 2. OR When Loop 2320 Segment SBR09 = MA or MB and Loop 2320 Segment AMT02 >= 0                               | when SVD02 < 0 or not present then set value to 2. OR When Loop 2320 Segment SBR09 = MA or MB and Loop 2320 Segment AMT02 >= 0                               |
|     |       |                         |          |                        |              | Value                                   | Description   |     |        |  |  |
|     |       |                         |          |                        |              | 1                                       | Yes   |     |        |  |  |
|     |       |                         |          |                        |              | 2 No                                    |   |     |        |  |  |
|     |       |                         |          |                        |              | 3                                       | Unknown   |     |        |  |  |
|     |       |                         |          |                        |              | 4                                       | Other Net Applicable  |     |        |  |  |
|     |       |                         |          |                        |              | 5                                       | Not Applicable  |     |        |  |  |
| 117 | MC116 | Filler                  | 7/2/2013 | Filler                 | char[0]      | Filler                                  | Access Health CT reserves this field for future use. Do not populate with any data  | all | 0%     | n/a  | n/a  |
| 118 | MC117 | Authorization<br>Needed | 7/2/2013 | Lookup Table - Integer | int[1]       | Indicator -<br>Authorization Needed     | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes service required a pre-authorization   | All |        | Set value = 1 when Loop 2300<br>Segment REF01 = G1; else value = 2   | Set value = 1 when Loop 2400<br>Segment REF01 = G1; else value = 2   |
|     |       |                         |          |                        |              | Value                                   | Description   |     |        |  |  |
|     |       |                         |          |                        |              | 1                                       | Yes   |     |        |  |  |
|     |       |                         |          |                        |              | 2                                       | No  |     |        |  |  |
|     |       |                         |          |                        |              | 3                                       | Unknown   |     |        |  |  |
|     |       |                         |          |                        |              | 4                                       | Other   |     |        |  |  |
|     |       |                         |          |                        |              | 5                                       | Not Applicable  |     |        |  | <u>,                                      </u>   |
| 119 | MC118 | Referral Indicator      | 7/2/2013 | Lookup Table - Integer | int[1]       | Indicator - Referral<br>Needed          | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes service was preceded by a referral   | All | 1 100% | Set value = 1 when Loop 2300<br>Segment REF01 = 9F; else value = 2   | Set value = 1 when Loop 2400<br>Segment REF01 = 9F; else value = 2   |
|     |       |                         |          |                        |              | Value                                   | Description   |     |        |  |  |
|     |       |                         |          |                        |              | 1                                       | Yes   |     |        |  |  |
|     |       |                         |          |                        |              | 2                                       | No  |     |        |  |  |
|     |       |                         |          |                        |              | 3                                       | Unknown   |     |        |  |  |
|     |       |                         |          |                        |              | 4                                       | Other   |     |        |  |  |
|     |       |                         |          |                        |              | 5                                       | Not Applicable  |     |        |  |  |
|     |       |                         |          |                        |              | Indicator - PCP                         | Report the value that defines the element. <b>EXAMPLE:</b> 1  |     |        |  |  |
| 120 | MC119 | PCP Indicator           | 4/1/2013 | Lookup Table - Integer | int[1]       | Rendered Service                        | = Yes service was performed by members PCP.   | All | 100%   | n/a  | n/a  |

|     |       |                                       |          |  |             | Value   | Description  |   |      |   |   |
|-----|-------|---------------------------------------|----------|--|-------------|---|--|---|------|---|---|
|     |       |                                       |          |  |             | 1   | Yes  |   |      |   |   |
|     |       |                                       |          |  |             | 2   | No   |   |      |   |   |
|     |       |                                       |          |  |             | 3   | Unknown  |   |      |   |   |
|     |       |                                       |          |  |             | 4   | Other  |   |      |   |   |
|     |       |                                       |          |  |             | 5   | Not Applicable   |   |      |   |   |
| 121 | MC120 | DRG Level                             | 4/1/2013 | External Code Source -<br>CMS                                | int[1]      | Diagnostic Related<br>Group Code Severity<br>Level              | Report the level used for severity adjustment when applicable.   | Required when MC071 is populated                          | 80%  | n/a   | n/a   |
| 122 | MC121 | Patient Total Out of<br>Pocket Amount | 7/2/2013 | Integer  | int[10]     | Total amount patent /<br>member must pay for<br>this claim line | Report the total amount patient / member is responsible to pay to the provider as part of their costs for services. Report 0 if there are no Out of Pocket expenses. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | a   | 100% | n/a   | n/a   |
| 123 | MC122 | Global Payment Flag                   | 4/1/2013 | Lookup Table - Integer                                       | int[1]      | Indicator - Global<br>Payment                                   | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes the claim line was paid under a global payment arrangement.   | All   | 100% | n/a   | n/a   |
|     |       |                                       |          |  |             | Value   | Description  |   |      |   |   |
|     |       |                                       |          |  |             | 1   | Yes  |   |      |   |   |
|     |       |                                       |          |  |             | 2   | No   |   |      |   |   |
|     |       |                                       |          |  |             | 3   | Unknown  |   |      |   |   |
|     |       |                                       |          |  |             | 4   | Other  |   |      |   |   |
|     |       |                                       |          |  |             | 5   | Not Applicable   |   |      |   |   |
| 124 | MC123 | Denied Flag                           | 4/1/2013 | Lookup Table - Integer                                       | int[1]      | Denied Claim Line<br>Indicator                                  | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Claim Line was denied.   | Required when<br>MC038 = 04                               | 100% | Loop 2430 CAS identification will set<br>APCD value to 1 or 2 - THIS REQUIRES<br>PAYER BY PAYER MAPPING                 | Loop 2430 CAS identification will set<br>APCD value to 1 or 2 - THIS REQUIRES<br>PAYER BY PAYER MAPPING                 |
|     |       | •                                     |          |  |             | Value   | Description  |   |      |   |   |
|     |       |                                       |          |  |             | 1   | Yes  |   |      |   |   |
|     |       |                                       |          |  |             | 2   | No   |   |      |   |   |
|     |       |                                       |          |  |             | 3   | Unknown  |   |      |   |   |
|     |       |                                       |          |  |             | 4   | Other  |   |      |   |   |
|     |       |                                       |          |  |             | 5   | Not Applicable   |   |      |   |   |
| 125 | MC124 | Denial Reason                         | 4/1/2013 | External Code Source -<br>HIPAA -OR- Carrier<br>Lookup Table | varchar[15] | Denial Reason Code  | Report the code that defines the reason for denial of the claim line. Carrier must submit denial reason codes in separate table to the APCD.   | Required when<br>MC123 = 1                                | 100% | Loop 2430 CAS/Carrier Defined Table identification will set APCD value to 1 or 2 - THIS REQUIRES PAYER BY PAYER MAPPING | Loop 2430 CAS/Carrier Defined Table identification will set APCD value to 1 or 2 - THIS REQUIRES PAYER BY PAYER MAPPING |
| 126 | MC125 | Attending Provider                    | 4/1/2013 | Text   | varchar[30] | Attending Provider ID   | Report the ID that reflects the provider that provided general oversight of the patient's care. This individual may or may not be the Servicing or Rendering provider. This value needs to be found in field PV002 on the Provider File. This field may or may not be NPI based on the carrier's identifier system.                          | Required when<br>MC094 = 002 and<br>MC039 is<br>populated | 98%  | n/a   | n/a   |

| 127 | МС | C126 A  | ccident Indicator          | 4/1/2013 | Lookup Table - Integer | int[1] | Indicator - Accident<br>Related              | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Claim Line is Accident related.                                  | All                                    | 100% | n/a | Presence of Loop 2300 Segment<br>CLM11-01 = AA or OA set value = 1,<br>else value = 2  |
|-----|----|---------|----------------------------|----------|------------------------|--------|--|--|--|------|-----|--|
|     |    |         |                            |          |                        |        | Value  | Description  |  |      |     |  |
|     |    |         |                            |          |                        |        | 1  | Yes  |  |      |     |  |
|     |    |         |                            |          |                        |        | 2  | No   |  |      |     |  |
|     |    |         |                            |          |                        |        | 3  | Unknown  |  |      |     |  |
|     |    |         |                            |          |                        |        | 4  | Other  |  |      |     |  |
|     |    |         |                            |          |                        |        | 5  | Not Applicable   |  |      |     |  |
| 128 | МС | .12/    | amily Planning<br>ndicator | 7/2/2013 | Lookup Table - Integer | int[1] | Indicator - Accident<br>Related              | Report the value that defines if Family Planning services were provided . <b>EXAMPLE:</b> 1 = Family planning services provided      | Required when MC094 = 001              | 100% | n/a | Presence of Loop 2400 Segment<br>SV112 = Y, set value = 1, else value =2   |
|     |    |         |                            |          |                        |        | Value  | Description  |  |      |     |  |
|     |    |         |                            |          |                        |        | 1  | Yes  |  |      |     |  |
|     |    |         |                            |          |                        |        | 2  | No   |  |      |     |  |
|     |    |         |                            |          |                        |        | 3  | Unknown  |  |      |     |  |
|     |    |         |                            |          |                        |        | 4  | Other  |  |      |     |  |
|     |    |         |                            |          |                        |        | 5  | Not Applicable   |  |      |     |  |
| 129 | МС |         | mployment Related          | 7/2/2013 | Lookup Table - Integer | int[1] | Indicator - Accident<br>Related              | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Claim Line is related to employment accident                     | Required when MC094 = 001              | 100% | n/a | Presence of Loop 2300 Segment<br>CLM11-01 = EM set value = 1, else   |
|     |    |         |                            |          |                        |        |  |  |  |      |     | value = 2  |
|     |    |         |                            |          |                        |        | Value  | Description  |  |      |     |  |
|     |    |         |                            |          |                        |        | 1  | Yes  |  |      |     |  |
|     |    |         |                            |          |                        |        | 2  | No   |  |      |     |  |
|     |    |         |                            |          |                        |        | 3  | Unknown  |  |      |     |  |
|     |    |         |                            |          |                        |        | 4  | Other  |  |      |     |  |
|     |    |         |                            |          |                        |        | 5  | Not Applicable   |  |      |     |  |
| 130 | МС | C129 EI | PSDT Indicator             | 7/2/2013 | Lookup Table - Integer | int[1] | Indicator - Accident<br>Related              | Report the value that defines if the service was related to EPSDT and the type of EPSDT service. <b>EXAMPLE:</b> 1 = EPSDT Screening | Required when<br>MC094 = 001           | 100% | n/a | Presence of Loop 2400 Segment<br>SV111 = Y, set value = 1, else value =2   |
|     |    |         |                            |          |                        |        | Value  | Description  |  |      |     |  |
|     |    |         |                            |          |                        |        | 1  | EPSDT Screening  |  |      |     |  |
|     |    |         |                            |          |                        |        | 2  | EPSDT Treatment  |  |      |     |  |
|     |    |         |                            |          |                        |        | 3  | EPSDT Referral   |  |      |     |  |
|     |    |         |                            |          |                        |        | 0  | Unknown / Not Applicable   |  |      |     |  |
| 131 | MO | C130 Pi | rocedure Code Type         | 4/1/2013 | Lookup Table - Integer | int[1] | Claim line Procedure<br>Code Type Identifier | Report the value the defines the type of Procedure Code expected in MC055.   | Required when<br>MC055 is<br>populated | 100% |     | As Sent by Provider - Loop 2400<br>Segment SV101-01 - As<br>Priced/Repriced - Loop 2400 Segment<br>HCP09 - table values to be mapped to<br>APCD values |
|     |    |         |                            |          |                        |        | Value  | Description  |  |      |     |  |
|     |    |         |                            |          |                        |        | 1  | CPT or HCPCS Level 1 Code  |  |      |     |  |
|     |    |         |                            |          |                        |        | 2  | HCPCS Level II Code  |  |      |     |  |
|     |    |         |                            |          |                        |        | 3  | HCPCS Level III Code (State Medicare code).  |  |      |     |  |
|     |    |         |                            |          |                        |        | 4  | American Dental Association (ADA) Procedure Code   |  |      |     |  |
|     |    |         |                            |          |                        |        |  | (Also referred to as CDT code.)  |  |      |     |  |
|     |    |         |                            |          |                        |        | 5  | State defined Procedure Code   |  |      |     |  |
|     |    |         |                            |          |                        |        | 6  | CPT Category II  |  |      |     |  |

|          |           |                                       |           |                                |             |                                     | Custom Code Cubasittan must soud in a lealum table of   |  |      |   |  |
|----------|-----------|---------------------------------------|-----------|--------------------------------|-------------|-------------------------------------|---|--|------|---|--|
|          |           |                                       |           |                                |             | 7                                   | Custom Code - Submitter must send in a lookup table of values for MC055   |  |      |   |  |
| 132      | MC131     | InNetwork Indicator                   | 4/1/2013  | Lookup Table - Integer         | int[1]      | Indicator - Network<br>Rate Applied | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes claim line was paid at an InNetwork rate.  | All                                    | 100% | Set value = 2 where Loop 2400   | n/a for strict application of value -OR -<br>Set value = 2 where Loop 2400<br>Segment HCP14 = 5 and/or Loop<br>2400 Segment HCP15 = 1 or 3 - ELSE -<br>set value = 1   |
|          |           |                                       |           | •                              | •           | Value                               | Description   |  |      |   |  |
|          |           |                                       |           |                                |             | 1                                   | Yes   |  |      |   |  |
|          |           |                                       |           |                                |             | 2                                   | No  |  |      |   |  |
|          |           |                                       |           |                                |             | 3                                   | Unknown   |  |      |   |  |
|          |           |                                       |           |                                |             | 4                                   | Other   |  |      |   |  |
|          |           |                                       |           |                                |             | 5                                   | Not Applicable  |  |      |   |  |
| 133      | MC132     | Filler                                | 7/2/2013  | Filler                         | char[0]     | Filler                              | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | n/a   | n/a  |
| 134      | MC133     | Bill Frequency Code                   | 4/1/2013  | External Code Source -<br>NUBC | char[1]     | Bill Frequency                      | Report the valid frequency code of the claim to indicate version, credit/debit activity and/or setting of claim.  | Required when<br>MC094 = 001 or<br>002 | 100% | Loop 2300 Segment CLM05-03  | Loop 2300 Segment CLM05-03   |
| 135      | MC134     | Plan Rendering<br>Provider Identifier | 4/1/2013  | Text                           | varchar[30] | Plan Rendering<br>Number            | Report the unique code which identifies for the carrier / submitter who or which individual provider cared for the patient for the claim line in question. This code must be able to link to the Provider File. Any value in this field must also show up as a value in field PV002 (Provider ID) on the Provider File. | All                                    | 100% | Various depending on Line Item: Operating = Loop 2420A Segment REF02 where REF01 = G2 - OR - Other Operating = Loop 2420B Segment REF02 where REF01 = G2 - OR - Rendering = Loop 2420C Segment REF02 where REF01 = G2 | Various depending on Line Item: Rendering = Loop 2420A Segment REF02 where REF01 = G2 - OR - Purchased Service Provider = Loop 2420B Segment REF02 where REF01 = G2 - OR - Ordering Provider = Loop 2420E Segment REF02 where REF01 = G2 |
| 136      | MC135     | Provider Location                     | 10/7/2013 | Text                           | varchar[30] | Location of Provider                | Report the unique code which identifies the location / site   | All                                    | 90%  | n/a   | n/a  |
| 137      | MC136     | Filler                                | 7/2/2013  | Filler                         | char[0]     | Filler                              | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | n/a   | n/a  |
| 138      | 10/10/13/ | Carrier Specific<br>Unique Member ID  | 4/1/2013  | Text                           | varchar[50] | Member's Unique ID                  | Report the identifier the carrier / submitter uses internally to uniquely identify the member. Used to validate Unique Member ID and link back to Member Eligibility (ME107)  | All                                    | 100% | Loop 2010BA Segment NM109 when<br>Loop 2000B SBR02 = 18 - ELSE - Loop<br>2010CA Segment NM109   |  |
| 139      | MC138     | Claim Line Type                       | 4/1/2013  | Lookup Table - Text            | char[1]     | Claim Line Activity Type Code       | Report the code that defines the claim line status in terms of adjudication. <b>EXAMPLE:</b> O = Original   | All                                    | 98%  | n/a   | n/a  |
|          |           |                                       |           |                                |             | Code                                | Description   |  |      |   |  |
|          |           |                                       |           |                                |             | 0                                   | Original  |  |      |   |  |
|          |           |                                       |           |                                |             | V                                   | Void  |  |      |   |  |
|          |           |                                       |           |                                |             | R                                   | Replacement   |  |      |   |  |
|          |           |                                       |           |                                |             | В                                   | Back Out  |  |      |   |  |
| <u> </u> | 1         |                                       |           | 1                              | T           | Α                                   | Amendment   |  | 1    | T   |  |
| 140      | MC139     | Former Claim<br>Number                | 4/1/2013  | Text                           | varchar[35] | Previous Claim<br>Number            | Report the Claim Control Number (MC004) that was originally sent in a prior filing that this line corresponds to. When reported, this data cannot equal its own MC004. Use of "Former Claim Number" to version claims can <b>only</b> be used if approved by the APCD. Contact the APCD for conditions of use.          | All                                    | 0%   | n/a   | n/a  |

| 141 | MC140 | Member Street<br>Address 2               | 4/1/2013 | Text                          | varchar[50] | Secondary Street<br>Address of the<br>Member/Patient | Report the address of member which may include apartment number or suite, or other secondary information besides the street. Used to validate Unique Member ID.                  | All | 2%   | Loop 2010BA Segment N302 when<br>Loop 2000B SBR02 = 18 - ELSE - Loop<br>2010CA Segment N302           | Loop 2010BA Segment N302 when<br>Loop 2000B SBR02 = 18 - ELSE - Loop<br>2010CA Segment N302 |
|-----|-------|--|----------|-------------------------------|-------------|--|--|-----|------|---|---|
| 142 | MC141 | Carrier Specific<br>Unique Subscriber ID | 4/1/2013 | Text                          | varchar[50] | Subscriber's Unique ID                               | Report the identifier the carrier / submitter uses internally to uniquely identify the subscriber. Used to validate Unique Member ID and link back to Member Eligibility (ME117) | All | 100% | Loop 2010BA Segment NM109   | Loop 2010BA Segment NM109   |
| 143 | MC142 | Other Diagnosis - 13                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 13. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI01-02 where HI01-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 144 | MC143 | Other Diagnosis - 14                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 14. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI02-02 where HI02-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 145 | MC144 | Other Diagnosis - 15                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 15. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI03-02 where HI03-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 146 | MC145 | Other Diagnosis - 16                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 16. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI04-02 where HI04-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 147 | MC146 | Other Diagnosis - 17                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 17. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI05-02 where HI05-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 148 | MC147 | Other Diagnosis - 18                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 18. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI06-02 where HI06-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 149 | MC148 | Other Diagnosis - 19                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 19. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI07-02 where HI07-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 150 | MC149 | Other Diagnosis - 20                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 20. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI08-02 where HI08-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 151 | MC150 | Other Diagnosis - 21                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 21. If not applicable do not report any value here  | All |      | Second Iteration of Loop 2300 Segment HI09-02 where HI09-01 = ABF or BF (ICD Version Dependent)       | n/a   |
| 152 | MC151 | Other Diagnosis - 22                     | 4/1/2013 | External Code Source -<br>ICD | varchar[7]  | ICD Other Diagnosis<br>Code                          | Other ICD Diagnosis Code - 22. If not applicable do not report any value here  | All | 1%   | Second Iteration of Loop 2300<br>Segment HI10-02 where HI10-01 =<br>ABF or BF (ICD Version Dependent) | n/a   |

| 153 | MC152 | Other Diagnosis - 23                    | 4/1/2013 | External Code Source - ICD    | varchar[7] | ICD Other Diagnosis<br>Code         | Other ICD Diagnosis Code - 23. If not applicable do not report any value here   | All   | 1%   | Second Iteration of Loop 2300 Segment HI11-02 where HI11-01 = ABF or BF (ICD Version Dependent) | n/a |
|-----|-------|---|----------|-------------------------------|------------|-------------------------------------|---|---|------|---|-----|
| 154 | MC153 | Other Diagnosis - 24                    | 4/1/2013 | External Code Source -        | varchar[7] | ICD Other Diagnosis<br>Code         | Other ICD Diagnosis Code - 24. If not applicable do not report any value here   | All   | 1%   | Second Iteration of Loop 2300 Segment HI12-02 where HI12-01 = ABF or BF (ICD Version Dependent) | n/a |
| 155 | MC154 | Present on Admission<br>Code (POA) - 01 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Principal<br>Diagnosis | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC041 is<br>populated | 100% | Loop 2300 Segment HI01-09 where<br>HI01-01 = ABK or BK  | n/a |
| 156 | MC155 | Present on Admission<br>Code (POA) - 02 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 1 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC042 is populated             | 100% | Loop 2300 Segment HI01-09 where<br>HI01-01 = ABF or BF  | n/a |
| 157 | MC156 | Present on Admission<br>Code (POA) - 03 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 2 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC043 is<br>populated | 100% | Loop 2300 Segment HI02-09 where<br>HI02-01 = ABF or BF  | n/a |
| 158 | MC157 | Present on Admission<br>Code (POA) - 04 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 3 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC044 is<br>populated | 100% | Loop 2300 Segment HI03-09 where<br>HI03-01 = ABF or BF  | n/a |
| 159 | MC158 | Present on Admission<br>Code (POA) - 05 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 4 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC045 is<br>populated | 100% | Loop 2300 Segment HI04-09 where<br>HI04-01 = ABF or BF  | n/a |
| 160 | MC159 | Present on Admission<br>Code (POA) - 06 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 5 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC046 is<br>populated | 100% | Loop 2300 Segment HI05-09 where<br>HI05-01 = ABF or BF  | n/a |
| 161 | MC160 | Present on Admission<br>Code (POA) - 07 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 6 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC047 is populated             | 100% | Loop 2300 Segment HI06-09 where<br>HI06-01 = ABF or BF  | n/a |
| 162 | MC161 | Present on Admission<br>Code (POA) - 08 | 4/1/2013 | External Code Source -<br>CMS | char[1]    | POA code for Other<br>Diagnosis - 7 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC048 is<br>populated | 100% | Loop 2300 Segment HI07-09 where<br>HI07-01 = ABF or BF  | n/a |

| 163 | MC162 | Present on Admission<br>Code (POA) - 09 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 8  | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC049 is<br>populated | 100% | Loop 2300 Segment HI08-09 where<br>HI08-01 = ABF or BF                        | n/a |
|-----|-------|---|----------|-------------------------------|---------|--------------------------------------|---|---|------|---|-----|
| 164 | MC163 | Present on Admission<br>Code (POA) - 10 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 9  | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC050 is<br>populated | 100% | Loop 2300 Segment HI09-09 where<br>HI09-01 = ABF or BF                        | n/a |
| 165 | MC164 | Present on Admission<br>Code (POA) - 11 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 10 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC051 is populated             | 100% | Loop 2300 Segment HI10-09 where<br>HI10-01 = ABF or BF                        | n/a |
| 166 | MC165 | Present on Admission<br>Code (POA) - 12 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 11 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC052 is<br>populated | 100% | Loop 2300 Segment HI11-09 where<br>HI11-01 = ABF or BF                        | n/a |
| 167 | MC166 | Present on Admission<br>Code (POA) - 13 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 12 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC053 is<br>populated | 100% | Loop 2300 Segment HI12-09 where<br>HI12-01 = ABF or BF                        | n/a |
| 168 | MC167 | Present on Admission<br>Code (POA) - 14 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 13 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC142 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI01-09 where HI01-01 =<br>ABF or BF | n/a |
| 169 | MC168 | Present on Admission<br>Code (POA) - 15 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 14 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC143 is populated             | 100% | Second Iteration of Loop 2300<br>Segment HI02-09 where HI02-01 =<br>ABF or BF | n/a |
| 170 | MC169 | Present on Admission<br>Code (POA) - 16 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 15 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC144 is<br>populated | 100% | Second Iteration of Loop 2300 Segment HI03-09 where HI03-01 = ABF or BF       | n/a |
| 171 | MC170 | Present on Admission<br>Code (POA) - 17 | 4/1/2013 | External Code Source -<br>CMS | char[1] | POA code for Other<br>Diagnosis - 16 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC145 is populated             | 100% | Second Iteration of Loop 2300<br>Segment HI04-09 where HI04-01 =<br>ABF or BF | n/a |

| 172 | MC171 | Present on Admission<br>Code (POA) - 18 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 17 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC146 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI05-09 where HI05-01 =<br>ABF or BF | n/a   |
|-----|-------|---|----------|--------------------------------|---------|--------------------------------------|---|---|------|---|---|
| 173 | MC172 | Present on Admission<br>Code (POA) - 19 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 18 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC147 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI06-09 where HI06-01 =<br>ABF or BF | n/a   |
| 174 | MC173 | Present on Admission<br>Code (POA) - 20 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 19 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC148 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI07-09 where HI07-01 =<br>ABF or BF | n/a   |
| 175 | MC174 | Present on Admission<br>Code (POA) - 21 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 20 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC149 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI08-09 where HI08-01 =<br>ABF or BF | n/a   |
| 176 | MC175 | Present on Admission<br>Code (POA) - 22 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 21 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC150 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI09-09 where HI09-01 =<br>ABF or BF | n/a   |
| 177 | MC176 | Present on Admission<br>Code (POA) - 23 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 22 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when<br>MC094 = 002,<br>MC039 and<br>MC151 is<br>populated | 100% | Second Iteration of Loop 2300<br>Segment HI10-09 where HI10-01 =<br>ABF or BF | n/a   |
| 178 | MC177 | Present on Admission<br>Code (POA) - 24 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 23 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC152 is populated             | 100% | Second Iteration of Loop 2300<br>Segment HI11-09 where HI11-01 =<br>ABF or BF | n/a   |
| 179 | MC178 | Present on Admission<br>Code (POA) - 25 | 4/1/2013 | External Code Source -<br>CMS  | char[1] | POA code for Other<br>Diagnosis - 24 | Report the appropriate value from the lookup table to describe diagnosis presence upon admission. Do not report blanks in lieu of Exempt, reporting 1 is required for exempt. | Required when MC094 = 002, MC039 and MC153 is populated             | 100% | Second Iteration of Loop 2300<br>Segment HI12-09 where HI12-01 =<br>ABF or BF | n/a   |
| 180 | MC179 | Condition Code - 1                      | 7/2/2013 | External Code Source -<br>NUBC | char[2] | Condition Code                       | Report the appropriate value that defines a condition for the claim or the patient. If not applicable do not report any value here.   |   | 10%  | Loop 2300 Segment HI01-02 where<br>HI01-01 = BG                               | Loop 2300 Segment HI01-02 where<br>HI01-01 = BG |
| 181 | MC180 | Condition Code - 2                      | 7/2/2013 | External Code Source -<br>NUBC | char[2] | Condition Code                       | Report the appropriate value that defines a condition for the claim or the patient. If not applicable do not report any value here.   | Required when<br>MC094 = 002  | 10%  | Loop 2300 Segment HI02-02 where<br>HI02-01 = BG                               | Loop 2300 Segment HI02-02 where<br>HI02-01 = BG |
| 182 | MC181 | Condition Code - 3                      | 7/2/2013 | External Code Source -<br>NUBC | char[2] | Condition Code                       | Report the appropriate value that defines a condition for the claim or the patient. If not applicable do not report any value here.   | Required when<br>MC094 = 002  | 10%  | Loop 2300 Segment HI03-02 where<br>HI03-01 = BG                               | Loop 2300 Segment HI03-02 where<br>HI03-01 = BG |

| 183-<br>191 | MC182 -<br>MC190 | Filler              | 7/2/2013 | Filler                         | char[0]      | Filler                                    | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | Loop 2300 Segment HI04-02 where<br>HI04-01 = BG | Loop 2300 Segment HI04-02 where<br>HI04-01 = BG |
|-------------|------------------|---------------------|----------|--------------------------------|--------------|---|---|--|------|---|---|
| 192         | MC191            | Value Code - 1      | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Value Code                                | Report the appropriate value that defines a value category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI01-02 where<br>HI01-01 = BE | n/a   |
| 193         | MC192            | Value Amount - 1    | 7/2/2013 | Integer                        | ±varchar[10] | Amount that corresponds to Value Code - 1 | Report the appropriate amount that corresponds to the value code. Only code 0 when 0 is an applicable amount for the Value Code Set. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE</b> : 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>MC191 is<br>populated | 100% | Loop 2300 Segment HI01-03 where<br>HI01-01 = BE | n/a   |
| 194         | MC193            | Value Code - 2      | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Value Code                                | Report the appropriate value that defines a value category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI02-02 where<br>HI02-01 = BE | n/a   |
| 195         | MC194            | Value Amount - 2    | 7/2/2013 | Integer                        | ±varchar[10] | Amount that corresponds to Value Code - 2 | Report the appropriate amount that corresponds to the value code. Only code 0 when 0 is an applicable amount for the Value Code Set. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE</b> : 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>MC193 is<br>populated | 100% | Loop 2300 Segment HI02-03 where<br>HI02-01 = BE | n/a   |
| 196         | MC195            | Value Code - 3      | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Value Code                                | Report the appropriate value that defines a value category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI03-02 where<br>HI03-01 = BE | n/a   |
| 197         | MC196            | Value Amount - 3    | 7/2/2013 | Integer                        | ±varchar[10] | Amount that corresponds to Value Code - 3 | Report the appropriate amount that corresponds to the value code. Only code 0 when 0 is an applicable amount for the Value Code Set. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | Required when<br>MC195 is<br>populated | 100% | Loop 2300 Segment HI03-03 where<br>HI03-01 = BE | n/a   |
| 198-<br>215 | MC197 -<br>MC214 | Filler              | 7/2/2013 | Filler                         | char[0]      | Filler                                    | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | Loop 2300 Segment HI04-02 where HI04-01 = BE    | n/a   |
| 216         |                  | Occurrence Code - 1 | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Occurrence Code                           | Report the appropriate value that defines an occurrence category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI01-02 where<br>HI01-01 = BH | n/a   |
| 217         | MC216            | Occurrence Date - 1 | 7/2/2013 | Integer                        | int[8]       | ·   | Report the appropriate date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when<br>MC215 is<br>populated | 100% | Loop 2300 Segment HI01-03 where<br>HI01-01 = BH | n/a   |
| 218         | MC217            | Occurrence Code - 2 | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Occurrence Code                           | Report the appropriate value that defines an occurrence category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI02-02 where<br>HI02-01 = BH | n/a   |
| 219         | MC218            | Occurrence Date - 2 | 7/2/2013 | Integer                        | int[8]       |   | Report the appropriate date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when MC215 is populated       | 100% | Loop 2300 Segment HI02-03 where<br>HI02-01 = BH | n/a   |
| 220         | MC219            | Occurrence Code - 3 | 7/2/2013 | External Code Source -<br>NUBC | char[2]      | Occurrence Code                           | Report the appropriate value that defines an occurrence category for the claim or the patient. If not applicable do not report any value here.  | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI03-02 where<br>HI03-01 = BH | n/a   |

| 221         | MC220            | Occurrence Date - 3               | 7/2/2013  | Integer                        | int[8]  | ·  | Report the appropriate date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when MC215 is populated       | 100% | Loop 2300 Segment HI03-03 where<br>HI03-01 = BH                       | n/a |
|-------------|------------------|-----------------------------------|-----------|--------------------------------|---------|--|---|--|------|---|-----|
| 222-<br>225 | MC221 -<br>MC224 | Filler                            | 7/2/2013  | Filler                         | char[0] | Filler   | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | Loop 2300 Segment HI04-02 where<br>HI04-01 = BH                       | n/a |
| 226         | MC225            | Occurrence Span<br>Code - 1       | 7/2/2013  | External Code Source -<br>NUBC | char[2] | Occurrence Span Code   | Report the appropriate code that defines an occurrence span category of the claim or patient. If not applicable do not report any value here.   | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI01-02 where<br>HI01-01 = BI                       | n/a |
| 227         | MC226            | Occurrence Span<br>Start Date - 1 | 7/2/2013  | Integer                        | int[8]  | Start Date that corresponds to Occurrence Span Code -        | Report the appropriate start date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when<br>MC225 is<br>populated | 100% | First eight digits of Loop 2300<br>Segment HI01-04 where HI01-01 = BI | n/a |
| 228         | MC227            | Occurrence Span End<br>Date - 1   | 7/2/2013  | Integer                        | int[8]  | End Date that<br>corresponds to<br>Occurrence Span Code<br>- | Report the appropriate end date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when<br>MC226 is<br>populated | 100% | Last eight digits of Loop 2300<br>Segment HI01-04 where HI01-01 = BI  | n/a |
| 229         | MC228            | Occurrence Span<br>Code - 2       | 7/2/2013  | External Code Source -<br>NUBC | char[2] | Occurrence Span Code   | Report the appropriate code that defines an occurrence span category of the claim or patient. If not applicable do not report any value here.   | Required when<br>MC094 = 002           | 10%  | Loop 2300 Segment HI02-02 where<br>HI02-01 = BI                       | n/a |
| 230         | MC229            | Occurrence Span<br>Start Date - 2 | 7/2/2013  | Integer                        | int[8]  | Start Date that corresponds to Occurrence Span Code          | Report the appropriate start date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when<br>MC225 is<br>populated | 100% | First eight digits of Loop 2300<br>Segment HI02-04 where HI02-01 = BI | n/a |
| 231         | MC230            | Occurrence Span End<br>Date - 2   | 7/2/2013  | Integer                        | int[8]  | End Date that<br>corresponds to<br>Occurrence Span Code      | Report the appropriate end date that corresponds to the occurrence code in YYYYMMDD Format.   | Required when<br>MC226 is<br>populated | 100% | Last eight digits of Loop 2300<br>Segment HI02-04 where HI02-01 = BI  | n/a |
| 232-<br>241 | MC231 -<br>MC240 | Filler                            | 7/2/2013  | Filler                         | char[0] | Filler   | Access Health CT reserves this field for future use. Do not populate with any data  | All                                    | 0%   | Loop 2300 Segment HI03-02 where<br>HI03-01 = BI                       | n/a |
| 242         | MC241            | APCD ID Code                      | 4/1/2013  | Lookup Table - Integer         | int[1]  | Member Enrollment<br>Type                                    | Report the value that describes the member's / subscriber's enrollment into one of the predefined categories; aligns enrollment to appropriate editing and thresholds. <b>EXAMPLE:</b> 1 = FIG - Fully Insured Commercial Group Enrollee. | All                                    | 100% | n/a   | n/a |
|             |                  |                                   |           |                                |         | Value  | Description   |  |      |   |     |
|             |                  |                                   |           |                                |         | 1  | FIG - Fully-Insured Commercial Group Enrollee   |  |      |   |     |
|             |                  |                                   |           |                                |         | 2  | SIG - Self-Insured Group Enrollee State or Federal Employer Enrollee  | -                                      |      |   |     |
|             |                  |                                   |           |                                |         | 4  | Individual - Non-Group Enrollee   | -                                      |      |   |     |
|             |                  |                                   |           |                                |         | 5  | Supplemental Policy Enrollee  |  |      |   |     |
|             |                  |                                   |           |                                |         | 6  | ICO - Integrated Care Organization  | 1                                      |      |   |     |
|             |                  |                                   |           |                                |         | 0  | Unknown / Not Applicable  | 1                                      |      |   |     |
| 243         | MC899            | Record Type                       | 4/1/2013  | Text                           | char[2] | File Type Identifier   | Report <b>MC</b> here. This validates the type of file and the data contained within the file. This must match HD004  | All                                    | 100% | n/a   | n/a |
| TR-MC       | TR001            | Record<br>Type                    | 10/7/2013 | Text                           | char[2] | Trailer Record<br>Identifier                                 | Report TR here. Indicates the end of the data file.   | Mandatory                              | 100% | n/a   | n/a |

| TR-MC | TR002 | Submitter                   | 10/7/2013 | Integer             | varchar[6] | Trailer Submitter /<br>Carrier ID defined<br>by AHCT   | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. This must match the Submitter ID reported in HD002.  | Mandatory   | 100% | n/a | n/a |
|-------|-------|-----------------------------|-----------|---------------------|------------|--|--|-------------|------|-----|-----|
| TR-MC | TR003 | National<br>Plan ID         | 10/7/2013 | Integer             | int[10]    | CMS National<br>Plan Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans. | Situational | 0%   | n/a | n/a |
| TR-MC | TR004 | Type of<br>File             | 10/7/2013 | Text                | char[2]    | Validates the file<br>type defined in<br>HD004.        | Report <b>MC</b> here. This must match the File Type reported in HD004.  | Mandatory   | 100% | n/a | n/a |
| TR-MC | TR005 | Period<br>Beginning<br>Date | 10/7/2013 | Full Date - Integer | int[8]     | Trailer Period<br>Start Date                           | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and HD006.                                     | Mandatory   | 100% | n/a | n/a |
| TR-MC | TR006 | Period<br>Ending<br>Date    | 10/7/2013 | Full Date - Integer | int[8]     | Trailer Period<br>Ending Date                          | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in TR005 and HD005 and HD006.                          | Mandatory   | 100% | n/a | n/a |
| TR-MC | TR007 | Date<br>Processed           | 10/7/2013 | Full Date - Integer | int[8]     | Trailer Processed<br>Date                              | Report the full date that the submission was compiled by the submitter in YYYYMMDD Format.   | Mandatory   | 100% | n/a | n/a |

## Pharmacy Claims Data Contents Guide 12/5/2013

| Col           | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре                | Format /<br>Length | Description  | Element Submission Guideline  | Condition   | %    |
|---------------|-------|-----------------------------|------------------|---------------------|--------------------|--|---|-------------|------|
| HD<br>-<br>PC | HD001 | Record<br>Type              | 10/7/2013        | Text                | char[2]            | Header Record<br>Identifier                                      | Report HD here. Indicates the beginning of the Header Elements of the file.   | Mandatory   | 100% |
| HD<br>-<br>PC | HD002 | Submitter                   | 10/7/2013        | Integer             | varchar[6]         | Header Submitter<br>/ Carrier ID<br>defined by AHCT              | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. TR002 must match the Submitter ID reported here. This ID is linked to other elements in the file for quality control.                 | Mandatory   | 100% |
| HD<br>-<br>PC | HD003 | National<br>Plan ID         | 10/7/2013        | Integer             | int[10]            | Header CMS<br>National Plan<br>Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   |
| HD<br>-<br>PC | HD004 | Type of<br>File             | 10/7/2013        | Text                | char[2]            | Defines the file<br>type and data<br>expected.                   | Report <b>PC</b> here. Indicates that the data within this file is expected to be MEDICAL CLAIM-based. This must match the File Type reported in TR004.   | Mandatory   | 100% |
| HD<br>-<br>PC | HD005 | Period<br>Beginning<br>Date | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Start Date                                      | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must be repeated in HD006, TR005 and TR006. This same date must be selected in the upload application for successful transfer. | Mandatory   | 100% |
| HD<br>-<br>PC | HD006 | Period<br>Ending<br>Date    | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Ending Date                                     | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and be repeated in TR005 and TR006.  | Mandatory   | 100% |
| HD<br>-<br>PC | HD007 | Record<br>Count             | 10/7/2013        | Integer             | varchar[10]        | Header Record<br>Count   | Report the total number of records submitted within this file. Do not report leading zeros, space fill, decimals, or any special characters.  | Mandatory   | 100% |
| HD<br>-<br>PC | HD008 | Comments                    | 10/7/2013        | Text                | varchar[80]        | Header Carrier<br>Comments                                       | May be used to document the submission by assigning a filename, system source, compile identifier, etc.   | Optional    | 0%   |

| Col           | Elmt  | Data Element<br>Name             | Date<br>Modified | Туре                 | Format /<br>Length | Description   | Element Submission Guideline   | Condition | %    |
|---------------|-------|----------------------------------|------------------|----------------------|--------------------|---|--|-----------|------|
| HD<br>-<br>PC |       | APCD<br>Version<br>Number        | 10/7/2013        | Decimal -<br>Numeric | char[3]            | Submission Guide<br>Version                         | Report the version number as presented on the APCD Medical Claim File Submission Guide in 0.0 Format. Sets the intake control for editing elements. Version must be accurate else file will drop.  EXAMPLE: 3.0 = Newest Version | Mandatory | 100% |
|               |       |                                  |                  |                      | -                  | Code  | Description  |           | •    |
|               |       |                                  |                  |                      |                    | 1.2   | Current Version; required for reporting periods as of October 2013   |           |      |
| 1             | PC001 | Submitter                        | 4/1/2013         | Integer              | varchar[6]         | CT APCD defined and maintained unique identifier    | Report the Unique Submitter ID as defined by CT APCD here. This must match the Submitter ID reported in HD002  | All       | 100% |
| 2             | PC002 | National Plan ID                 | 4/1/2013         | Integer              | int[10]            | CMS National Plan Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by the Center for Medicare and Medicaid Services (CMS) for Plans and Sub-plans.                                 | All       | 0%   |
| 3             | PC003 | Insurance Type<br>Code / Product | 4/1/2013         | Lookup Table - Text  | char[2]            | Type / Product Identification Code                  | Report the code that defines the type of insurance under which this patient's claim line was processed. <b>EXAMPLE:</b> HM = HMO * Descriptions with an asterisk are aligned with the HIPPA 835 Claim Filing Indicator Code List | All       | 100% |
|               | •     |                                  |                  |                      | •                  | Code  | Description  |           | •    |

| Code | Description  |
|------|--|
| 9    | Self-pay Self-pay  |
| 11   | Other Non-Federal Programs * (use of this value requires disclosure to |
| 11   | Data Manager prior to submission)                                      |
| 12   | Preferred Provider Organization (PPO) *                                |
| 13   | Point of Service (POS) *   |
| 14   | Exclusive Provider Organization (EPO) *                                |
| 15   | Indemnity Insurance *  |
| 16   | Health Maintenance Organization (HMO) Medicare Risk *                  |
| 17   | Dental Maintenance Organization (DMO) *                                |
| 96   | Husky Health A   |
| 97   | Husky Health B   |
| 98   | Husky Health C   |
| 99   | Husky Health D   |
| AM   | Automobile Medical *   |
| СН   | Champus (now TRICARE) *  |
| CI   | Commercial Insurance   |
| DS   | Disability *   |
| НМ   | Health Maintenance Organization *                                      |
| LM   | Liability Medical *  |
| MA   | Medicare Part A *  |
| MB   | Medicare Part B *  |
| MC   | Medicaid *   |
| OF   | Other Federal Program * (use of this value requires disclosure to Data |
| OF   | Manager prior to submission)   |
| TV   | Title V *  |
| VA   | Veterans Affairs Plan *  |

| Number   N   | Col | Elmt     | Data Element<br>Name | Date<br>Modified | Туре                | Format /<br>Length | Description                         | Element Submission Guideline  | Condition | %    |
|--|-----|----------|----------------------|------------------|---------------------|--------------------|-------------------------------------|---|-----------|------|
| PCOD4 Power Claim Control A/1/2013 Text varchar[35] Payer Claim Control Identification Report the Unique Identifier within the payer's system that applies to the entire claim Report the Unique Identifier within the payer's system that applies to the entire claim All Incremental Line Counter A/1/2013 Numeric Varchar[4] Incremental Line Counter Report the Unique Identifier within the payer's system that applies to the entire claim All Incremental Line Counter A/1/2013 Numeric Varchar[4] Incremental Line Counter Report the unique Identifier within the payer's system that applies to the entire claim All Incremental Line Counter Incremental Li |     |          |                      |                  |                     |                    | WC                                  | Workers' Compensation *   |           |      |
| PC004   Number   4/1/2013   Text   varchar[3]   Payer Claim Control Identification   Report the line number for this service within the claim. Start with 1   11   11   12   13   14   15   15   16   16   16   16   16   16   |     |          |                      |                  |                     |                    | ZZ                                  | , , ,   |           |      |
| 5 PC005 Line Counter 4/1/2013 Numeric varchar[4] Incremental Line Counter and increment by 1 for each additional line. Do not start with 0, Include alphas or special characters.  4/1/2013 Numeric varchar[4] Claim Service Line Version Number Report the version number of this claim service line. The version number of this claim service line. No alpha or special characters.  Report the version number of this claim service line. The version number of this claim service line. No alpha or special characters.  All 1 11 11 11 11 11 11 11 11 11 11 11 11   | 4   | P( ()()4 | 1 -                  | 4/1/2013         | Text                | varchar[35]        | Payer Claim Control Identification  |   | All       | 100% |
| 6 PC005A Version Number 4/1/2013 Numeric varchar[4] Claim Service Line Version Number number begins with 0 and is incremented by 1 for each subsequent version of that service line. No alpha or special characters.  7 PC006 Insured Group or Policy Number 4/1/2013 Text varchar[30] Group / Policy Number Report the number that defines the insured group or policy. Do not report the number that uniquely identifies the subscriber or member Report the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member Report the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number that uniquely identifies the subscriber or member of the number in the number that uniquely identifies the subscriber or member or the number in the number that uniquely identifies the subscriber and all of the dependents.  All 9 PC008 Plan Specific Contract Number 4/1/2013 Text varchar[20] Member/Patient's Contract Number Report the unique number / identifier of the member within the contract Co | 5   | PC005    | Line Counter         | 4/1/2013         | Numeric             | varchar[4]         | Incremental Line Counter            | and increment by 1 for each additional line. Do not start with 0,   | All       | 100% |
| PC006 Policy Number 4/1/2013 Text varchar[30] Group / Policy Number report the number that uniquely identifies the subscriber or member All 9  PC007 Subscriber SSN 4/1/2013 Numeric char[9] Subscriber's Social Security Number will not be passed into analytic file. Do not use hyphen. If not available do not report any value here Report the Plan assigned contract number. Do not include values in this field that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents.  PC009 Member Suffix or Sequence Number 4/1/2013 Text varchar[20] Member/Patient's Contract Sequence Number Contract Sequence Number Report the unique number / identifier of the member within the contract | 6   | PC005A   | Version Number       | 4/1/2013         | Numeric             | varchar[4]         | Claim Service Line Version Number   | number begins with 0 and is incremented by 1 for each subsequent  | All       | 100% |
| 8 PC007 Subscriber SSN 4/1/2013 Numeric char[9] Subscriber's Social Security Number will not be passed into analytic file. Do not use hyphen. If not available do not report any value here  Plan Specific Contract Number Contract Number A/1/2013 Text varchar[30] Contract Number Report the Plan assigned contract number. Do not include values in this field that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents.  10 PC009 Member Suffix or Sequence Number A/1/2013 Text varchar[20] Member/Patient's Contract Sequence Number Report the unique number / identifier of the member within the contract contract Contract Sequence Number Report the patient's social security number here; used to validate Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here  11 PC010 Individual Relationship Code 10/30/2013 Lookup Table - Text Varchar[2] Patient to Subscriber Relationship Report the value that defines the Patient's relationship to the Subscriber. EXAMPLE: 1 = Spouse All Subscriber. EXAMPLE: 1 = Spouse  | 7   | PC006    | •                    | 4/1/2013         | Text                | varchar[30]        | Group / Policy Number               |   | All       | 98%  |
| Plan Specific Contract Number 4/1/2013 Text varchar[30] Contract Number this field that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents.  10 PC009 Member Suffix or Sequence Number 4/1/2013 Text varchar[20] Member/Patient's Contract Sequence Number Contract Report the unique number / identifier of the member within the contract Con | 8   | PC007    | Subscriber SSN       | 4/1/2013         | Numeric             | char[9]            | Subscriber's Social Security Number | will not be passed into analytic file. Do not use hyphen. If not available  | All       | 75%  |
| Sequence Number   4/1/2013   Text   Varchar[20]   Sequence Number   Contract   Contract    PC010   Member SSN   4/1/2013   Numeric   Char[9]   Member/Patient's Social Security   Number   Report the patient's social security number here; used to validate   Unique Member ID; will not be passed into analytic file. Do not use   hyphen. If not available do not report any value here   Patient to Subscriber Relationship   Report the value that defines the Patient's relationship to the   Subscriber. EXAMPLE: 1 = Spouse   All   9   | 9   | PCOOR    | · •                  | 4/1/2013         | Text                | varchar[30]        | Contract Number                     | this field that will distinguish one member of the family from another.  This should be the contract or certificate number for the subscriber and | All       | 98%  |
| PC010 Member SSN 4/1/2013 Numeric char[9] Member/Patient's Social Security Number Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here  12 PC011 Individual Relationship Code 10/30/2013 Lookup Table - Text varchar[2] Patient to Subscriber Relationship Subscriber. EXAMPLE: 1 = Spouse All 9  | 10  | PC009    |                      | 4/1/2013         | Text                | varchar[20]        | •                                   | 1 ' '   | All       | 98%  |
| 12 PC011 Relationship Code Relationship Code Relationship Code Relationship Code Relationship Code Relationship Code Subscriber. EXAMPLE: 1 = Spouse   | 11  | PC010    | Member SSN           | 4/1/2013         | Numeric             | char[9]            | ,                                   | Unique Member ID; will not be passed into analytic file. Do not use   | All       | 75%  |
| Code Description   | 12  | I PC011  |                      | 10/30/2013       | Lookup Table - Text | varchar[2]         | ' '                                 | ·   | All       | 98%  |
|  |     |          |                      |                  |                     |                    | Code                                | Description   |           |      |

| Code | Description                    |  |
|------|--------------------------------|--|
| 1    | Spouse                         |  |
| 4    | Grandfather or Grandmother     |  |
| 5    | Grandson or Granddaughter      |  |
| 7    | Nephew or Niece                |  |
| 10   | Foster Child                   |  |
| 12   | Other Adult                    |  |
| 15   | Ward                           |  |
| 17   | Stepson or Stepdaughter        |  |
| 19   | Child                          |  |
| 20   | Self / Employee                |  |
| 21   | Unknown                        |  |
| 22   | Handicapped Dependent          |  |
| 23   | Sponsored Dependent            |  |
| 24   | Dependent of a Minor Dependent |  |

| Col | Elmt  | Data Element<br>Name               | Date<br>Modified | Туре                            | Format /<br>Length | Description  | Element Submission Guideline  | Condition | %    |
|-----|-------|------------------------------------|------------------|---------------------------------|--------------------|--|---|-----------|------|
|     |       |                                    |                  |                                 |                    | 29   | Significant Other   |           |      |
|     |       |                                    |                  |                                 |                    | 32   | Mother  |           |      |
|     |       |                                    |                  |                                 |                    | 33   | Father  |           |      |
|     |       |                                    |                  |                                 |                    | 34   | Other Adult   |           |      |
|     |       |                                    |                  |                                 |                    | 36   | Emancipated Minor   |           |      |
|     |       |                                    |                  |                                 |                    | 39   | Organ Donor   |           |      |
|     |       |                                    |                  |                                 |                    | 40   | Cadaver Donor   |           |      |
|     |       |                                    |                  |                                 |                    | 41   | Injured Plaintiff   |           |      |
|     |       |                                    |                  |                                 |                    | 43   | Child Where Insured Has No Financial Responsibility   |           |      |
|     |       |                                    |                  |                                 |                    | 53   | Life Partner  |           |      |
|     |       |                                    |                  |                                 |                    | 76   | Dependent   |           |      |
|     |       |                                    |                  |                                 |                    |  | Report patient gender as found on the claim in alpha format. Used to  |           |      |
| 13  | PC012 | Member Gender                      | 4/1/2013         | Lookup Table - Text             | char[1]            | Patient's Gender                                       | validate clinical services when applicable and Unique Member ID. <b>EXAMPLE:</b> F = Female   | All       | 100% |
|     |       | •                                  |                  | •                               |                    | Code   | Description   |           |      |
|     |       |                                    |                  |                                 |                    | F  | Female  |           |      |
|     |       |                                    |                  |                                 |                    | М  | Male  |           |      |
|     |       |                                    |                  |                                 |                    | U  | Unknown   |           |      |
| 14  | PC013 | Member Date of<br>Birth            | 4/1/2013         | Full Date - Integer             | int[8]             | Member/Patient's date of birth                         | Report the date the member / patient was born in YYYYMMDD Format. Used to validate Unique Member ID.  | All       | 99%  |
| 15  | PC014 | Member City Name of Residence      | 4/1/2013         | Text                            | varchar[50]        | City name of the Member/Patient                        | Report the city name of the member / patient. Used to validate Unique Member ID   | All       | 99%  |
| 16  | PC015 | Member State                       | 4/1/2013         | External Code Source -<br>USPS  | char[2]            | State / Province of the Patient                        | Report the state of the patient as defined by the US Postal Service.  Report Province when Country Code does not = USA  | All       | 100% |
| 17  | PC016 | Member ZIP Code                    | 4/1/2013         | External Code Source -<br>USPS  | varchar[9]         | Zip code of the Member / Patient                       | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.  | All       | 100% |
| 18  | PC017 | Date Service<br>Approved (AP Date) | 4/1/2013         | Full Date - Integer             | int[8]             | Date Service Approved by Payer                         | Report the date that the payer approved this claim line for payment in YYYYMMDD Format. This element was designed to capture date other than the Paid date. If Approved Date and Paid Date are the same, then the date here should match Paid Date. | All       | 100% |
| 19  | PC018 | Pharmacy Number                    | 4/1/2013         | Text                            | varchar[30]        | Pharmacy Number  | Report either the NCPDP or NABP number of the dispensing pharmacy   | All       | 98%  |
| 20  | PC019 | Pharmacy Tax ID<br>Number          | 4/1/2013         | Numeric                         | char[9]            | Pharmacy Tax Identification<br>Number                  | Report the Federal Tax ID of the Pharmacy here. Do not use hyphen or alpha prefix.  | All       | 20%  |
| 21  | PC020 | Pharmacy Name                      | 4/1/2013         | Text                            | varchar[100]       | Name of Pharmacy                                       | Report the name of the pharmacy here  | All       | 90%  |
| 22  | PC021 | National Provider ID<br>- Pharmacy | 4/1/2013         | External Code Source -<br>NPPES | int[10]            | National Provider Identification (NPI) of the Pharmacy | Report the Primary National Provider ID (NPI) here. This ID should be found on the Provider File in the NPI field (PV039)   | All       | 99%  |
| 23  | PC022 | Pharmacy Location<br>City          | 4/1/2013         | Text                            | varchar[30]        | City name of the Pharmacy                              | Report the city name of pharmacy - preferably pharmacy location   | All       | 85%  |
| 24  | PC023 | Pharmacy Location<br>State         | 4/1/2013         | External Code Source -<br>USPS  | char[2]            | State of the Pharmacy                                  | Report the state where the dispensing pharmacy is located.  | All       | 90%  |

| Col | Elmt   | Data Element<br>Name          | Date<br>Modified | Туре                           | Format /<br>Length | Description                           | Element Submission Guideline   | Condition | %    |
|-----|--------|-------------------------------|------------------|--------------------------------|--------------------|---------------------------------------|--|-----------|------|
| 25  | PC024  | Pharmacy ZIP Code             | 4/1/2013         | External Code Source -<br>USPS | varchar[9]         | Zip code of the Pharmacy              | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen. | All       | 90%  |
| 26  | PUIJAA | Pharmacy Country<br>Code      | 4/1/2013         | External Code Source -<br>ANSI | char[3]            | Country Code of the Pharmacy          | Report the three-character country code as defined by ISO 3166-1, Alpha 3  | All       | 90%  |
| 27  | PC025  | Claim Status                  | 10/7/2013        | Lookup Table - integer         | varchar[2]         | Claim Line Status                     | Report the value that defines the payment status of this claim line  | All       | 98%  |
|     |        |                               |                  |                                |                    | Value                                 | Description  |           |      |
|     |        |                               |                  |                                |                    | 1                                     | Processed as primary   |           |      |
|     |        |                               |                  |                                |                    | 2                                     | Processed as secondary   |           |      |
|     |        |                               |                  |                                |                    | 3                                     | Processed as tertiary  |           |      |
|     |        |                               |                  |                                |                    | 4                                     | Denied   |           |      |
|     |        |                               |                  |                                |                    | 19                                    | Processed as primary, forwarded to additional payer(s)   |           |      |
|     |        |                               |                  |                                |                    | 20                                    | Processed as secondary, forwarded to additional payer(s)   |           |      |
|     |        |                               |                  |                                |                    | 21                                    | Processed as tertiary, forwarded to additional payer(s)  |           |      |
|     |        |                               |                  |                                |                    | 22                                    | Reversal of previous payment   |           |      |
|     |        |                               |                  |                                |                    | 23                                    | Not our claim, forwarded to additional payer(s)  |           |      |
|     |        |                               |                  |                                |                    | 25                                    | Predetermination Pricing Only - no payment   |           |      |
| 28  | PC026  | Drug Code                     | 4/1/2013         | External Code Source -<br>FDA  | char[11]           | National Drug Code (NDC)              | Report the NDC Code as defined by the FDA in 11 digit format (5-4-2) without hyphenation   | All       | 98%  |
| 29  | PC027  | Drug Name                     | 4/1/2013         | External Code Source -<br>FDA  | varchar[80]        | Name of the drug as supplied          | Report the name of the drug that aligns to the National Drug Code. Do not report generic names with brand National Drug Codes                | All       | 95%  |
| 30  | PC028  | New Prescription or<br>Refill | 4/1/2013         | Numeric                        | char[2]            | Prescription Status Indicator         | Report the status of prescription by numeric value. <b>EXAMPLE:</b> 00 = new prescription; First Refill = 01, etc.                           | All       | 99%  |
| 31  | PC029  | Generic Drug<br>Indicator     | 4/1/2013         | Lookup Table - Integer         | int[1]             | Generic Drug Indicator                | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, the drug reported is a generic.  | All       | 100% |
|     |        |                               |                  |                                |                    | Value                                 | Description  |           |      |
|     |        |                               |                  |                                |                    | 1                                     | Yes  |           |      |
|     |        |                               |                  |                                |                    | 2                                     | No   |           |      |
|     |        |                               |                  |                                |                    | 3                                     | Unknown  |           |      |
|     |        |                               |                  |                                |                    | 4                                     | Other  |           |      |
|     |        |                               |                  |                                |                    | 5                                     | Not Applicable   |           |      |
| 32  | PC030  | Dispense as Written<br>Code   | 4/1/2013         | Lookup Table - Integer         | int[1]             | Prescription Dispensing Activity Code | Report the value that defines how the drug was dispensed. <b>EXAMPLE:</b> 0 = Not dispensed as written                                       | All       | 98%  |
|     |        |                               |                  |                                |                    | Value                                 | Description  |           |      |
|     |        |                               |                  |                                |                    | 1                                     | Physician dispense as written  |           |      |
|     |        |                               |                  |                                |                    | 2                                     | Member dispense as written   |           |      |
|     |        |                               |                  |                                |                    | 3                                     | Pharmacy dispense as written   |           |      |
|     |        |                               |                  |                                |                    | 4                                     | No generic available   |           |      |
|     |        |                               |                  |                                |                    | 5                                     | Brand dispensed as generic   |           |      |
|     |        |                               |                  |                                |                    | 6                                     | Override   |           |      |
|     |        |                               |                  |                                |                    | 7                                     | Substitution not allowed, brand drug mandated by law   |           |      |
|     |        |                               |                  |                                |                    | 8                                     | Substitution allowed, generic drug not available in marketplace  |           |      |
|     |        |                               |                  |                                |                    | 9                                     | Other  |           |      |
|     |        |                               |                  |                                |                    | 0                                     | Not dispensed as written   |           |      |

| Col | Elmt  | Data Element<br>Name          | Date<br>Modified | Туре                   | Format /<br>Length | Description  | Element Submission Guideline  | Condition | %        |
|-----|-------|-------------------------------|------------------|------------------------|--------------------|--|---|-----------|----------|
| 33  | PC031 | Compound Drug<br>Indicator    | 4/1/2013         | Lookup Table - Integer | int[1]             | Compound Drug Indicator                                | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, drug is a compound.   | All       | optional |
|     |       |                               |                  |                        |                    | Value  | Description   |           |          |
|     |       |                               |                  |                        |                    | 1  | Yes   |           |          |
|     |       |                               |                  |                        |                    | 2  | No  |           |          |
|     |       |                               |                  |                        |                    | 3  | Unknown   |           |          |
|     |       |                               |                  |                        |                    | 4  | Other   |           |          |
|     |       | T                             |                  | 1                      | T                  | 5  | Not Applicable  |           |          |
| 34  | PC032 | Date Prescription<br>Filled   | 4/1/2013         | Full Date - Integer    | int[8]             | Prescription filled date                               | Report the date the pharmacy filled AND dispensed prescription to the patient in YYYYMMDD Format.   | All       | 99%      |
| 35  | PC033 | Quantity Dispensed            | 4/1/2013         | Quantity - Integer     | ±varchar[10]       | Claim line units dispensed                             | Report the number of metric units of medication dispensed   | All       | 75%      |
| 36  | PC034 | Days' Supply                  | 4/1/2013         | Quantity - Integer     | ±varchar[3]        | Prescription Supply Days                               | Report the number of days the prescription will last if taken as prescribed   | All       | 10%      |
| 37  | PC035 | Charge Amount                 | 4/1/2013         | Integer                | ±varchar[10]       | Amount of provider charges for the claim line          | Report the amount the provider / dispensing facility billed the insurance carrier for this claim line service. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | All       | 99%      |
| 38  | PC036 | Paid Amount                   | 4/1/2013         | Integer                | ±varchar[10]       | Amount paid by the carrier for the claim line          | Report the amount paid for the claim line. Report 0 if line is paid as part of another procedure / claim line. Do not report any value if the line is denied. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | All       | 99%      |
| 39  | PC037 | Ingredient Cost/List<br>Price | 4/1/2013         | Integer                | ±varchar[10]       | Amount defined as the List Price or<br>Ingredient Cost | Report the amount that defines this pharmaceutical cost / price. Do not report any value if unknown. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070  | All       | 99%      |
| 40  | PC038 | Postage Amount<br>Claimed     | 4/1/2013         | Integer                | ±varchar[10]       | Amount of postage claimed on the claim line            | Report the amount of postage claimed for this claim line. Report 0 if postage does not apply Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | All       | 100%     |
| 41  | PC039 | Dispensing Fee                | 4/1/2013         | Integer                | ±varchar[10]       | Amount of dispensing fee for the claim line            | Report the amount that defines the dispensing fee. Report 0 if fee does not apply. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | All       | 99%      |
| 42  | PC040 | Copay Amount                  | 4/1/2013         | Integer                | ±varchar[10]       | Amount of Copay member/patient is responsible to pay   | Report the amount that the is the patient's responsibility. Report 0 if no Copay applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All       | 100%     |

| Col | Elmt    | Data Element<br>Name                       | Date<br>Modified | Туре                            | Format /<br>Length | Description   | Element Submission Guideline  | Condition | %    |
|-----|---------|--|------------------|---------------------------------|--------------------|---|---|-----------|------|
| 43  | PC041   | Coinsurance<br>Amount                      | 4/1/2013         | Integer                         | ±varchar[10]       | Amount of coinsurance member/patient is responsible to pay                  | Report the amount that defines a calculated percentage amount for this claim line service that the patient is responsible to pay. Report 0 if no Coinsurance applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All       | 100% |
| 44  | PC042   | Deductible Amount                          | 4/1/2013         | Integer                         | ±varchar[10]       | Amount of deductible member/patient is responsible to pay on the claim line | Report the amount that defines a preset, fixed amount for this claim line service that the patient is responsible to pay. Report 0 if no Deductible applies to service. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | All       | 100% |
| 45  | PC043   | Prescribing<br>ProviderID                  | 7/2/2013         | Text                            | varchar[30]        | Prescribing Provider Identification   | Report the identification of the prescribing provider here. The information in this element must have a matching Provider ID (PV002) in the Provider File.  | All       | 99%  |
| 46  | P( ()44 | Prescribing<br>Physician First Name        | 4/1/2013         | Text                            | varchar[25]        | First name of Prescribing Physician   | Report the first name of the prescribing physician here.  | All       | 50%  |
| 47  |         | Prescribing<br>Physician Middle<br>Name    | 4/1/2013         | Text                            | varchar[25]        | Middle initial of Prescribing<br>Physician                                  | Report the middle name of the prescribing physician here.   | All       | 2%   |
| 48  | PC046   | Prescribing<br>Physician Last Name         | 4/1/2013         | Text                            | varchar[60]        | Last name of Prescribing Physician  | Report the last name of the prescribing physician here.   | All       | 50%  |
| 49  | P(*()4/ | Prescribing Physician DEA                  | 7/2/2013         | Text                            | char[9]            | Prescriber DEA  | Report the Primary DEA identifier for the prescribing physician   | All       | 80%  |
| 50  | PC048   | National Provider ID - Prescribing         | 7/2/2013         | External Code Source -<br>NPPES | int[10]            | National Provider Identification<br>(NPI) of the Prescriber                 | Report the Primary National Provider ID (NPI) of the Prescribing Provider in PC046. This ID should be found on the Provider File in the NPI field (PV039) when the Provider is contracted with the carrier.   | All       | 99%  |
| 51  |         | Prescribing<br>Physician Plan<br>Number    | 7/2/2013         | Text                            | varchar[30]        | Carrier-assigned Provider Plan ID   | Report the prescriber's plan number here. Do not report any value here if contracted with the carrier. This identifier must match an existing identifier in the Provider File   | All       | 100% |
| 52  |         | Prescribing<br>Physician License<br>Number | 7/2/2013         | Text                            | varchar[30]        | Prescribing Physician License<br>Number                                     | Report the state license number for the provider identified in PV002. For a doctor this is the medical license for a non-doctor this is the practice license. Do not use zero-fill. If not available, or not applicable, such as for a group or corporate entity, do not report any value here.   | All       | 50%  |
| 53  |         | Prescribing<br>Physician Street<br>Address | 7/2/2013         | Text                            | varchar[50]        | Street address of the Prescribing<br>Physician                              | Report the street address of the prescribing physician  | All       | 10%  |
| 54  | PC052   | Prescribing Physician Street Address 2     | 7/2/2013         | Text                            | varchar[50]        | Secondary street address of the<br>Prescribing Physician                    | Report the street address of the prescribing physician that may contain the office number, suite number, or PO Box  | All       | 10%  |
| 55  | PC053   | Prescribing<br>Physician City              | 7/2/2013         | Text                            | varchar[30]        | City name of the Prescribing Physician                                      | Report the Prescribing Physician's City   | All       | 10%  |

| Col | Elmt  | Data Element<br>Name                  | Date<br>Modified | Туре                           | Format /<br>Length | Description  | Element Submission Guideline   | Condition                                       | %    |
|-----|-------|---------------------------------------|------------------|--------------------------------|--------------------|--|--|---|------|
| 56  | PC054 | Prescribing<br>Physician State        | 7/2/2013         | External Code Source -<br>USPS | char[2]            | State of the Prescribing Physician                                 | Report the Prescribing Physician's State   | All   | 10%  |
| 57  | PC055 | Prescribing<br>Physician Zip Code     | 7/2/2013         | External Code Source -<br>USPS | varchar[9]         | Zip code of the Prescribing<br>Physician                           | Report the Prescribing Physician's Zip code  | All   | 10%  |
| 58  | PC056 | Filler                                | 7/2/2013         | Filler                         | char[0]            | Filler   | Access Health CT reserves this field for future use. Do not populate with any data   | All   | 0%   |
| 59  | PC057 | Mail Order pharmacy                   | 4/1/2013         | Lookup Table - Integer         | int[1]             | Indicator - Mail Order Option                                      | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, pharmacy is a mail order pharmacy  | All   | 100% |
|     |       |                                       |                  |                                |                    | Value  | Description  |   |      |
|     |       |                                       |                  |                                |                    | 1  | Yes  |   |      |
|     |       |                                       |                  |                                |                    | 2  | No   |   |      |
|     |       |                                       |                  |                                |                    | 3  | Unknown  |   |      |
|     |       |                                       |                  |                                |                    | 4  | Other  |   |      |
|     |       |                                       |                  |                                |                    | 5  | Not Applicable   |   |      |
| 60  | PC058 | Script number                         | 4/1/2013         | Text                           | varchar[20]        | Prescription Number  | Report the unique identifier of the prescription   | All   | 100% |
| 61  | PC059 | Filler                                | 7/2/2013         | Filler                         | char[0]            | Filler   | Access Health CT reserves this field for future use. Do not populate with any data   | All   | 0%   |
| 62  | PC060 | Single / Multiple<br>Source Indicator | 7/2/2013         | Lookup Table - Integer         | int[1]             | Indicator - Drug Source  | Report the value that defines the availability of the pharmaceutical. <b>EXAMPLE:</b> 3 = Single-source brand  | All   | 100% |
|     |       | •                                     |                  | •                              | •                  | Value  | Description  |   |      |
|     |       |                                       |                  |                                |                    | 1  | Multi-source brand   |   |      |
|     |       |                                       |                  |                                |                    | 2  | Multi-source brand with generic equivalent   |   |      |
|     |       |                                       |                  |                                |                    | 3  | Single source brand  |   |      |
|     |       |                                       |                  |                                |                    | 4  | Single source brand with generic equivalent  |   |      |
|     |       |                                       |                  |                                |                    | 5  | Unknown  |   |      |
| 63  | PC061 | Member Street<br>Address              | 4/1/2013         | Text                           | varchar[50]        | Street address of the<br>Member/Patient                            | Report the patient / member's address. Used to validate Unique Member ID.  | All   | 90%  |
| 64  | PC062 | Billing Provider Tax<br>ID Number     | 4/1/2013         | Numeric                        | char[9]            | The Billing Provider's Federal Tax<br>Identification Number (FTIN) | Report the Federal Tax ID of the Billing Provider here. Do not use hyphen or alpha prefix.   | All   | 90%  |
| 65  | PC063 | Paid Date                             | 4/1/2013         | Integer                        | int[8]             | Paid date of the claim line  | Report the date that appears on the check and/or remit and/or explanation of benefits and corresponds to any and all types of payment in YYYYMMDD Format. This can be the same date as Processed Date. EXAMPLE: Claims paid in full, partial or zero paid  | Required when PC025 = 01, 02, 03, 19, 20, or 21 | 100% |
| 66  | PC064 | Date Prescription<br>Written          | 4/1/2013         | Full Date - Integer            | int[8]             | Date prescription was prescribed                                   | Report the date that was written on the prescription or called-in by the physician's office in YYYYMMDD Format.  | All   | 98%  |
| 67  | PC065 | COB / TPL Amount                      | 7/2/2013         | Integer                        | ±varchar[10]       | Amount due from a secondary carrier                                | Report the amount that another payer is liable for after submitting payer has processed this claim line. Report 0 if there is no COB / TPL amount. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>PC025 = 19, 20 or<br>21        | 98%  |

| Col | Elmt  | Data Element<br>Name           | Date<br>Modified | Туре                   | Format /<br>Length | Description  | Element Submission Guideline   | Condition   | %       |
|-----|-------|--------------------------------|------------------|------------------------|--------------------|--|--|---|---------|
| 68  | PC066 | Other Insurance<br>Paid Amount | 7/2/2013         | Integer                | ±varchar[10]       | Amount already paid by primary carrier                                 | Report the amount that a prior payer has paid for this claim line. Indicates the submitting Payer is 'secondary' to the prior payer. Only report 0 if the Prior Payer paid 0 towards this claim line, else do not report any value here. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070         | Required when PC025 = 2, 3, 20 or 21              | 98%     |
| 69  | PC067 | Medicare Paid<br>Amount        | 7/2/2013         | Integer                | ±varchar[10]       | Any amount Medicare Paid towards claim line                            | Report the amount that Medicare paid towards this claim line. Only report 0 if Medicare paid 0 towards this claim line, else do not report any value here. Do not code decimal or round-up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | Required when<br>PC112 = 1                        | 100%    |
| 70  | PC068 | Allowed amount                 | 4/1/2013         | Integer                | ±varchar[10]       | Allowed Amount   | Report the maximum amount contractually allowed, and that a carrier will pay to a provider for a particular procedure or service. This will vary by provider contract and most often it is less than or equal to the fee charged by the pharmacy Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when<br>PC025 does not =<br>4, 22, or 23 | 99%     |
| 71  | PC069 | Member Self Pay<br>Amount      | 4/1/2013         | Integer                | ±varchar[10]       | Amount member/patient paid out of pocket on the claim line             | Report the amount that the patient has paid beyond the copay structure. Report 0 if patient has not paid towards this claim line. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070  | All   | 20%     |
| 72  | PC070 | Rebate Indicator               | 7/2/2013         | Lookup Table - Integer | int[1]             | Indicator - Rebate   | Report the value that defines the element. EXAMPLE: 1 = Yes, drug is eligible for rebate   | All   | 100%    |
|     |       | !                              |                  | !                      |                    | Value  | Description  |   |         |
|     |       |                                |                  |                        |                    | 1  | Yes  |   |         |
|     |       |                                |                  |                        |                    | 2  | No   |   |         |
|     |       |                                |                  |                        |                    | 3  | Unknown  |   |         |
|     |       |                                |                  |                        |                    | 4  | Other  |   |         |
|     |       | 1                              |                  | T                      |                    | 5  | Not Applicable   |   |         |
| 73  | PC071 | State Sales Tax                | 7/2/2013         | Integer                | ±varchar[10]       | Amount of applicable sales tax on the claim line                       | Report the amount of state sales tax applied to this claim line. Report 0 if state sales tax does not apply. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070   | All   | 0%      |
| 74  | PC072 | Carve Out Vendor<br>CT APCD ID | 4/1/2013         | Integer                | varchar[6]         | CT APCD defined and maintained<br>Org ID for linking across submitters | Report the CT APCD ID of the DBA here. This element contains the CT APCD assigned organization ID for the DBA. Contact the APCD for the appropriate value. If no DBA is affiliated with this claim line do not report any value here: i.e., do not repeat the CT APCD ID from PC001  | All   | 98%     |
| 75  | PC073 | Formulary Code                 | 7/2/2013         | Lookup Table - Integer | int[1]             | Indicator - Formulary Inclusion  | Report the value that defines the element. EXAMPLE: 1 = Yes, the drug is on the carrier's formulary list   | All   | 100%    |
|     |       |                                | <u> </u>         |                        |                    | Value  | Description  |   | <u></u> |
|     |       |                                |                  |                        |                    | 1  | Yes  |   |         |

| Col | Elmt  | Data Element<br>Name                        | Date<br>Modified | Туре                             | Format /<br>Length | Description                                    | Element Submission Guideline   | Condition | %    |
|-----|-------|---|------------------|----------------------------------|--------------------|--|--|-----------|------|
|     |       |   |                  |                                  |                    | 2  | No   |           |      |
|     |       |   |                  |                                  |                    | 3  | Unknown  |           |      |
|     |       |   |                  |                                  |                    | 4  | Other  |           |      |
|     |       | T   |                  | T                                |                    | 5  | Not Applicable   |           |      |
| 76  | PC074 | Route of<br>Administration                  | 7/2/2013         | External Codes Source -<br>NCPDP | char[2]            | Route of Administration                        | Report the pharmaceutical Route of Administration that defines the method of drug administration. <b>EXAMPLE:</b> 11 = Oral  | All       | 100% |
| 77  | PC075 | Drug Unit of<br>Measure                     | 4/1/2013         | External Codes Source - NCPDP    | char[2]            | Units of Measure                               | Report the code that defines the unit of measure for drug dispensed. <b>EXAMPLE:</b> EA = Each   | All       | 80%  |
| 78  | PC101 | Subscriber Last<br>Name                     | 4/1/2013         | Text                             | varchar[60]        | Last name of Subscriber                        | Report the last name of the subscriber. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE</b> : O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE           | All       | 100% |
| 79  | PC102 | Subscriber First<br>Name                    | 4/1/2013         | Text                             | varchar[25]        | First name of Subscriber                       | Report the first name of the subscriber here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All       | 100% |
| 80  | PC103 | Subscriber Middle<br>Initial                | 4/1/2013         | Text                             | char[1]            | Middle initial of Subscriber                   | Report the Subscriber's middle initial here. Used to validate Unique Member ID.  | All       | 2%   |
| 81  | PC104 | Member Last Name                            | 4/1/2013         | Text                             | varchar[60]        | Last name of Member/Patient                    | Report the last name of the patient / member here. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE | All       | 100% |
| 82  | PC105 | Member First Name                           | 4/1/2013         | Text                             | varchar[25]        | First name of Member/Patient                   | Report the first name of the patient / member here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE</b> : Anne-Marie becomes ANNEMARIE   | All       | 100% |
| 83  | PC106 | Member Middle<br>Initial                    | 4/1/2013         | Text                             | char[1]            | Middle initial of the<br>Member/Patient        | Report the middle initial of the patient / member when available. Used to validate Unique Member ID.   | All       | 2%   |
| 84  | PC107 | Carrier Specific<br>Unique Member ID        | 4/1/2013         | Text                             | varchar[50]        | Member's Unique ID                             | Report the identifier the carrier / submitter uses internally to uniquely identify the member. Used to validate Unique Member ID and link back to Member Eligibility (ME107)   | All       | 100% |
| 85  | PC108 | Carrier Specific<br>Unique Subscriber<br>ID | 4/1/2013         | Text                             | varchar[50]        | Subscriber's Unique ID                         | Report the identifier the carrier / submitter uses internally to uniquely identify the subscriber. Used to validate Unique Member ID and link back to Member Eligibility (ME117)   | All       | 100% |
| 86  | PC109 | Member Street<br>Address 2                  | 4/1/2013         | Text                             | varchar[50]        | Secondary Street Address of the Member/Patient | Report the address of member which may include apartment number or suite, or other secondary information besides the street. Used to validate Unique Member ID.  | All       | 2%   |

| Col | Elmt  | Data Element<br>Name   | Date<br>Modified | Туре                        | Format /<br>Length | Description                                      | Element Submission Guideline   | Condition                        | %    |
|-----|-------|------------------------|------------------|-----------------------------|--------------------|--|--|----------------------------------|------|
| 87  | PC110 | Claim Line Type        | 4/1/2013         | Lookup Table - Text         | char[1]            | Claim Line Activity Type Code                    | Report the code that defines the claim line status in terms of adjudication. <b>EXAMPLE:</b> O = Original  | All                              | 98%  |
| •   |       | •                      |                  | •                           | •                  | Code   | Description  |                                  | 5    |
|     |       |                        |                  |                             |                    | 0  | Original   |                                  |      |
|     |       |                        |                  |                             |                    | V  | Void   |                                  |      |
|     |       |                        |                  |                             |                    | R  | Replacement  |                                  |      |
|     |       |                        |                  |                             |                    | В  | Back Out   |                                  |      |
|     |       |                        |                  |                             |                    | Α  | Amendment  |                                  |      |
| 88  | PC111 | Former Claim<br>Number | 4/1/2013         | Text                        | varchar[35]        | Previous Claim Number                            | Report the Claim Control Number (PC004) that was originally sent in a prior filing that this line corresponds to. When reported, this data cannot equal its own PC004. Use of "Former Claim Number" to version claims can <b>only</b> be used if approved by the APCD. Contact the APCD for conditions of use. | All                              | 0%   |
| 89  | PC112 | Medicare Indicator     | 7/2/2013         | Lookup Table - Integer      | int[1]             | Indicator - Medicare Payment<br>Applied          | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Medicare paid for part or all of services  | All                              | 100% |
|     |       |                        |                  |                             |                    | Value  | Description  |                                  |      |
|     |       |                        |                  |                             |                    | 1  | Yes  |                                  |      |
|     |       |                        |                  |                             |                    | 2  | No   |                                  |      |
|     |       |                        |                  |                             |                    | 3  | Unknown  |                                  |      |
|     |       |                        |                  |                             |                    | 4  | Other  |                                  |      |
|     |       |                        |                  |                             |                    | 5  | Not Applicable   |                                  |      |
| 90  | PC113 | Pregnancy Indicator    | 7/2/2013         | Lookup Table - Integer      | int[1]             | Indicator - Pregnancy                            | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, the patient is pregnant.   | All                              | 100% |
|     |       |                        |                  |                             |                    | Value  | Description  |                                  |      |
|     |       |                        |                  |                             |                    | 1  | Yes  |                                  |      |
|     |       |                        |                  |                             |                    | 2  | No   |                                  |      |
|     |       |                        |                  |                             |                    | 3  | Unknown  |                                  |      |
|     |       |                        |                  |                             |                    | 4  | Other  |                                  |      |
|     |       |                        |                  |                             |                    | 5  | Not Applicable   |                                  |      |
| 91  | PC114 | Diagnosis Code         | 7/2/2013         | External Codes Source - ICD | varchar[7]         | ICD Diagnosis Code                               | Report the ICD Diagnosis Code when applicable  | All                              | 1%   |
| 92  | PC115 | ICD Indicator          | 7/2/2013         | Lookup Table - Integer      | int[1]             | International Classification of Diseases version | Report the value that defines whether the diagnoses on claim are ICD9 or ICD10. <b>EXAMPLE:</b> 9 = ICD9   | Required when PC114 is populated | 100% |
|     | _     |                        |                  |                             |                    | Value  | Description  |                                  |      |
|     |       |                        |                  |                             |                    | 9  | ICD-9  |                                  |      |
|     |       |                        |                  |                             |                    | 0  | ICD-10   |                                  |      |
| 93  | PC116 | Denied Flag            | 7/2/2013         | Lookup Table - Integer      | int[1]             | Indicator - Denied Claim Line                    | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Claim Line is denied   | Required when PC025 = 4          | 100% |
|     |       |                        |                  |                             |                    | Value  | Description  |                                  |      |
|     |       |                        |                  |                             |                    | 1  | Yes  |                                  |      |
|     |       |                        |                  |                             |                    | 2  | No   |                                  |      |
|     |       |                        |                  |                             |                    | 3  | Unknown  |                                  |      |
|     |       |                        |                  |                             |                    |  | Other  |                                  |      |
|     |       |                        |                  |                             |                    | 5  | Not Applicable   |                                  |      |

| Col   | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре  | Format /<br>Length | Description                                      | Element Submission Guideline   | Condition               | %    |
|-------|-------|-----------------------------|------------------|---|--------------------|--|--|-------------------------|------|
| 94    | PC117 | Denial Reason               | 7/2/2013         | External Code Source -<br>HIPAA - <b>OR</b> - Carrier<br>Lookup Table | varchar[30]        | Denial Reason Code                               | Report the Denial Reasons and/or Code that defines the reason for denial of the claim line. Carrier must submit denial reason codes in a separate table to Access Health CT.                 | Required when PC116 = 1 | 100% |
| 95    | PC118 | Payment<br>Arrangement Type | 7/2/2013         | Lookup Table - Integer  | int[1]             | Payment Arrangement Type Value                   | Report the value that defines the contracted payment methodology for this claim line. <b>EXAMPLE:</b> 2 = Fee for Service  | All                     | 98%  |
|       |       | •                           | !                | •   |                    | Value  | Description  |                         |      |
|       |       |                             |                  |   |                    | 1  | Capitation   |                         |      |
|       |       |                             |                  |   |                    | 2  | Fee for Service  |                         |      |
|       |       |                             |                  |   |                    | 3  | Percent of Charges   |                         |      |
|       |       |                             |                  |   |                    | 4  | DRG  |                         |      |
|       |       |                             |                  |   |                    | 5  | Pay for Performance  |                         |      |
|       |       |                             |                  |   |                    | 6  | Global Payment   |                         |      |
|       |       |                             |                  |   |                    | 7  | Other  |                         |      |
|       |       |                             |                  |   |                    | 8  | Bundled Payment  |                         |      |
| 96    | PC119 | Filler                      | 7/2/2013         | Filler  | char[0]            | Filler   | Access Health CT reserves this field for future use. Do not populate with any data   | All                     | 0%   |
|       |       |                             |                  |   |                    |  | Report the value that describes the member's / subscriber's enrollment   |                         |      |
| 97    | PC120 | APCD ID Code                | 4/1/2013         | Lookup Table - Integer  | int[1]             | Member Enrollment Type                           | into one of the predefined categories; aligns enrollment to appropriate editing and thresholds. <b>EXAMPLE:</b> 1 = FIG - Fully Insured Commercial   | All                     | 100% |
|       |       |                             |                  |   |                    | Volum  | Group Enrollee.  |                         |      |
|       |       |                             |                  |   |                    | Value  | Description  |                         |      |
|       |       |                             |                  |   |                    | 1 2  | FIG - Fully-Insured Commercial Group Enrollee  |                         |      |
|       |       |                             |                  |   |                    | 3  | SIG - Self-Insured Group Enrollee  |                         |      |
|       |       |                             |                  |   |                    |  | State or Federal Employer Enrollee   |                         |      |
|       |       |                             |                  |   |                    | 4  | Individual - Non-Group Enrollee  |                         |      |
|       |       |                             |                  |   |                    | 5  | Supplemental Policy Enrollee   |                         |      |
|       |       |                             |                  |   |                    | 6  | ICO - Integrated Care Organization   |                         |      |
|       |       |                             | Ι                |   |                    | 0  | Unknown / Not Applicable  Report <b>PC</b> here. This validates the type of file and the data contained  |                         |      |
| 98    | PC899 | Record Type                 | 4/1/2013         | Text  | char[2]            | File Type Identifier                             | within the file. This must match HD004   | All                     | 100% |
|       |       | Record                      |                  |   |                    | Trailer Record                                   | Report TR here. Indicates the end of   |                         |      |
| TR-PC | TR001 | Туре                        | 10/7/2013        | Text  | char[2]            | Identifier                                       | the data file.   | Mandatory               | 100% |
| TR-PC | TR002 | Submitter                   | 10/7/2013        | Integer   | varchar[6]         | Trailer Submitter / Carrier ID defined by AHCT   | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. This must match the Submitter ID reported in HD002.  | Mandatory               | 100% |
| TR-PC | TR003 | National<br>Plan ID         | 10/7/2013        | Integer   | int[10]            | CMS National Plan Identification Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans. | Situational             | 0%   |
| TR-PC | TR004 | Type of<br>File             | 10/7/2013        | Text  | char[2]            | Validates the file<br>type defined in<br>HD004.  | Report <b>PC</b> here. This must match the File Type reported in HD004.  | Mandatory               | 100% |

| Col   | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре                | Format /<br>Length | Description               | Element Submission Guideline  | Condition | %    |
|-------|-------|-----------------------------|------------------|---------------------|--------------------|---------------------------|---|-----------|------|
| TR-PC | TR005 | Period<br>Beginning<br>Date | 10/7/2013        | Full Date - Integer | int[8]             | Trailer Period Start Date | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and HD006.            | Mandatory | 100% |
| TR-PC | TR006 | Period<br>Ending<br>Date    | 10/7/2013        | Full Date - Integer | int[8]             | Finding Date              | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in TR005 and HD005 and HD006. | Mandatory | 100% |
| TR-PC | 1R007 | Date<br>Processed           | 10/7/2013        | Full Date - Integer | int[8]             | Trailer Processed Date    | Report the full date that the submission was compiled by the submitter in YYYYMMDD Format.  | Mandatory | 100% |

# Dental Claims Data Contents Guide 12/5/2013

| Col           | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре                | Format /<br>Length | Description  | Element Submission Guideline  | Condition   | %    | PACDR 837I Map |
|---------------|-------|-----------------------------|------------------|---------------------|--------------------|--|---|-------------|------|----------------|
| HD<br>-<br>DC | HD001 | Record<br>Type              | 10/7/2013        | Text                | char[2]            | Header Record<br>Identifier                                      | Report HD here. Indicates the beginning of the Header Elements of the file.   | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD002 | Submitter                   | 10/7/2013        | Integer             | varchar[6]         | Header Submitter<br>/ Carrier ID<br>defined by AHCT              | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. TR002 must match the Submitter ID reported here. This ID is linked to other elements in the file for quality control.                 | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD003 | National<br>Plan ID         | 10/7/2013        | Integer             | int[10]            | Header CMS<br>National Plan<br>Identification<br>Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   | n/a            |
| HD<br>-<br>DC | HD004 | Type of<br>File             | 10/7/2013        | Text                | char[2]            | Defines the file<br>type and data<br>expected.                   | Report <b>DC</b> here. Indicates that the data within this file is expected to be MEDICAL CLAIM-based. This must match the File Type reported in TR004.   | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD005 | Period<br>Beginning<br>Date | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Start Date                                      | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must be repeated in HD006, TR005 and TR006. This same date must be selected in the upload application for successful transfer. | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD006 | Period<br>Ending<br>Date    | 10/7/2013        | Full Date - Integer | int[8]             | Header Period<br>Ending Date                                     | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and be repeated in TR005 and TR006.  | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD007 | Record<br>Count             | 10/7/2013        | Integer             | varchar[10]        | Header Record<br>Count   | Report the total number of records submitted within this file. Do not report leading zeros, space fill, decimals, or any special characters.  | Mandatory   | 100% | n/a            |
| HD<br>-<br>DC | HD008 | Comments                    | 10/7/2013        | Text                | varchar[80]        | Header Carrier<br>Comments                                       | May be used to document the submission by assigning a filename, system source, compile identifier, etc.   | Optional    | 0%   | n/a            |

| Col           | Elmt   | Data Element<br>Name             | Date<br>Modified | Туре                   | Format /<br>Length | Description   | Element Submission Guideline   | Condition | %    | PACDR 837I Map           |
|---------------|--------|----------------------------------|------------------|------------------------|--------------------|---|--|-----------|------|--------------------------|
| HD<br>-<br>DC | HD009  | APCD<br>Version<br>Number        | 10/7/2013        | Decimal -<br>Numeric   | char[3]            | Submission Guide<br>Version                         | Report the version number as presented on the APCD Medical Claim File Submission Guide in 0.0 Format. Sets the intake control for editing elements. Version must be accurate else file will drop.  EXAMPLE: 3.0 = Newest Version | Mandatory | 100% | n/a                      |
|               |        |                                  |                  | •                      | •                  | Code  | Description  |           | •    |                          |
|               |        |                                  |                  |                        |                    | 1.2   | Current Version; required for reporting periods as of October 2013   |           |      |                          |
| 1             | DC001  | Submitter                        | 7/2/2013         | Integer                | varchar[6]         | CT APCD defined and maintained unique identifier    | Report the Unique Submitter ID as defined by CT APCD here. This must match the Submitter ID reported in HD002  | All       | 100% | Loop 1000A Segment NM109 |
| 2             | DC002  | National Plan ID                 | 7/2/2013         | Text                   | int[10]            | CMS National Plan<br>Identification Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                     | All       | 0%   | n/a                      |
| 3             | 10(003 | Insurance Type<br>Code / Product | 7/2/2013         | Lookup Table -<br>Text | char[2]            | Type / Product Identification<br>Code               | Report the code that defines the type of insurance under which this patient's claim line was processed. <b>EXAMPLE:</b> 17 = Dental Maintenance Organization   | All       | 100% | n/a                      |

| Code | Description  |  |  |  |  |
|------|--|--|--|--|--|
| 9    | Self-pay Self-pay  |  |  |  |  |
| 11   | Other Non-Federal Programs * (use of this value requires disclosure to Data Manager prior to submission) |  |  |  |  |
| 12   | Preferred Provider Organization (PPO) *  |  |  |  |  |
| 13   | Point of Service (POS) *   |  |  |  |  |
| 14   | Exclusive Provider Organization (EPO) *  |  |  |  |  |
| 15   | Indemnity Insurance *  |  |  |  |  |
| 16   | Health Maintenance Organization (HMO) Medicare Risk *  |  |  |  |  |
| 17   | Dental Maintenance Organization (DMO) *  |  |  |  |  |
| 96   | Husky Health A   |  |  |  |  |
| 97   | Husky Health B   |  |  |  |  |
| 98   | Husky Health C   |  |  |  |  |
| 99   | Husky Health D   |  |  |  |  |
| AM   | Automobile Medical *   |  |  |  |  |
| СН   | Champus (now TRICARE) *  |  |  |  |  |
| CI   | Commercial Insurance   |  |  |  |  |
| DS   | Disability *   |  |  |  |  |
| НМ   | Health Maintenance Organization *  |  |  |  |  |
| LM   | Liability Medical *  |  |  |  |  |
| MA   | Medicare Part A *  |  |  |  |  |
| MB   | Medicare Part B *  |  |  |  |  |
| MC   | Medicaid *   |  |  |  |  |
| OF   | Other Federal Program * (use of this value requires disclosure   |  |  |  |  |
| UF . | to Data Manager prior to submission)   |  |  |  |  |

| Col | Elmt   | Data Element<br>Name                | Date<br>Modified | Туре                   | Format /<br>Length | Description                                  | Element Submission Guideline   | Condition | %    | PACDR 837I Map   |
|-----|--------|-------------------------------------|------------------|------------------------|--------------------|--|--|-----------|------|--|
|     |        |                                     |                  |                        |                    | TV   | Title V *  |           |      |  |
|     |        |                                     |                  |                        |                    | VA   | Veterans Affairs Plan *  |           |      |  |
|     |        |                                     |                  |                        |                    | WC   | Workers' Compensation *  |           |      |  |
|     |        |                                     |                  |                        |                    | ZZ   | Mutually Defined * (use of this value requires disclosure to Data Manager prior to submission)   |           |      |  |
| 4   | DC004  | Payer Claim Control<br>Number       | 7/2/2013         | Text                   | varchar[35]        | Payer Claim Control Identification           | Report the Unique identifier within the payer's system that applies to the entire claim.   | All       | 100% | Loop 2300 Segment CLM01  |
| 5   | DC005  | Line Counter                        | 7/2/2013         | Integer                | varchar[4]         | Incremental Line Counter                     | Report the line number for this service within the claim. Start with 1 and increment by 1 for each additional line. Do not start with 0, include alphas or special characters.   | All       | 100% | Loop 2400 Segment LX01   |
| 6   | DC005A | Version Number                      | 7/2/2013         | Integer                | varchar[4]         | Claim Service Line Version<br>Number         | Report the version number of this claim service line. The version number begins with 0 and is incremented by 1 for each subsequent version of that service line. No alpha or special characters.   | All       | 100% | n/a  |
| 7   | DC006  | Insured Group or<br>Policy Number   | 7/2/2013         | Text                   | varchar[30]        | Group / Policy Number                        | Report the number that defines the insured group or policy. Do not report the number that uniquely identifies the subscriber or member.  | All       | 98%  | n/a  |
| 8   | DC007  | Subscriber SSN                      | 7/2/2013         | Numeric                | char[9]            | Subscriber's Social Security<br>Number       | Report the Subscriber's SSN here; used to validate Unique<br>Member ID; will not be passed into analytic file. Do not use<br>hyphen. If not available do not report any value here.  | All       | 75%  | Loop 2010BA Segment REF02 where REF01 - SY   |
| 9   | DC008  | Plan Specific<br>Contract Number    | 7/2/2013         | Text                   | varchar[30]        | Contract Number                              | Report the Plan assigned contract number. Do not include values in this element that will distinguish one member of the family from another. This should be the contract or certificate number for the subscriber and all of the dependents. | All       | 98%  | Loop 2300 Segment CN104  |
| 10  | DC009  | Member Suffix or<br>Sequence Number | 7/2/2013         | Text                   | varchar[20]        | Member/Patient's Contract<br>Sequence Number | Report the unique number / identifier of the member / patient within the contract  | All       | 98%  | n/a  |
| 11  | DC010  | Member SSN                          | 7/2/2013         | Numeric                | char[9]            | Member/Patient's Social<br>Security Number   | Report the patient's social security number here; used to validate Unique Member ID; will not be passed into analytic file. Do not use hyphen. If not available do not report any value here   | All       | 75%  | Loop 2010BA Segment REF02 where REF01 = SY<br>when Segment SBR02 = 18 - ELSE - Loop 2010CA<br>Segment REF02 where REF01 = SY |
| 12  | DC011  | Individual<br>Relationship Code     | 10/30/2013       | Lookup Table -<br>Text | varchar[2]         | Patient to Subscriber<br>Relationship Code   | Report the value that defines the Patient's relationship to the Subscriber. <b>EXAMPLE:</b> 1 = Spouse   | All       | 98%  | When present Loop 2000B SBR02 = 18 - ELSE -<br>Loop 2000C Segment PAT01  |
|     |        |                                     |                  |                        | •                  | Code   | Description  |           |      |  |
|     |        |                                     |                  |                        |                    | 1  | Spouse   |           |      |  |
|     |        |                                     |                  |                        |                    |  |  |           |      |  |

Foster Child
Other Adult
Ward
Stepson or Stepdaughter
Child

Grandfather or Grandmother

Grandson or Granddaughter

Nephew or Niece

| Col | Elmt  | Data Element<br>Name               | Date<br>Modified | Туре                           | Format /<br>Length | Description                               | Element Submission Guideline   | Condition | %    | PACDR 837I Map  |
|-----|-------|------------------------------------|------------------|--------------------------------|--------------------|---|--|-----------|------|---|
|     |       |                                    |                  |                                |                    | 20  | Self / Employee  |           |      |   |
|     |       |                                    |                  |                                |                    | 21  | Unknown  |           |      |   |
|     |       |                                    |                  |                                |                    | 22  | Handicapped Dependent  |           |      |   |
|     |       |                                    |                  |                                |                    | 23  | Sponsored Dependent  |           |      |   |
|     |       |                                    |                  |                                |                    | 24  | Dependent of a Minor Dependent   |           |      |   |
|     |       |                                    |                  |                                |                    | 29  | Significant Other  |           |      |   |
|     |       |                                    |                  |                                |                    | 32  | Mother   |           |      |   |
|     |       |                                    |                  |                                |                    | 33  | Father   |           |      |   |
|     |       |                                    |                  |                                |                    | 34  | Other Adult  |           |      |   |
|     |       |                                    |                  |                                |                    | 36  | Emancipated Minor  |           |      |   |
|     |       |                                    |                  |                                |                    | 39  | Organ Donor  |           |      |   |
|     |       |                                    |                  |                                |                    | 40  | Cadaver Donor  |           |      |   |
|     |       |                                    |                  |                                |                    | 41  | Injured Plaintiff  |           |      |   |
|     |       |                                    |                  |                                |                    | 43  | Child Where Insured Has No Financial Responsibility  |           |      |   |
|     |       |                                    |                  |                                |                    | 53  | Life Partner   |           |      |   |
|     |       |                                    |                  |                                |                    | 76  | Dependent  |           |      |   |
| 13  | DC012 | Member Gender                      | 7/2/2013         | Lookup Table -<br>Text         | char[1]            | Patient's Gender                          | Report patient gender as found on the claim in alpha format. Used to validate clinical services when applicable and Unique Member ID. <b>EXAMPLE</b> : F = Female  | All       | 100% | Loop 2010BA Segment DMG03 when Loop<br>2000B Segment SBR02 = 18 - ELSE - Loop<br>2010CA Segment DMG03 |
|     |       |                                    | •                | •                              | •                  | Code                                      | Description  |           | •    |   |
|     |       |                                    |                  |                                |                    | F   | Female   |           |      |   |
|     |       |                                    |                  |                                |                    | M   | Male   |           |      |   |
|     |       |                                    |                  |                                |                    | 0   | Other  |           |      |   |
|     |       |                                    |                  |                                |                    | U   | Unknown  |           |      |   |
| 14  | DC013 | Member Date of<br>Birth            | 7/2/2013         | Full Date - Integer            | int[8]             | Member/Patient's date of birth            | Report the date the member / patient was born in YYYYMMDD Format. Used to validate Unique Member ID.   | All       | 99%  | Loop 2010BA Segment DMG02 when Loop<br>2000B Segment SBR02 = 18 - ELSE - Loop<br>2010CA Segment DMG02 |
| 15  | DC014 | Member City Name                   | 7/2/2013         | Text                           | varchar[50]        | City name of the<br>Member/Patient        | Report the city name of the member / patient. Used to validate Unique Member ID  | All       | 99%  | Segment N401  |
| 16  | DC015 | Member State                       | 7/2/2013         | External Code<br>Source - USPS | char[2]            | State / Province of the Patient           | Report the state of the patient as defined by the US Postal<br>Service. Report Province when Country Code does not = USA   | All       | 100% | Loop 2010BA Segment N402 when Loop 2000B<br>Segment SBR02 = 18 - ELSE - Loop 2010CA<br>Segment N402   |
| 17  | DC016 | Member ZIP Code                    | 7/2/2013         | External Code<br>Source - USPS | varchar[9]         | Zip Code of the Member /<br>Patient       | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.   | All       | 100% | Loop 2010BA Segment N403 when Loop 2000B<br>Segment SBR02 = 18 - ELSE - Loop 2010CA<br>Segment N403   |
| 18  | DC017 | Date Service<br>Approved (AP Date) | 7/2/2013         | Full Date - Integer            | int[8]             | Date Service Approved by<br>Payer         | Report the date that the payer approved this claim line for payment in YYYYMMDD Format. This element was designed to capture date other than the Paid date. If Approved Date and Paid Date are the same, then the date here should match Paid Date.          | All       | 100% | n/a   |
| 19  | DC018 | Service Provider<br>Number         | 7/2/2013         | Text                           | varchar[30]        | Service Provider Identification<br>Number | Report the carrier / submitter assigned service provider number. This number should be the identifier used for internal identification purposes, and does not routinely change. The value in this element must match a record in the provider file in PV002. | All       | 100% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment REF02 where REF01 = G2           |

| Col | Elmt     | Data Element<br>Name                                  | Date<br>Modified | Туре                            | Format /<br>Length | Description   | Element Submission Guideline  | Condition | %   | PACDR 837I Map   |
|-----|----------|---|------------------|---------------------------------|--------------------|---|---|-----------|-----|--|
| 20  | DC019    | Service Provider Tax<br>ID Number                     | 7/2/2013         | Numeric                         | char[9]            | Service Provider's Tax ID number  | Report the Federal Tax ID of the Service Provider here. Do not use hyphen or alpha prefix.  | All       | 99% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment REF02 where REF01 = EI<br>or SY       |
| 21  | DC020    | National Provider ID<br>- Service                     | 7/2/2013         | External Code<br>Source - NPPES | int[10]            | National Provider Identification<br>(NPI) of the Service Provider         | Report the Primary National Provider ID (NPI) here. This ID should be found on the Provider File in the NPI element (PV039)   | All       | 99% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment NM109                                 |
| 22  | DC021    | Service Provider<br>Entity Type Qualifier             | 7/2/2013         | Lookup Table -<br>integer       | int[1]             | Service Provider Entity<br>Identifier Code                                | Report the value that defines the provider entity type. Only individuals should be identified with a 1. Facilities, professional groups and clinic sites should all be identified with a 2. <b>EXAMPLE:</b> 1 = Person  | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment NM102 - sets this value<br>= 2 always |
| ٠   |          |   |                  | •                               | •                  | Value   | Description   |           | •   |  |
|     |          |   |                  |                                 |                    | 1   | Person  |           |     |  |
|     |          | T   |                  |                                 | 1                  | 2   | Non-person entity   |           | 1   | T  |
| 23  | DC022    | Service Provider<br>First Name                        | 7/2/2013         | Text                            | varchar[25]        | First name of Service Provider  | Report the individual's first name here. If provider is a facility or organization , do not report any value here   | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment NM104 when present                    |
| 24  | DC023    | Service Provider<br>Middle Name                       | 7/2/2013         | Text                            | varchar[25]        | Middle initial of Service<br>Provider                                     | Report the individual's middle name here. If provider is a facility or organization , do not report any value here  | All       | 2%  | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment NM105 when present                    |
| 25  |          | Service Provider Last<br>Name or<br>Organization Name | 7/2/2013         | Text                            | varchar[60]        | Last name or Organization<br>Name of Service Provider                     | Report the name of the organization or last name of the individual provider. DC021 determines if this is an Organization or Individual Name reported here.  | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment NM103                                 |
| 26  | DC025    | Carve Out Vendor<br>CT APCD ID                        | 7/2/2013         | Integer                         | varchar[6]         | CT APCD defined and<br>maintained Org ID for linking<br>across submitters | Report the CT APCD ID of the Carve Out Vendor here. This element contains the CT APCD assigned organization ID for the Vendor. Contact the CT APCD for the appropriate value. If no Vendor is affiliated with this claim line do not report any value here: i.e., do not repeat the CT APCD ID from MC001 | All       | 98% | n/a  |
| 27  | DC026    | Service Provider<br>Taxonomy                          | 7/2/2013         | External Code<br>Source - WPC   | varchar[10]        | Taxonomy Code   | Report the standard code that defines this provider for this line of service. Taxonomy values allow for the reporting of hygienists, assistants and laboratory technicians, where applicable, as well as Dentists, Orthodontists, etc.  | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2000A Segment PRV03                                  |
| 28  | DC027    | Service Provider City<br>Name                         | 7/2/2013         | Text                            | varchar[30]        | City name of the Provider   | Report the Providers practice city location   | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment N401                                  |
| 29  | DC028    | Service Provider<br>State                             | 7/2/2013         | External Code<br>Source - USPS  | char[2]            | State of the Service Provider   | Report the state of the service providers as defined by the US Postal Service   | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment N402                                  |
| 30  | DC029    | Service Provider ZIP<br>Code                          | 7/2/2013         | External Code<br>Source - USPS  | varchar[9]         | Zip Code of the Service<br>Provider                                       | Report the 5 or 9 digit Zip Code as defined by the US Postal Service. When submitting the 9-digit Zip Code do not include hyphen.   | All       | 98% | Assuming Service Provider = Billing Provider:<br>Loop 2010AA Segment N403                                  |
| 31  | 1)(()3() | Facility Type -<br>Professional                       | 7/2/2013         | External Code<br>Source - CMS   | char[2]            | Place of Service Code   | Report the code the defines the location code where services were performed by the provider referenced on the claim   | All       | 80% | Loop 2300 CLM05-01 where CLM05-02 = B  |
| 32  | DC031    | Claim Status  | 10/7/2013        | Lookup Table -<br>integer       | varchar[2]         | Claim Line Status   | Report the value that defines the payment status of this claim line   | All       | 98% | n/a  |
|     |          |   |                  |                                 |                    | Value   | Description   |           |     |  |

| Col | Elmt  | Data Element              | Date     | Туре                          | Format /     | Description                                   | Element Submission Guideline   | Condition | %   | PACDR 837I Map   |
|-----|-------|---------------------------|----------|-------------------------------|--------------|---|--|-----------|-----|--|
|     |       | Name                      | Modified |                               | Length       | 1   | Processed as primary   |           |     |  |
|     |       |                           |          |                               |              | 2   | Processed as secondary   |           |     |  |
|     |       |                           |          |                               |              | 3   | Processed as secondary  Processed as tertiary  |           |     |  |
|     |       |                           |          |                               |              | 4   | Denied Denied  |           |     |  |
|     |       |                           |          |                               |              | 19  | Processed as primary, forwarded to additional payer(s)   |           |     |  |
|     |       |                           |          |                               |              | 20  | Processed as secondary, forwarded to additional payer(s)   |           |     |  |
|     |       |                           |          |                               |              | 21  | Processed as tertiary, forwarded to additional payer(s)  |           |     |  |
|     |       |                           |          |                               |              | 22  | Reversal of previous payment   |           |     |  |
|     |       |                           |          |                               |              | 23  | Not our claim, forwarded to additional payer(s)  |           |     |  |
|     |       |                           |          |                               |              | 25  | Predetermination Pricing Only - no payment   |           |     |  |
| 33  | DC032 | CDT Code                  | 7/2/2013 | External Code<br>Source - ADA | char[5]      | HCPCS / CDT Code                              | Report the Common Dental Terminology code here   | All       | 99% | As Sent by Provider - Loop 2400 Segment SV301-<br>02 -OR- As Adjudicated - Loop 2430 Segment<br>SVD03-02   |
| 34  | DC033 | Procedure Modifier -<br>1 | 7/2/2013 | External Code<br>Source - AMA | char[2]      | HCPCS / CPT Code Modifier                     | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (DC032).  | All       | 0%  | As Sent by Provider - Loop 2400 Segment SV301-<br>03 - OR- As Adjudicated - Loop 2430 Segment<br>SVD03-03  |
| 35  | DC034 | Procedure Modifier -<br>2 | 7/2/2013 | External Code<br>Source - AMA | char[2]      | HCPCS / CPT Code Modifier                     | Report a valid Procedure modifier when a modifier clarifies / improves the reporting accuracy of the associated procedure code (DC032).  | All       | 0%  | As Sent by Provider - Loop 2400 Segment SV301-<br>04 - OR- As Adjudicated - Loop 2430 Segment<br>SVC03-04  |
| 36  | DC035 | Date of Service -<br>From | 7/2/2013 | Full Date - Integer           | int[8]       | Date of Service                               | Report the date of service for this claim line in YYYYMMDD Format.   | All       | 99% | Loop 2300 Segment DTP03 when DTP02 = D8 where DTP01 = 472; Else first eight digits of Loop 2300 Segment DTP03 when DTP02 = RD8 where DTP01 = 4 72 - OR - Loop 2400 Segment DTP03 when DTP02 = D8 where DTP01 = 472 |
| 37  | DC036 | Date of Service - To      | 7/2/2013 | Full Date - Integer           | int[8]       | Date of Service                               | Report the end service date for the claim line in YYYYMMDD Format; it can equal DC035 when a single date of service is being reported.   | All       | 0%  | Loop 2300 Segment DTP03 when DTP02 = D8 where DTP01 = 472; Else last eight digits of Loop 2300 Segment DTP03 when DTP02 = RD8 where DTP01 = 472 - OR - Loop 2400 Segment DTP03 when DTP02 = D8 where DTP01 = 472   |
| 38  | DC037 | Charge Amount             | 7/2/2013 | Integer                       | ±varchar[10] | Amount of provider charges for the claim line | Report the amount the provider billed the insurance carrier for this claim line service. Report 0 for services rendered in conjunction with other services on the claim. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | All       | 99% | Loop 2400 Segment SV302  |
| 39  | DC038 | Paid Amount               | 7/2/2013 | Integer                       | ±varchar[10] | Amount paid by the carrier for the claim line | Report the amount paid for the claim line. Report 0 if line is paid as part of another procedure / claim line. Do not report any value if the line is denied. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070            | All       | 99% | Loop 2430 Segment SVD02  |

| Col | Elmt  | Data Element<br>Name              | Date<br>Modified | Туре                          | Format /<br>Length | Description   | Element Submission Guideline  | Condition   | %    | PACDR 837I Map   |
|-----|-------|-----------------------------------|------------------|-------------------------------|--------------------|---|---|---|------|--|
| 40  | DC039 | Copay Amount                      | 7/2/2013         | Integer                       | ±varchar[10]       | Amount of Copay<br>member/patient is responsible<br>to pay                  | Report the amount that defines a preset, fixed amount for this claim line service that the patient is responsible to pay. Report 0 if no Copay applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable.  EXAMPLE: 150.00 is reported as 15000; 150.70 is reported as 15070   | All   | 100% | Loop 2430 Segment CAS03, 06, 09, 12, 15 or 18 where CAS01 = PR and CAS02 = 3             |
| 41  | DC040 | Coinsurance<br>Amount             | 7/2/2013         | Integer                       | ±varchar[10]       | Amount of coinsurance member/patient is responsible to pay                  | Report the amount that defines a calculated percentage amount for this claim line service that the patient is responsible to pay. Report 0 if no Coinsurance applies. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All   | 100% | Loop 2430 Segment CAS03, 06, 09, 12, 15 or 18 where CAS01 = PR and CAS02 = 2             |
| 42  | DC041 | Deductible Amount                 | 7/2/2013         | Integer                       | ±varchar[10]       | Amount of deductible member/patient is responsible to pay on the claim line | Report the amount that defines a preset, fixed amount for this claim line service that the patient is responsible to pay. Report 0 if no Deductible applies to service. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070   | All   | 100% | Loop 2430 Segment CAS03, 06, 09, 12, 15 or 18 where CAS01 = PR and CAS02 = 1             |
| 43  | DC042 | Filler                            | 7/2/2013         | Filler                        | char[0]            | Filler  | Access Health CT reserves this field for future use. Do not populate with any data  | All   | 0%   | n/a  |
| 44  | DC043 | Member Street<br>Address          | 7/2/2013         | Text                          | varchar[50]        | Street address of the<br>Member/Patient                                     | Report the patient / member's address. Used to validate Unique Member ID.   | All   | 90%  | Loop 2010BA Segment N301 when Loop 2000B<br>SBR02 = 18 - ELSE - Loop 2010CA Segment N301 |
| 45  | DC044 | Billing Provider Tax<br>ID Number | 7/2/2013         | Numeric                       | char[9]            | The Billing Provider's Federal<br>Tax Identification Number<br>(FTIN)       | Report the Federal Tax ID of the Billing Provider here. Do not use hyphen or alpha prefix.  | All   | 90%  | Loop 2010AA Segment REF02 when REF01 = EI  |
| 46  | DC045 | Paid Date                         | 7/2/2013         | Integer                       | int[8]             | Paid date of the claim line   | Report the date that appears on the check and/or remit and/or explanation of benefits and corresponds to any and all types of payment in YYYYMMDD Format. This can be the same date as Processed Date. EXAMPLE: Claims paid in full, partial or zero paid.  | Required when DC031<br>= 01, 02, 03, 19, 20, or<br>21 | 100% | Loop 2430 Segment DTP03  |
| 47  | DC046 | Allowed Amount                    | 7/2/2013         | Integer                       | ±varchar[10]       | Allowed Amount  | Report the maximum amount contractually allowed, and that a carrier will pay to a provider for a particular procedure or service. This will vary by provider contract and most often it is less than or equal to the fee charged by the provider. Report 0 when the claim line is denied. Do not code decimal or round up / down to whole dollars, code zero cents (00) when applicable. <b>EXAMPLE:</b> 150.00 is reported as 15000; 150.70 is reported as 15070 | Required when DC031<br>does not = 4, 22, or 23        | 99%  | n/a  |
| 48  | DC047 | Tooth<br>Number/Letter            | 7/2/2013         | External Code<br>Source - ADA | varchar[2]         | Tooth Number or Letter<br>Identification                                    | Report the tooth identifier(s) when DC032 is within the given range. Report one tooth per line when DC032 = D2000 thru D2999  | Required when DC032<br>= D2000 thru D2999             | 100% | Loop 2400 Segment TOO02  |

| Col | Elmt  | Data Element<br>Name                        | Date<br>Modified | Туре                          | Format /<br>Length | Description                             | Element Submission Guideline   | Condition  | %    | PACDR 837I Map  |
|-----|-------|---|------------------|-------------------------------|--------------------|---|--|--|------|---|
| 49  | DC048 | Dental Quadrant                             | 7/2/2013         | External Code<br>Source - ADA | char[10]           | Dental Quadrant                         | Report the standard quadrant identifier from the External Code Source here. Provides further detail on procedure(s).   | Required when DC032<br>indicates procedures<br>of 3 or more<br>consecutive teeth | 100% | Loop 2400 Segment SV304-01, and/or SV304-02 and/or SV304-03 and/or SV304-04 and/or SVC304-05          |
| 50  | DC049 | Tooth Surface                               | 7/2/2013         | External Code<br>Source - ADA | varchar[5]         | Tooth Service Identification            | Report the tooth surface(s) that this service relates to per tooth. Provides further detail on procedure.  | Required when DC047 is populated   | 100% | Loop 2400 Segment TOO03-01 and/or TOO03-<br>02 and/or TOO03-03 and/or TOO03-04 and/or<br>TOO03-05     |
| 51  | DC050 | Subscriber Last<br>Name                     | 7/2/2013         | Text                          | varchar[60]        | Last name of Subscriber                 | Report the last name of the subscriber. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE              | All  | 100% | Loop 2010BA Segment NM103   |
| 52  | DC051 | Subscriber First<br>Name                    | 7/2/2013         | Text                          | varchar[25]        | First name of Subscriber                | Report the first name of the subscriber here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All  | 100% | Loop 2010BA Segment NM104   |
| 53  | DC052 | Subscriber Middle<br>Initial                | 7/2/2013         | Text                          | char[1]            | Middle initial of Subscriber            | Report the Subscriber's middle initial here. Used to validate Unique Member ID.  | All  | 2%   | Loop 2010BA Segment NM105   |
| 54  | DC053 | Member Last Name                            | 7/2/2013         | Text                          | varchar[60]        | Last name of Member/Patient             | Report the last name of the patient / member here. Used to validate Unique Member ID. Last name should exclude all punctuation, including hyphens and apostrophes, and be reported in upper case. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE</b> :  O'Brien becomes OBRIEN; Carlton-Smythe becomes CARLTONSMYTHE | All  | 100% | Loop 2010BA Segment NM103 when Loop<br>2000B Segment SBR02 = 18 - ELSE - Loop<br>2010CA Segment NM103 |
| 55  | DC054 | Member First Name                           | 7/2/2013         | Text                          | varchar[25]        | First name of Member/Patient            | Report the first name of the patient / member here. Used to validate Unique Member ID. Exclude all punctuation, including hyphens and apostrophes. Name should be contracted where punctuation is removed, do not report spaces. <b>EXAMPLE:</b> Anne-Marie becomes ANNEMARIE  | All  | 100% | Loop 2010BA Segment NM104 when Loop<br>2000B Segment SBR02 = 18 - ELSE - Loop<br>2010CA Segment NM104 |
| 56  | DC055 | Member Middle<br>Initial                    | 7/2/2013         | Text                          | char[1]            | Middle initial of the<br>Member/Patient | Report the middle initial of the patient / member when available. Used to validate Unique Member ID.   | All  | 2%   | Loop 2010BA Segment NM105 when Loop<br>2000B Segment SBR02 = 18 - ELSE - Loop<br>2010CA Segment NM105 |
| 57  | DC056 | Carrier Specific<br>Unique Member ID        | 7/2/2013         | Text                          | varchar[50]        | Member's Unique ID                      | Report the identifier the carrier / submitter uses internally to uniquely identify the member. Used to validate Unique Member ID and link back to Member Eligibility (ME107)   | All  | 100% | Loop 2010BA Segment NM109 when Loop<br>2000B SBR02 = 18 - ELSE - Loop 2010CA<br>Segment NM109         |
| 58  |       | Carrier Specific<br>Unique Subscriber<br>ID | 7/2/2013         | Text                          | varchar[50]        | Subscriber's Unique ID                  | Report the identifier the carrier / submitter uses internally to uniquely identify the subscriber. Used to validate Unique Member ID and link back to Member Eligibility (ME117)   | All  | 100% | Loop 2010BA Segment NM109   |

| Col | Elmt  | Data Element<br>Name        | Date<br>Modified | Туре   | Format /<br>Length | Description                                      | Element Submission Guideline   | Condition  | %    | PACDR 837I Map  |
|-----|-------|-----------------------------|------------------|--|--------------------|--|--|--|------|---|
| 59  | DC058 | Member Street<br>Address 2  | 7/2/2013         | Text   | varchar[50]        | Secondary Street Address of the Member/Patient   | Report the address of member which may include apartment number or suite, or other secondary information besides the street. Used to validate Unique Member ID.  | All  | 2%   | Loop 2010BA Segment N302 when Loop 2000B<br>SBR02 = 18 - ELSE - Loop 2010CA Segment N302                                |
| 60  | DC059 | Claim Line Type             | 7/2/2013         | Lookup Table -<br>Text   | char[1]            | Claim Line Activity Type Code                    | Report the code that defines the claim line status in terms of adjudication. <b>EXAMPLE:</b> O = Original  | All  | 98%  | n/a   |
|     |       |                             |                  |  |                    | Code   | Description  |  |      |   |
|     |       |                             |                  |  |                    | 0  | Original   |  |      |   |
|     |       |                             |                  |  |                    | V  | Void   |  |      |   |
|     |       |                             |                  |  |                    | R  | Replacement  |  |      |   |
|     |       |                             |                  |  |                    | В  | Back Out   |  |      |   |
| 1   |       | Γ                           |                  |  |                    | A  | Amendment  |  | ı    | T   |
| 61  | DC060 | Former Claim<br>Number      | 7/2/2013         | Text   | varchar[35]        | Previous Claim Number                            | Report the Claim Control Number (DC004) that was originally sent in a prior filing that this line corresponds to. When reported, this data cannot equal its own DC004. Use of "Former Claim Number" to version claims can <b>only</b> be used if approved by the APCD. Contact the APCD for conditions of use. | All  | 0%   | n/a   |
| 62  | DC061 | Diagnosis Code              | 7/2/2013         | External Code<br>Source - ICD                                    | varchar[7]         | ICD Diagnosis Code                               | Report the ICD Diagnosis Code when applicable  | Required when DC032<br>is within the ranges of<br>D7000-D7999 or<br>D9220 or D9221 | 75%  | Loop 2300 Segment HI01-02   |
| 63  | DC062 | ICD Indicator               | 7/2/2013         | Lookup Table -<br>Integer  | int[1]             | International Classification of Diseases version | Report the value that defines whether the diagnoses on claim are ICD9 or ICD10. <b>EXAMPLE:</b> 9 = ICD9   | Required when DC061 is populated   | 100% | Set value here based upon value in Loop 2300<br>Segment HI01-01 starting with the letter A                              |
|     |       |                             |                  |  |                    | Value  | Description  |  |      |   |
|     |       |                             |                  |  |                    | 9  | ICD-9  |  |      |   |
|     |       |                             | 1                |  |                    | 0  | ICD-10   |  |      |   |
| 64  | DC063 | Denied Flag                 | 7/2/2013         | Lookup Table -<br>Integer  | int[1]             | Denied Claim Line Indicator                      | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, Claim Line was denied.   | Required when DC031 = 04   | 100% | Loop 2430 CAS identification will set APCD value<br>to 1 or 2 - THIS REQUIRES PAYER BY PAYER<br>MAPPING                 |
| •   |       |                             | •                | •  | •                  | Value  | Description  |  |      |   |
|     |       |                             |                  |  |                    | 1  | Yes  |  |      |   |
|     |       |                             |                  |  |                    | 2  | No   |  |      |   |
|     |       |                             |                  |  |                    | 3  | Unknown  |  |      |   |
|     |       |                             |                  |  |                    | 4  | Other  |  |      |   |
|     |       | T                           | T                | T  | •                  | 5  | Not Applicable   |  | 1    |   |
| 65  | DC064 | Denial Reason               | 7/2/2013         | External Code<br>Source - HIPAA -<br>OR- Carrier<br>Lookup Table | varchar[20]        | Denial Reason Code                               | Report the code that defines the reason for denial of the claim line. Carrier must submit denial reason codes in separate table to the APCD.   | Required when DC063<br>= 1   | 100% | Loop 2430 CAS/Carrier Defined Table identification will set APCD value to 1 or 2 - THIS REQUIRES PAYER BY PAYER MAPPING |
| 66  | DC065 | Payment<br>Arrangement Type | 7/2/2013         | Lookup Table -<br>Numeric  | char[2]            | Payment Arrangement Type<br>Value                | Report the value that defines the contracted payment methodology for this claim line. <b>EXAMPLE:</b> 02 = Fee for Service   | All  | 98%  | n/a   |
|     |       |                             | •                |  |                    | Value  | Description  |  |      |   |
|     |       |                             |                  |  |                    | 1  | Capitation   |  |      |   |

| Col | Elmt   | Data Element<br>Name | Date<br>Modified | Туре                | Format /<br>Length | Description            | Element Submission Guideline  | Condition     | %     | PACDR 837I Map               |
|-----|--------|----------------------|------------------|---------------------|--------------------|------------------------|---|---------------|-------|------------------------------|
|     |        |                      |                  |                     |                    | 2                      | Fee for Service   |               |       |                              |
|     |        |                      |                  |                     |                    | 3                      | Percent of Charges  |               |       |                              |
|     |        |                      |                  |                     |                    | 4                      | DRG   |               |       |                              |
|     |        |                      |                  |                     |                    | 5                      | Pay for Performance   |               |       |                              |
|     |        |                      |                  |                     |                    | 6                      | Global Payment  |               |       |                              |
|     |        |                      |                  |                     |                    | 7                      | Other   |               |       |                              |
|     |        |                      |                  |                     |                    | 8                      | Bundled Payment   |               |       |                              |
| 67  | DC066  | Filler               | 7/2/2013         | Filler              | char[0]            | Filler                 | Access Health CT reserves this field for future use. Do not         | All           | 0%    | n/a                          |
|     | DC000  | Tillet               | 7/2/2013         | Tillet              | char[o]            | Tillet                 | populate with any data  | 7.11          | 070   | 11/4                         |
|     |        |                      |                  |                     |                    |                        | Report the value that describes the member's / subscriber's         |               |       |                              |
| 68  | DC067  | APCD ID Code         | 7/2/2013         | Lookup Table -      | int[1]             | Member Enrollment Type | enrollment into one of the predefined categories; aligns            | All           | 100%  | n/a                          |
|     |        |                      |                  | Integer             |                    |                        | enrollment to appropriate editing and thresholds. <b>EXAMPLE:</b> 1 |               |       |                              |
|     |        |                      |                  |                     |                    |                        | = FIG - Fully Insured Commercial Group Enrollee.                    |               |       |                              |
| ,   |        |                      | •                | •                   | •                  | Value                  | Description   |               | •     |                              |
|     |        |                      |                  |                     |                    | 1                      | FIG - Fully-Insured Commercial Group Enrollee                       |               |       |                              |
|     |        |                      |                  |                     |                    | 2                      | SIG - Self-Insured Group Enrollee                                   |               |       |                              |
|     |        |                      |                  |                     |                    | 3                      | State or Federal Employer Enrollee                                  |               |       |                              |
|     |        |                      |                  |                     |                    | 4                      | Individual - Non-Group Enrollee                                     |               |       |                              |
|     |        |                      |                  |                     |                    | 5                      | Supplemental Policy Enrollee  |               |       |                              |
|     |        |                      |                  |                     |                    | 6                      | ICO - Integrated Care Organization                                  |               |       |                              |
|     |        |                      |                  |                     |                    | 0                      | Unknown / Not Applicable  |               |       |                              |
| 69  | DC068  | Bill Frequency Code  | 7/2/2013         | External Code       | char[1]            | Bill Frequency         | Report the valid frequency code of the claim to indicate version,   | All           | 100%  | Loop 2300 Segment CLM05-03   |
| 03  | DC008  | Bill Frequency Code  | 7/2/2013         | Source - NUBC       | Char[1]            | Bill Frequency         | credit/debit activity and/or setting of claim.                      | All           | 100%  | Loop 2300 Segment Clivios-03 |
| 70  | DC899  | Record Type          | 7/2/2013         | Text                | char[2]            | File Type Identifier   | Report <b>DC</b> here. This validates the type of file and the data | All           | 100%  | n/a                          |
| 70  | DC833  | Record Type          | 7/2/2013         | TEXT                | Citat [2]          |                        | contained within the file. This must match HD004                    | All           | 100%  | 11/ 0                        |
| TR- | TR001  | Record               | 10/7/2013        | Text                | char[2]            | Trailer Record         | Report TR here. Indicates the end of                                | Mandatory     | 100%  | n/a                          |
| DC  | 111001 | Туре                 | 10/7/2013        | TEXT                | char[2]            | Identifier             | the data file.  | ivianidator y | 10070 | 11/4                         |
|     |        |                      |                  |                     |                    |                        | Report unique   |               |       |                              |
| TR- |        |                      |                  |                     |                    | Trailer Submitter /    | Submitter ID here. AHCT will provide this unique identifier to      |               |       |                              |
| DC  | TR002  | Submitter            | 10/7/2013        | Integer             | varchar[6]         | Carrier ID defined     | the carrier. This must  | Mandatory     | 100%  | n/a                          |
|     |        |                      |                  |                     |                    | by AHCT                | match the Submitter ID reported in                                  |               |       |                              |
|     |        |                      |                  |                     |                    |                        | HD002.  |               |       |                              |
|     |        |                      |                  |                     |                    |                        | Do not report any value here until                                  |               |       |                              |
|     |        |                      |                  |                     |                    | CMS National           | National PlanID is fully implemented.                               |               |       |                              |
| TR- | TR003  | National             | 10/7/2013        | Integer             | int[10]            | Plan Identification    | This is a unique identifier as outlined                             | Situational   | 0%    | n/a                          |
| DC  |        | Plan ID              | -,.,====         |                     | []                 | Number (PlanID)        | by Centers for Medicare and   |               |       | ,                            |
|     |        |                      |                  |                     |                    |                        | Medicaid Services (CMS) for Plans                                   |               |       |                              |
|     |        |                      |                  |                     |                    |                        | or Sub plans.   |               |       |                              |
| TR- |        | Type of              |                  |                     |                    | Validates the file     | Report <b>DC</b> here. This must match                              |               |       | <u>.</u>                     |
| DC  | TR004  | File                 | 10/7/2013        | Text                | char[2]            | type defined in        | the File Type reported in HD004.                                    | Mandatory     | 100%  | n/a                          |
|     |        |                      |                  |                     |                    | HD004.                 |   |               |       |                              |
|     |        |                      |                  |                     |                    |                        | Report the Year and Month of the                                    |               |       |                              |
| TR- |        | Period               | 10/=/            |                     |                    | Trailer Period         | reported submission period in                                       |               |       | ,                            |
| DC  |        | Beginning            | 10/7/2013        | Full Date - Integer | int[8]             | Start Date             | YYYYMMDD format. This date period                                   | Mandatory     | 100%  | n/a                          |
|     |        | Date                 |                  |                     |                    |                        | must match the date period reported                                 |               |       |                              |
|     |        |                      |                  |                     |                    |                        | in HD005 and HD006.   |               |       |                              |

| Col       | Elmt  | Data Element<br>Name     | Date<br>Modified | Туре                | Format /<br>Length | Description            | Element Submission Guideline  | Condition | %    | PACDR 837I Map |
|-----------|-------|--------------------------|------------------|---------------------|--------------------|------------------------|---|-----------|------|----------------|
| TR-<br>DC |       | Period<br>Ending<br>Date | 10/7/2013        | Full Date - Integer | int[8]             | Hrailer Period         | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in TR005 and HD005 and HD006. | Mandatory | 100% | n/a            |
| TR-<br>DC | TR007 | Date<br>Processed        | 10/7/2013        | Full Date - Integer | int[8]             | Trailer Processed Date | Report the full date that the submission was compiled by the submitter in YYYYMMDD Format.  | Mandatory | 100% | n/a            |

# Provider Data Contents Guide 12/5/2013

| Col           | Element | Data Element<br>Name        | Date<br>Modified | Туре                   | Format /<br>Length | Description   | Element Submission Guideline  | Condition   | %    |
|---------------|---------|-----------------------------|------------------|------------------------|--------------------|---|---|-------------|------|
| HD<br>-<br>PV | HD001   | Record<br>Type              | 10/7/2013        | Text                   | char[2]            | Header Record<br>Identifier                             | Report HD here. Indicates the beginning of the Header Elements of the file.   | Mandatory   | 100% |
| HD<br>-<br>PV | HD002   | Submitter                   | 10/7/2013        | Integer                | varchar[6]         | Header Submitter<br>/ Carrier ID<br>defined by AHCT     | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. TR002 must match the Submitter ID reported here. This ID is linked to other elements in the file for quality control.                 | Mandatory   | 100% |
| HD<br>-<br>PV | HD003   | National<br>Plan ID         | 10/7/2013        | Integer                | int[10]            | Header CMS National Plan Identification Number (PlanID) | Do not report any value here until National PlanID is fully implemented. This is a unique identifier as outlined by Centers for Medicare and Medicaid Services (CMS) for Plans or Sub plans.                                    | Situational | 0%   |
| HD<br>-<br>PV | HD004   | Type of<br>File             | 10/7/2013        | Text                   | char[2]            | Defines the file<br>type and data<br>expected.          | Report <b>PV</b> here. Indicates that the data within this file is expected to be MEDICAL CLAIM-based. This must match the File Type reported in TR004.   | Mandatory   | 100% |
| HD<br>-<br>PV | HD005   | Period<br>Beginning<br>Date | 10/7/2013        | Full Date -<br>Integer | int[8]             | Header Period<br>Start Date                             | Report the Year and Month of the reported submission period in YYYYMMDD format. This date period must be repeated in HD006, TR005 and TR006. This same date must be selected in the upload application for successful transfer. | Mandatory   | 100% |

| Col           | Element | Data Element<br>Name      | Date<br>Modified | Туре                   | Format /<br>Length | Description                                      | Element Submission Guideline  | Condition | %    |
|---------------|---------|---------------------------|------------------|------------------------|--------------------|--|---|-----------|------|
| HD<br>-<br>PV |         | Period<br>Ending<br>Date  | 10/7/2013        | Full Date -<br>Integer | int[8]             | Header Period<br>Ending Date                     | Report the Year and Month of the reporting submission period in YYYYMMDD format. This date period must match the date period reported in HD005 and be repeated in TR005 and TR006.  | Mandatory | 100% |
| HD<br>-<br>PV | HD007   | Record<br>Count           | 10/7/2013        | Integer                | varchar[10]        | Header Record<br>Count                           | Report the total number of records submitted within this file. Do not report leading zeros, space fill, decimals, or any special characters.  | Mandatory | 100% |
| HD<br>-<br>PV | HD008   | Comments                  | 10/7/2013        | Text                   | varchar[80]        | Header Carrier<br>Comments                       | May be used to document the submission by assigning a filename, system source, compile identifier, etc.   | Optional  | 0%   |
| HD<br>-<br>PV | HD009   | APCD<br>Version<br>Number | 10/7/2013        | Decimal -<br>Numeric   | char[3]            | Submission Guide<br>Version                      | Report the version number as presented on the APCD Medical Claim File Submission Guide in 0.0 Format. Sets the intake control for editing elements. Version must be accurate else file will drop.  EXAMPLE: 3.0 = Newest Version  | Mandatory | 100% |
|               |         |                           |                  | •                      |                    | Code   | Description   |           |      |
|               |         |                           |                  |                        |                    | 1.2  | Current Version; required for reporting periods as of October 2013  |           |      |
| 1             | PV001   | Submitter                 | 4/1/2013         | Integer                | varchar[6]         | CT APCD defined and maintained unique identifier | Report the Unique Submitter ID as defined by CT APCD here. This must match the Submitter ID reported in HD002   | All       | 100% |
| 2             | PV002   | Plan Provider ID          | 4/1/2013         | Text                   | varchar[30]        | Carrier Unique Provider Code                     | Report the submitter assigned unique number for every service provider (persons, facilities or other entities involved in claims transactions) that a it has in its system(s). This field may or may not contain the provider NPI, but should not contain an individual's SSN. NOTE: ID Link to PV056, ME036, ME046 MC024, MC076, MC112, MC125, MC134, PC043, PC050, PC059, DC018 | All       | 100% |

| Col | Element | Data Element<br>Name | Date<br>Modified | Туре                      | Format /<br>Length | Description                             | Element Submission Guideline  | Condition  | %   |
|-----|---------|----------------------|------------------|---------------------------|--------------------|---|---|--|-----|
| 3   | PV003   | Tax ID               | 4/1/2013         | Numeric                   | char[9]            |   | Report the Federal Tax ID of the Provider here. Do not use hyphen or alpha prefix.  | Required when<br>PV034 = 2, 3,<br>4, 5, 6, 7, or 0 | 98% |
| 4   | PV004   | UPIN ID              | 4/1/2013         | Text                      | char[6]            | Unique Physician ID                     | Report the UPIN for the Provider identified in PV002. To report other Medicare Identifiers use PV036  | Required when<br>PV034 = 1                         | 98% |
| 5   | PV005   | DEA ID               | 4/1/2013         | Text                      | char[9]            | IProvider DEA                           | Report the valid DEA ID of the individual, group or facility defined by PV002. If not available or applicable, do not report any value here.  | Required when PV034 = 0, 1, 2, 3, 4, or 5          | 98% |
| 6   | PV006   | License ID           | 4/1/2013         | Text                      | varchar[25]        | State practice license ID               | Report the state license number for the provider identified in PV002. For a doctor this is the medical license for a non-doctor this is the practice license. Do not use zero-fill. If not available, or not applicable, such as for a group or corporate entity, do not report any value here. | All  | 98% |
| 8   | PV008   | Last Name            | 4/1/2013         | Text                      | varchar[50]        | II ast name of the Provider in          | Report the individual's last name here. Do not report any value here for facility or non-individual provider records. Report non-person entities in PV012 Facility Name   | Required when PV034 = 1                            | 98% |
| 9   | PV009   | First Name           | 4/1/2013         | Text                      | varchar[50]        | PV002                                   | Report the individual's first name here. Do not report any value here for facility or non-individual provider records. Report non-person entities in PV012 Facility Name  | Required when PV034 = 1                            | 98% |
| 10  | PV010   | Middle Initial       | 4/1/2013         | Text                      | char[1]            | Middle initial of the Provider in PV002 | Report the individual's middle initial here. Do not report any value here for facility or non-individual provider records. Report non-person entities in PV012 Facility Name  | Required when<br>PV034 = 1                         | 1%  |
| 11  | PV011   | Filler               | 7/2/2013         | Filler                    | char[0]            | Filler                                  | Access Health CT reserves this field for future use. Do not populate with any data  | All  | 0%  |
| 12  | PV012   | Entity Name          | 4/1/2013         | Text                      | varchar[100]       | Group / Facility name                   | Report the Provider Entity Name when Punctuation may be included. This should only be populated for facilities or groups.   | Required when<br>PV034 = 2, 3,<br>4, 5, 6, 7, or 0 | 98% |
| 14  | PV014   | Gender Code          | 4/1/2013         | Lookup<br>Table -<br>Text | char[1]            | Gender of Provider identified in PV002  | Report provider gender in alpha format as found on certification, contract and / or license.  | Required when<br>PV034 = 1                         | 98% |

| Col | Element | Data Element<br>Name         | Date<br>Modified | Туре                                 | Format /<br>Length | Description                         | Element Submission Guideline  | Condition                  | %   |
|-----|---------|------------------------------|------------------|--------------------------------------|--------------------|-------------------------------------|---|----------------------------|-----|
| •   |         |                              |                  |                                      |                    | Code                                | Description   |                            |     |
|     |         |                              |                  |                                      |                    | F                                   | Female  |                            |     |
|     |         |                              |                  |                                      |                    | М                                   | Male  |                            |     |
|     |         | Т                            |                  | T                                    | Г                  | U                                   | Unknown   |                            |     |
| 15  | PV015   | Provider Date of<br>Birth    | 7/2/2013         | Integer                              | int[8]             | Birth date of the provider          | Report the individual's date of birth in CCYYMMDD Format. Data reported here is used to create unique providers with similar attributes. Do not report any values here for non-individuals  | Required when<br>PV034 = 1 | 98% |
| 16  | PV016   | Provider Street<br>Address 1 | 4/1/2013         | Text                                 | varchar[50]        | Street address of the Provider      | Report the physical street address where provider sees plan members. If only mailing address is available, please send the mailing address in this field in addition to putting it in the mailing address field. If the provider sees members at two locations the provider should have a unique record for each to capture each site where the provider practices. | All                        | 98% |
| 17  | PV017   | Provider Street<br>Address 2 | 4/1/2013         | Text                                 | varchar[50]        | Street Address 2 of the<br>Provider | Report the physical street address where provider sees plan members. If only mailing address is available, please send the mailing address in this field in addition to putting it in the mailing address field. If the provider sees members at two locations the provider should have a unique record for each to capture each site where the provider practices. | All                        | 2%  |
| 18  | PV018   | City Name                    | 4/1/2013         | Text                                 | varchar[35]        | City of the Provider                | Report the city name where provider sees plan members. If only mailing address is available, please send the mailing address in this field in addition to putting it in the mailing address field. If the provider sees members at two locations the provider should have a unique record for each to capture each site where the provider practices.               | All                        | 98% |
| 19  | PV019   | State Code                   | 4/1/2013         | External<br>Code<br>Source -<br>USPS | char[2]            | State of the Provider               | Report the state of the site in which the provider sees plan members. When only a mailing address is available, populate with mailing state here as well as PV026. When a provider sees patients at two or more locations, the provider should have a unique record for each location to capture all possible practice sites.                                       | All                        | 98% |

| Col | Element | Data Element<br>Name            | Date<br>Modified | Туре                                 | Format /<br>Length | Description                                       | Element Submission Guideline   | Condition                                 | %   |
|-----|---------|---------------------------------|------------------|--------------------------------------|--------------------|---|--|---|-----|
| 20  | PV020   | Country Code                    | 4/1/2013         | External<br>Code<br>Source -<br>USPS | char[3]            | Country Code of the Provider                      | Report the three-character country code as defined by ISO 3166-1, Alpha 3  | All                                       | 98% |
| 21  | PV021   | Zip Code                        | 4/1/2013         | External<br>Code<br>Source -<br>USPS | varchar[9]         | Zip code of the Provider                          | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.   | All                                       | 98% |
| 22  | PV022   | Taxonomy                        | 4/1/2013         | External<br>Code<br>Source -<br>WPC  | char[10]           | Taxonomy Code                                     | Report the standard code that defines this provider for this line of service. Taxonomy values allow for the reporting of many types of clinicians, assistants and technicians, where applicable, as well as Physicians, Nurses, Groups, Facilities, etc. | Required when PV034 = 0, 1, 2, 3, 4, or 5 | 75% |
| 23  | PV023   | Mailing Street<br>Address1 Name | 4/1/2013         | Text                                 | varchar[50]        | Street address of the Provider / Entity           | Report the mailing address of the Provider / Entity in PV002   | All                                       | 98% |
| 24  | PV024   | Mailing Street<br>Address2 Name | 4/1/2013         | Text                                 | varchar[50]        | Secondary Street address of the Provider / Entity | Report the mailing address of the Provider / Entity in PV002   | All                                       | 2%  |
| 25  | PV025   | Mailing City Name               | 4/1/2013         | Text                                 | varchar[35]        | City name of the Provider /<br>Entity             | Report the mailing city address of the Provider / Entity in PV002  | All                                       | 98% |
| 26  | PV026   | Mailing State Code              | 4/1/2013         | External<br>Code<br>Source -<br>USPS | char[2]            | State name of the Provider /<br>Entity            | Report the mailing state address of the Provider / Entity in PV002   | All                                       | 98% |
| 27  | PV027   | Mailing Country<br>Code         | 4/1/2013         | External<br>Code<br>Source -<br>USPS | char[3]            | Country name of the Provider /<br>Entity          | Report the three-character country code as defined by ISO 3166-1, Alpha 3  | All                                       | 98% |
| 28  | PV028   | Mailing Zip Code                | 4/1/2013         | External<br>Code<br>Source -<br>USPS | varchar[9]         | Zip code of the Provider                          | Report the 5 or 9 digit Zip Code as defined by the United States Postal Service. When submitting the 9-digit Zip Code do not include hyphen.   | All                                       | 98% |

| Col | Element | Data Element<br>Name      | Date<br>Modified | Туре                                      | Format /<br>Length | Description                  | Element Submission Guideline  | Condition                                 | %    |
|-----|---------|---------------------------|------------------|---|--------------------|------------------------------|---|---|------|
| 30  | PV030   | Primary Specialty<br>Code | 4/1/2013         | External<br>Code<br>Source 4 -<br>Integer | char[2]            | Specialty Code               | Report the standard Primary Specialty code of the Provider here   | Required when PV034 = 0, 1, 2, 3, 4, or 5 | 98%  |
| 34  | PV034   | Provider ID Code          | 4/1/2013         | Lookup<br>Table -<br>Integer              | int[1]             | Provider Identification Code | Report the value that defines type of entity associated with PV002.  The value reported here drives intake edits for quality purposes. <b>EXAMPLE:</b> 1 = Person; Physician, Clinician, Orthodontist, etc. | All                                       | 100% |

| Value | Description  |
|-------|--|
| 1     | <b>Person</b> ; physician, clinician, orthodontist, and any individual that is licensed/certified to perform health care services.   |
| 2     | <b>Facility</b> ; hospital, health center, long term care, rehabilitation and any building that is licensed to transact health care services.  |
| 3     | Professional Group; collection of licensed/certified health care professionals that are practicing health care services under the same entity name and Federal Tax Identification Number.  |
| 4     | <b>Retail Site</b> ; brick-and-mortar licensed/certified place of transaction that is not solely a health care entity, i.e., pharmacies, independent laboratories, vision services.  |
| 5     | <b>E-Site</b> ; internet-based order/logistic system of health care services, typically in the form of durable medical equipment, pharmacy or vision services. Address assigned should be the address of the company delivering services or order fulfillment. |
| 6     | Financial Parent; financial governing body that does not perform health care services itself but directs and finances health care service entities, usually through a Board of Directors.  |

| Col | Element | Data Element<br>Name      | Date<br>Modified | Туре                                  | Format /<br>Length | Description  | Element Submission Guideline  | Condition                                 | %    |
|-----|---------|---------------------------|------------------|---------------------------------------|--------------------|--|---|---|------|
|     |         |                           |                  |                                       |                    | 7  | <b>Transportation</b> ; any form of transport that conveys a patient to/from a healthcare provider  |   |      |
|     |         |                           |                  |                                       |                    | 0  | Other; any type of entity not otherwise defined that performs health care services.   |   |      |
| 35  | PV035   | SSN Id                    | 4/1/2013         | Numeric                               | char[9]            | Provider's Social Security<br>Number                         | Report the SSN of the individual provider in PV002. Do not zero-fill. Do not report any value here if not available or not applicable.  | Required when<br>PV034 = 1                | 98%  |
| 36  | PV036   | Medicare ID               | 4/1/2013         | Text                                  | varchar[30]        | Provider's Medicare Number,<br>other than UPIN               | Report the Medicare ID (OSCAR, Certification, Other, Unspecified, NSC or PIN) of the provider or entity in PV002. Do not report UPIN here, see PV004.   | Required when PV034 = 0, 1, 2, 3, 4, or 5 | 90%  |
| 37  | PV037   | Start Date                | 7/2/2013         | Integer                               | int[8]             | Provider Start Date  | Report the date the provider becomes eligible / contracted to perform services as In-Network for plan members in CCYYMMDD Format.   | All                                       | 100% |
| 38  | PV038   | End Date                  | 7/2/2013         | Integer                               | int[8]             | Provider End Date  | Report the date the provider is not longer eligible / contracted to perform services as In-Network for plan members in CCYYMMDD Format. Annually contracted providers can report the contract end date here as a future date. | All                                       | 10%  |
| 39  | PV039   | National Provider<br>ID   | 4/1/2013         | External<br>Code<br>Source -<br>NPPES | int[10]            | National Provider<br>Identification (NPI) of the<br>Provider | Report the NPI of the Provider / Clinician / Facility / Organization defined in this record   | Required when PV034 = 0, 1, 2, 3, 4, or 5 | 98%  |
| 40  | PV040   | National Provider<br>ID 2 | 4/1/2013         | External<br>Code<br>Source -<br>NPPES | int[10]            | National Provider<br>Identification (NPI) of the<br>Provider | Report the Secondary or Other NPI of the Provider / Clinician / Facility / Organization defined in this record  | Required when PV034 = 0, 1, 2, 3, 4, or 5 | 1%   |
| 41  | PV041   | Filler                    | 7/2/2013         | Filler                                | char[0]            | Filler   | Access Health CT reserves this field for future use. Do not populate with any data  | All                                       | 0%   |

| Col   | Element          | Data Element<br>Name              | Date<br>Modified | Туре   | Format /<br>Length | Description                 | Element Submission Guideline  | Condition                                       | %    |
|-------|------------------|-----------------------------------|------------------|--|--------------------|-----------------------------|---|---|------|
| 42    | PV042            | Secondary<br>Specialty Code       | 4/1/2013         | Carrier<br>Defined<br>Table -<br>Text                                | varchar[10]        | Specialty Code              | Report the submitter's proprietary specialty code for the provider here. Known additional specialty code for a provider should be populated in elements PV043 and PV044. Value comes from a Carrier Defined Table only  | Required when PV034 = 0, 1, 2, 3, 4, or 5       | 1%   |
| 43    | PV043            | Other Specialty<br>Code 3         | 4/1/2013         | Carrier Defined Table - OR - External Code Source 4 - Integer        | varchar[10]        | Specialty Code              | See mapping notes for primary specialty code in PV030. Known additional specialty code for a provider should be populated in this field. Value can come from either a Carrier Defined Table or the External Code Source | Required when PV034 = 0, 1, 2, 3, 4, or 5       | 0%   |
| 44    | PV044            | Other Specialty<br>Code 4         | 4/1/2013         | Carrier Defined Table - <b>OR</b> - External Code Source 4 - Integer | varchar[10]        | Specialty Code              | See mapping notes for primary specialty code in PV030. Known additional specialty code for a provider should be populated in this field. Value can come from either a Carrier Defined Table or the External Code Source | Required when<br>PV034 = 0, 1,<br>2, 3, 4, or 5 | 0%   |
| 44-45 | PV045 -<br>PV046 | Filler                            | 7/2/2013         | Filler   | char[0]            | Filler                      | Access Health CT reserves this field for future use. Do not populate with any data  | All   | 0%   |
| 47    | PV047            | Uses Electronic<br>Health Records | 4/1/2013         | Lookup<br>Table -<br>Integer   | int[1]             | Indicator - EHR Utilization | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, provider uses Electronic Health Records   | All   | 100% |
|       |                  |                                   |                  |  |                    | Value                       | Description   |   |      |
|       |                  |                                   |                  |  |                    | 1                           | Yes   |   |      |
|       |                  |                                   |                  |  |                    | 2                           | No  |   |      |
|       |                  |                                   |                  |  |                    | 3                           | Unknown   |   |      |
|       |                  |                                   |                  |  |                    | 4                           | Other   |   |      |
|       | D) 10 10         |                                   |                  |  |                    | 5                           | Not Applicable  |   |      |
| 48-51 | PV048 -<br>PV051 | Filler                            | 7/2/2013         | Filler   | char[0]            | Filler                      | Access Health CT reserves this field for future use. Do not populate with any data  | All   | 0%   |

| Col | Element | Data Element<br>Name               | Date<br>Modified | Туре                         | Format /<br>Length | Description   | Element Submission Guideline  | Condition                        | %    |
|-----|---------|------------------------------------|------------------|------------------------------|--------------------|---|---|----------------------------------|------|
| 52  | PV052   | Has multiple<br>offices            | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Multiple Office<br>Provider                           | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, provider has multiple offices.  | Required when PV034 = 1, 2, or 3 | 100% |
| '   |         |                                    |                  |                              |                    | Value   | Description   |                                  |      |
|     |         |                                    |                  |                              |                    | 1   | Yes   |                                  |      |
|     |         |                                    |                  |                              |                    | 2   | No  |                                  |      |
|     |         |                                    |                  |                              |                    | 3   | Unknown   |                                  |      |
|     |         |                                    |                  |                              |                    | 4   | Other   |                                  |      |
|     |         |                                    |                  |                              | _                  | 5   | Not Applicable  |                                  |      |
| 53  | PV053   | Filler                             | 7/2/2013         | Filler                       | char[0]            | Filler  | Access Health CT reserves this field for future use. Do not populate with any data  | All                              | 0%   |
| 54  | PV054   | Medical /<br>Healthcare Home<br>ID | 4/1/2013         | Text                         | varchar[15]        | Medical Home Identification<br>Number                             | Report the identifier of the patient-centered medical home the provider is linked-to here. The value in this field must have a corresponding Provider ID (PV002) in this or a previously submitted provider file. | Require when PV034 = 1, 2, or 3  | 0%   |
| 55  | PV055   | PCP Flag                           | 4/1/2013         | Lookup<br>Table -<br>Integer | int[1]             | Indicator - Provider is a PCP                                     | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, provider is a PCP.  | Required when<br>PV034 = 1       | 100% |
|     |         |                                    |                  |                              |                    | Value   | Description   |                                  |      |
|     |         |                                    |                  |                              |                    | 1   | Yes   |                                  |      |
|     |         |                                    |                  |                              |                    | 2   | No  |                                  |      |
|     |         |                                    |                  |                              |                    | 3   | Unknown   |                                  |      |
|     |         |                                    |                  |                              |                    | 4   | Other   |                                  |      |
|     |         |                                    |                  |                              |                    | 5   | Not Applicable  |                                  |      |
| 56  | PV056   | Provider Affiliation               | 4/1/2013         | Text                         | varchar[30]        | Provider Affiliation Code   | Report the Provider ID for any affiliation the provider has with another entity or parent company. If the provider is associated only with self, record the same value here as PV002.                             | All                              | 99%  |
| 57  | PV057   | Provider<br>Telephone              | 4/1/2013         | Numeric                      | varchar[10]        | Telephone number associated with the provider identified in PV002 | Report the telephone number of the provider associated with the identification in PV002. Do not separate components with hyphens, spaces or other special characters  | All                              | 10%  |

| Element          | Data Element<br>Name              | Date<br>Modified   | Туре   | Format /<br>Length  | Description  | Element Submission Guideline   | Condition  | %  |
|------------------|-----------------------------------|--|--|---|--|--|--|--|
| PV058            | Delegated Provider<br>Record Flag | 7/2/2013   | Integer  | int[1]  | Indicator - Delegated Record   | Report the value that defines the element. EXAMPLE: 1 = Yes, provider record was sourced from a delegated provider resource system.    | All  | 100%   |
|                  |                                   |  |  |   | Value  | Description  |  |  |
|                  |                                   |  |  |   | 1  | Yes  |  |  |
|                  |                                   |  |  |   | 2  | No   |  |  |
|                  |                                   |  |  |   | 3  | Unknown  |  |  |
|                  |                                   |  |  |   | 4  | Other  |  |  |
|                  |                                   |  |  |   | 5  | Not Applicable   |  |  |
| PV059 -<br>PV063 | Filler                            | 7/2/2013   | Filler   | char[0]   | Filler   | Access Health CT reserves this field for future use. Do not populate with any data   | All  | 0%   |
| PV064            | PPO Indicator                     | 4/1/2013   | Table -  | int[1]  | Indicator - Provider PPO<br>Contract   | Report the value that defines the element. <b>EXAMPLE:</b> 1 = Yes, provider is a contracted network provider.                         | Required when PV034 = 0, 1, 2, 3, 4, or 5  | 100%   |
|                  |                                   |  |  |   | Value  | Description  |  |  |
|                  |                                   |  |  |   | 1  | Yes  |  |  |
|                  |                                   |  |  |   | 2  | No   |  |  |
|                  |                                   |  |  |   | 3  | Unknown  |  |  |
|                  |                                   |  |  |   | 4  | Other  |  |  |
|                  |                                   |  |  |   | 5  | Not Applicable   |  |  |
| PV899            | Record Type                       | 4/1/2013   | Text   | char[2]   | File Type Identifier   | Report <b>PV</b> here. This validates the type of file and the data contained within the file. This must match HD004                   | All  | 100%   |
|                  | Record                            |  |  |   | Trailer Record   | Report TR here. Indicates the end of   |  |  |
| ΓR001            | Туре                              | 10/7/2013  | Text   | char[2]   | Identifier   | the data file.   | Mandatory  | 100%   |
| <b>T</b> D002    | C. i.h. mailth a m                | 10/7/2012  | latare   | warehauf Gl   | Trailer Submitter / Carrier ID defined   | Report unique Submitter ID here. AHCT will provide this unique identifier to the carrier. This must match the Submitter ID reported in | Mandata  | 100%   |
|                  | PV064 PV899                       | PV063  Filler  PV064  PPO Indicator  PV899  Record Type  Record Type | PV063 Filler 7/2/2013  PV064 PPO Indicator 4/1/2013  PV899 Record Type 4/1/2013  Record Type 10/7/2013 | PV063 Filler 7/2/2013 Filler  Lookup Table - Integer  PV899 Record Type 4/1/2013 Text  Record Type 10/7/2013 Text | PV063         Filler         7/2/2013         Filler         Char[0]           PV064         PPO Indicator         4/1/2013         Lookup Table - Integer         int[1]           PV899         Record Type         4/1/2013         Text         char[2]           R001         Type         10/7/2013         Text         char[2] | PV059  | PV063 Filler 7/2/2013 Filler char[0] Filler Square PPO Filler Riles PV064 PPO Indicator PPO Indicato | PV063 Filler 7/2/2013 Filler char[0] Filler Access Health CT reserves this field for future use. Do not populate with any data with any data with any data provider is a contracted network provider.  PV064 PPO Indicator 4/1/2013 Lookup Table - Integer int[1] Indicator - Provider PPO Contract PPO Contract PPO Contract Provider is a contracted network provider.  PV89 Record Type 4/1/2013 Text char[2] File Type Identifier Report TR here. Indicates the end of Type 10/7/2013 Text char[2] Identifier Report PV here. AHCT will provide this unique identifier to the Carrier ID defined Report PID reported in Potential Proported in Provider Proported in Provider Pr |

| Col   | Element | Data Element<br>Name | Date<br>Modified | Туре        | Format /<br>Length | Description         | Element Submission Guideline            | Condition   | %    |
|-------|---------|----------------------|------------------|-------------|--------------------|---------------------|---|-------------|------|
|       |         |                      |                  |             |                    |                     | Do not report any value here until      |             |      |
|       |         |                      |                  |             |                    |                     | National PlanID is fully implemented.   |             |      |
|       |         |                      |                  |             |                    |                     | This is a unique identifier as outlined |             |      |
|       |         |                      |                  |             |                    | CMS National        | by Centers for Medicare and             |             |      |
|       |         | National             |                  |             |                    | Plan Identification | Medicaid Services (CMS) for Plans       |             |      |
| TR-PV | TR003   | Plan ID              | 10/7/2013        | Integer     | int[10]            | Number (PlanID)     | or Sub plans.                           | Situational | 0%   |
|       |         |                      |                  |             |                    | Validates the file  |   |             |      |
|       |         | Type of              |                  |             |                    | type defined in     | Report <b>PV</b> here. This must match  |             |      |
| TR-PV | TR004   | File                 | 10/7/2013        | Text        | char[2]            | HD004.              | the File Type reported in HD004.        | Mandatory   | 100% |
|       |         |                      |                  |             |                    |                     | Report the Year and Month of the        |             |      |
|       |         |                      |                  |             |                    |                     | reported submission period in           |             |      |
|       |         | Period               |                  |             |                    |                     | YYYYMMDD format. This date period       |             |      |
|       |         | Beginning            |                  | Full Date - |                    | Trailer Period      | must match the date period reported     |             |      |
| TR-PV | TR005   | Date                 | 10/7/2013        | Integer     | int[8]             | Start Date          | in HD005 and HD006.                     | Mandatory   | 100% |
|       |         |                      |                  |             |                    |                     | Report the Year and Month of the        |             |      |
|       |         |                      |                  |             |                    |                     | reporting submission period in          |             |      |
|       |         | Period               |                  |             |                    |                     | YYYYMMDD format. This date period       |             |      |
|       |         | Ending               |                  | Full Date - |                    | Trailer Period      | must match the date period reported     |             |      |
| TR-PV | TR006   | Date                 | 10/7/2013        | Integer     | int[8]             | Ending Date         | in TR005 and HD005 and HD006.           | Mandatory   | 100% |
|       |         |                      |                  |             |                    |                     | Report the full date that the           |             |      |
|       |         | Date                 |                  | Full Date - |                    | Trailer Processed   | submission was compiled by the          |             |      |
| TR-PV | TR007   | Processed            | 10/7/2013        | Integer     | int[8]             | Date                | submitter in YYYYMMDD Format.           | Mandatory   | 100% |

# **Appendix – External Code Sources**

#### 1. Countries

**American National Standards Institute** 

http://www.iso.org/iso/home/standards/country\_codes.htm

| MC070 | PC024A | PV020 | PV027 |
|-------|--------|-------|-------|
|-------|--------|-------|-------|

# 2. States, Zip Codes and Other Areas of the US

**U.S. Postal Service** 

https://www.usps.com/send/official-abbreviations.htm

| MC015 | MC016 | MC034 | MC035 | ME016 | ME017 | ME078 | ME109 | ME110 |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| PC015 | PC016 | PC023 | PC024 | PC054 | PC055 | DC015 | DC016 | DC028 |
| DC029 | PV019 | PV021 | PV026 | PV028 | }     |       |       |       |

#### 3. National Provider Identifiers

**National Plan & Provider Enumeration System** 

https://nppes.cms.hhs.gov/NPPES/

| MC026 MC077 ME038 | PC021 | PC048 | DC020 | PV039 | PV040 |
|-------------------|-------|-------|-------|-------|-------|
|-------------------|-------|-------|-------|-------|-------|

#### 4. Health Care Provider Taxonomy

**Washington Publishing Company** 

http://www.wpc-edi.com/reference/

| MC032 | DC026 | PV022 |
|-------|-------|-------|
|-------|-------|-------|

#### 5. International Classification of Diseases 9 & 10

**American Medical Association** 

http://www.ama-assn.org/

| MC039 | MC040 | MC041 | MC042 | MC043 | MC044 | MC045 | MC046 | MC047 |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| MC048 | MC049 | MC050 | MC051 | MC052 | MC053 | MC058 | MC083 | MC084 |
| MC085 | MC086 | MC087 | MC088 | MC142 | MC143 | MC144 | MC145 | MC146 |
| MC147 | MC148 | MC149 | MC150 | MC151 | MC152 | MC153 | PC114 | DC061 |

# 6. HCPCS, CPTs and Modifiers

**American Medical Association** 

http://www.ama-assn.org/

| MC05 | MC056 | MC057 | MC108 | MC109 | DC033 | DC034 |
|------|-------|-------|-------|-------|-------|-------|
|------|-------|-------|-------|-------|-------|-------|

#### 7. Dental Procedure Codes and Identifiers

**American Dental Association** 

http://www.ada.org/

| MC055 DC032 DC047 DC048 DC049 |  |
|-------------------------------|--|
|-------------------------------|--|

#### 8. National Drug Codes and Names

**U.S. Food and Drug Administration** 

http://www.fda.gov/drugs/informationondrugs/ucm142438.htm

#### 9. Standard Professional Billing Elements

Centers for Medicare and Medicaid Services (Rev. 10/26/12)

http://www.cms.gov/Regulations-and-Guidance/Guidance/Manuals/downloads/clm104c26.pdf

#### 10. Standard Facility Billing Elements

**National Uniform Billing Committee (NUBC)** 

http://www.nubc.org/

| MC020 | MC021 | MC023 | MC036 | MC054 | MC133 | MC179 | MC180 | MC181 |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| MC191 | MC193 | MC195 | MC215 | MC217 | MC219 | MC225 | MC228 |       |

#### 11. DRGs, APCs and POA Codes

**Centers for Medicare and Medicaid Services** 

http://www.cms.gov/

| MC071 | MC072 | MC073 | MC074 | MC120 | MC154 | MC155 | MC156 | MC157 |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| MC167 | MC168 | MC158 | MC159 | MC160 | MC161 | MC162 | MC163 | MC164 |
| MC165 | MC166 | MC169 | MC170 | MC171 | MC172 | MC173 | MC174 | MC175 |
| MC176 | MC177 | MC178 |       |       |       |       |       |       |

# 12. Claim Adjustment Reason Codes

**Washington Publishing Company** 

| MICU80   MIC124   PC117   DC064 | MC080 | MC124 | PC117 | DC064 |
|---------------------------------|-------|-------|-------|-------|
|---------------------------------|-------|-------|-------|-------|

# 13. North American Industry Classification System (NAICS)

**United States Census Bureau** 

http://www.census.gov/eos/www/naics/

ME077

# 14. Language Preference

**United States Census Bureau** 

http://www.census.gov/hhes/socdemo/language/about/index.html

**ME033** 

# 15. Race and Ethnicity Codes

**Center for Disease Control** 

http://www.cdc.gov/nchs/data/dvs/Race\_Ethnicity\_CodeSet.pdf

ME025 ME026

# 16. National Drug Codes and Names

**U.S. Food and Drug Administration** 

http://www.fda.gov/drugs/informationondrugs/ucm142438.htm

PC026 PC027

# 17. Provider Specialties

**Center for Medicare and Medicaid Services (CMS)** 

- Physician Specialty Codes
- Non-physician Practitioner, Supplier, and Provider Specialty Codes

http://www.cms.gov/Regulations-and-

Guidance/Guidance/Manuals/downloads/clm104c26.pdf

• see sections 10.8.2 and 10.8.3

PV030 PV043 PV044

# 18. Route of Administration, Drug Unit of Measure

**National Council for Prescription Drug Programs (NCPDP)** 

http://www.ncpdp.org/standards-info.aspx

PC074 PC075